



**Katowice Committee of Experts on the Impacts of the
Implementation of Response Measures**

01 June 2021

4th meeting, virtual, 25–27 May 2021 and 1–4 June 2021

**Annual report of the Katowice Committee of Experts on the
Impacts of the Implementation of Response Measures for
2020–2021**

Summary

This report covers the activities and performance of the Katowice Committee of Experts on the Impacts of the Implementation of Response Measures at its 3rd and 4th meetings. It also contains information on the body's meetings and possible recommendations for the twenty-sixth session of the Conference of the Parties, the sixteen session of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol and the third session of the Conference of the Parties serving as the meeting of the Parties to the Paris Agreement, and information on the strategy to organize the implementation of its workplan based on the workplan of the forum on the impact of the implementation of response measures and its KCI.

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Abbreviations and acronyms

CMA	Conference of the Parties serving as the meeting of the Parties to the Paris Agreement
CMP	Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol
COP	Conference of the Parties
COVID-19	coronavirus disease 2019
KCI	Katowice Committee of Experts on the Impacts of the Implementation of Response Measures
SBI	Subsidiary Body for Implementation
SBSTA	Subsidiary Body for Scientific and Technological Advice

I. Background

A. Mandate

1. The CMA, by decision 7/CMA.1, decided to establish the KCI to support the work of the forum on the impact of the implementation of response measures (hereinafter referred to as the forum) to implement its work programme. This decision was further adopted, *mutatis mutandis*, by the COP and the CMP by their decisions 7/COP.24 and 3/CMP.14, respectively.
2. In accordance with paragraph 4(j) of the annex to decision 7/CMA.1, the KCI is to prepare an annual report for the forum to consider with a view to making recommendations to the COP, the CMP and the CMA.

B. Scope of the report

3. Because the 4th meeting of the KCI in 2020 was postponed owing to the COVID-19 pandemic, the KCI prepared a procedural annual report for 2020¹ with a view to the forum taking note of the work implemented by the KCI in 2020. This annual report is in addition to the procedural report and covers the outcomes of the 3rd and 4th meetings and intersessional work of the KCI, and emerging lessons learned in implementing its mandates (see chap. II.C below), forum considerations (see chap. II.D below) and possible recommendations for the forum to provide to COP 26, CMP 16 and CMA 3 (see chap. IV below).

C. Possible action to be considered by the forum on the impact of the implementation of response measures

4. The forum is to consider this report with a view to making recommendations to COP 26, CMP 16 and CMA 3.

II. Report on the activities of the Katowice Committee of Experts on the Impacts of the Implementation of Response Measures in 2020-2021

A. Organizational matters

5. Although the KCI was not able to hold the two meetings mandated to take place in conjunction with the sessions of the subsidiary bodies in 2020,² an extraordinary 3rd meeting took place in October 2020.
6. The KCI convened its 3rd meeting from 5 to 9 October 2020 and its 4th meeting from 25 to 27 May 2021 and 1 to 4 June 2021.³
7. Albara Tawfiq (Saudi Arabia) and Annela Anger-Kraavi (Estonia) continued to Co-Chair the 3rd meeting of the KCI.
8. At the end of its 3rd meeting, the KCI appointed Peter Govindsamy from Asia Pacific group as a Co-Chair to serve remaining first term of office, noting resignation of Tawfiq as co-chair. KCI expressed its appreciation to Albara Tawfiq as former co-chair for his leadership in enabling the KCI to effectively carry out its work in 2019 and 2020.
9. A list of the members of the KCI nominated by their regional groups, including the length of their respective terms of office, is available on the UNFCCC website.⁴

¹ <https://unfccc.int/documents/274638>

² Decision 4/CP.25, annex I.

³ Owing to the COVID-19 pandemic, both meetings were convened virtually.

⁴ <https://unfccc.int/process-and-meetings/bodies/constituted-bodies/katowice-committee/membership>

10. The meetings of the KCI were webcast live and attended by observers, including representatives of Parties and observer organizations who actively engaged in addressing the issues under consideration. All meeting documents, presentations, webcasts, lists of participants and reports are available on the UNFCCC website.⁵

B. Implementation of the workplan

11. COP 25, CMP 15 and CMA 2 adopted the workplan of the forum on the impact of the implementation of response measures and its KCI.⁶ Despite the COVID-19 pandemic and impossibility of holding in-person meetings, the KCI initiated implementation of its workplan activities for 2020 and its intersessional work through an open-ended working group to effectively implement, with the support of the secretariat, its workplan for SB 52 to SB 63. Information on the composition of the KCI task forces is available on UNFCCC website.⁷

12. The KCI wishes to express its appreciation for the active participation of observer organizations and other stakeholders in the work of the KCI, including organizations that contributed to the call for input.

1. Strategy for implementing activities in the workplan of the Katowice Committee on Impacts

13. The KCI agreed on strategies⁸ for implementing the workplan activities to be delivered in 2020–2022 to ensure delivery of the work in line with Parties' expectations. This includes, among others, establish an open-ended working group to develop technical papers; invite inputs from experts, practitioners and relevant organizations including for technical papers and participation of experts in the meetings; adopt its meeting reports in advance of the next forum meeting which will include recommendations and/or key findings of workplan activities, as applicable, for consideration by the forum.

2. Integrating gender considerations into the work of the Katowice Committee on Impacts

14. The KCI agreed on approaches⁹ for mainstreaming gender into its activities at its 3rd and 4th meeting. Following the approach agreed at its 3rd meeting, the KCI considered gender aspects in development of technical papers described in chapters II.B.4 and II.B.5 below.

3. Explore approaches to inform the development and implementation of climate change mitigation strategies, plans, policies and programs that maximize the positive and minimize the negative impacts of response measure

15. Following consideration of inputs from experts, practitioners and organizations, and discussions, the KCI proposed possible strategies as key findings¹⁰ that maximize the positive and minimize the negative impacts of the climate change mitigation strategies, plans, policies and programmes including nationally determined contributions and low-emission development strategies. The KCI also agreed to produce key messages and/or recommendations of this workplan activity, as applicable, for consideration by the forum and included these in the KCI3 meeting report.

16. On the basis of the possible strategies, the KCI prepared relevant possible recommendations to the forum to provide to COP 26, CMP16 and CMA 3 (see chap. IV below)

⁵ <https://unfccc.int/process-and-meetings/bodies/constituted-bodies/KCI/meetings-KCI>.

⁶ https://unfccc.int/sites/default/files/resource/cp2019_13a01_adv.pdf#page=16

⁷ <https://unfccc.int/process-and-meetings/bodies/constituted-bodies/katowice-committee/membership>

⁸ KCI/2021/3/8, annex II and KCI/2021/4/11, paras 17-21 and annex IV

⁹ KCI/2021/3/8, paras 13–14, and KCI/2021/4/11, paras 13-16

¹⁰ KCI/2021/3/8, annex III.

4. Enhancing the capacity and understanding of Parties, through collaboration and input from stakeholders, on the assessment and analysis of the impacts of the implementation of response measures to facilitate the undertaking of economic diversification and transformation and just transition

17. The KCI initiated the preparation of a technical paper covering ways of assessing and analysing the impacts of the implementation of response measures and possible actions and means to enhance the capacity and understanding of Parties, including collaboration with identified organizations, on assessing and analysing the impacts. The draft technical paper¹¹ has been presented at the 4th meeting of KCI where members and observers provided comments¹² for the improvement of the draft and proposed specific recommendations for this activity. The KCI agreed to finalize the technical paper and specific possible recommendations for consideration by the forum at its 5th meeting.

5. Facilitate the development, enhancement, customization and use of tools and methodologies for modelling and assessing the impacts of the implementation of response measures, including identifying and reviewing existing tools and approaches in data-poor environments, in consultation with technical experts, practitioners and other relevant stakeholders

18. The KCI initiated the preparation of a technical paper covering an introduction to the assessment methods, data requirements, and a guide to the process of method selection. The paper accompanies database which provides information to Parties and stakeholders to assist them in the selection of methods thereby aiming to lower the informational barrier to accessing tools and methods for impact analysis. The draft technical paper¹³ has been presented at the 4th meeting of KCI where members and observers provided comments¹⁴ to the improvement of the draft and proposed specific possible recommendations for this activity. The KCI agreed to finalize the technical paper and specific possible recommendation for consideration by the forum at its 5th meeting.

6. Rules of procedure of the Katowice Committee on Impacts

19. The KCI revised its rules of procedure. The revised rules of procedure are contained in annex I, with a view to the forum considering them at the next session of the subsidiary bodies and forwarding them to the COP, the CMP and the CMA for adoption.¹⁵

C. Emerging lessons learned

20. In 2020, the KCI began implementing workplan activities to support the forum in implementation of its work programme. The KCI reflected on lessons learned in implementing its workplan:

- (a) Gathering inputs from experts, practitioners and relevant organizations contributed in delivering the work of the KCI. More focused call for inputs shall enable organizations to do relevant contribution.
- (b) Developing a strategy to implement its workplan proved to be useful.
- (c) Drafting technical outputs should be initiated as soon as possible after the relevant decision has been taken for enhanced engagement of members and stakeholders.
- (d) Appointing a coordinator to the open-ended working group would benefit its work.

¹¹ KCI/2021/4/5, available at https://unfccc.int/sites/default/files/resource/TP_capacity%20building.pdf

¹² KCI/2021/4/11, available at <https://unfccc.int/documents/278648>

¹³ KCI/2021/4/6, available at https://unfccc.int/sites/default/files/resource/TP_Facilitation.pdf

¹⁴ KCI/2021/4/11, available at <https://unfccc.int/documents/278648>

¹⁵ FCCC/SBSTA/2019/2, para. 90, and FCCC/SBI/2019/9, para. 105.

D. Forum considerations

21. In its strategy discussions, the KCI decided to adopt its meeting reports in advance of the next forum meeting which will include recommendations and/or key findings of workplan activities, as applicable, for consideration by the forum.

III. Possible recommendations to the forum to provide to the COP, CMP, CMA

22. The KCI recommends the revised rules of procedure contained in annex I for consideration by the forum with a view to them being forwarded for consideration and adoption by the COP, the CMP and the CMA.

23. On the basis of the implementation of its workplan activity “Explore approaches to inform the development and implementation of climate change mitigation strategies, plans, policies and programmes that maximize the positive and minimize the negative impacts of response measure” at its 3rd meeting, the KCI prepared relevant possible recommendations to the forum to provide to COP 26, CMP 16 and CMA 3, as given below. The possible recommendations from the activities “Enhancing the capacity and understanding of Parties, through collaboration and input from stakeholders, on the assessment and analysis of the impacts of the implementation of response measures to facilitate the undertaking of economic diversification and transformation and just transition” and “Facilitate the development, enhancement, customization and use of tools and methodologies for modelling and assessing the impacts of the implementation of response measures, including identifying and reviewing existing tools and approaches in data-poor environments, in consultation with technical experts, practitioners and other relevant stakeholders” will be finalized after the KCI concludes its deliberation on the technical papers and draft possible recommendation at its 5th meeting.

Explore approaches to inform the development and implementation of climate change mitigation strategies, plans, policies and programmes that maximize the positive and minimize the negative impacts of response measure

1. The KCI recommends to the forum that the COP, CMP and CMA:

(a) Encourage Parties to engage relevant stakeholders at each step of the process of designing and implementing climate mitigation policies and policies to achieve sustainable development including through social dialogue, when possible and subject to national circumstances. The relevant stakeholders, among others, include workers, employers, organizations, academia, public and private sectors, women, and civil society;

(b) Encourage Parties to explore complementary policies, such as economic policies, social protection, and labour policies, to help strengthen the outcomes of the implementation of mitigation strategies, plans, policies and programmes including nationally determined contributions and low-emission development strategies;

(c) Encourage Parties to strengthen international and regional cooperation as it contributes towards planning and implementation of mitigation policies with environmental and socio-economic benefits. For example, to help facilitate technology development and transfer in accordance with article 10 of the Paris Agreement, and strive towards harmonized regional approaches;

(d) Encourage Parties to use existing qualitative and quantitative assessment methodologies and tools to understand social, economic and employment effects of proposed mitigation measures as a way to inform and guide climate policies and to maximize the positive and minimize the negative effects of the implementation of response measures. More analysis of impacts, including, inter alia, sectoral, national, sub-national, domestic, and cross border, would be useful for informing climate policies and understanding how to maximize the positive and minimize the negative effects of the implementation of response measures;

(e) Encourage the forum and its KCI to enhance the capacity of Parties, particularly developing country Parties, through training and capacity building efforts, including the existing initiatives. This will enable Parties to carry out their own assessments and analyses of the impacts of response measures.

Annex

Draft rules of procedure of the Katowice Committee on Impacts

I. Scope

1. These rules of procedure shall apply to the Katowice Committee of Experts on the Impacts of the Implementation of Response Measures (KCI) in accordance with decision 7/CMA.1 and its annex.

II. Mandate

2. The Conference of the Parties serving as the meeting of the Parties to the Paris Agreement (CMA), by decision 7/CMA.1, decided to establish the KCI to support the work of the forum on the impact of the implementation of response measures in implementing its work programme and operating in accordance with the terms of reference contained in the annex to that decision.

3. The forum and the KCI may use the following modalities, as appropriate and as decided on a case-by-case basis, to carry out the work programme of the forum:

- (a) Building awareness and enhancing information-sharing through the exchange and sharing of experience and best practices;
- (b) Preparing technical papers, case studies, specific examples and guidelines;
- (c) Receiving inputs from experts, practitioners and relevant organizations;
- (d) Organizing workshops.

III. Members

4. The CMA, by decision 7/CMA.1, decided that the KCI shall be composed of 14 members, of which:

- (a) Two members from each of the five United Nations regional groups;
- (b) One member from the least developed countries;
- (c) One member from the small island developing States;
- (d) Two members from relevant intergovernmental organizations.¹⁶

5. By the same decision, the CMA also decided that members shall be nominated by their respective groups. Groups are encouraged to nominate members taking into account the goal of achieving gender balance. The Chairs of the Subsidiary Body for Scientific and Technological Advice (SBSTA) and the Subsidiary Body for Implementation (SBI) shall be notified of these appointments.¹⁷

6. The CMA also decided that members shall serve in their expert capacity and should have relevant qualifications and expertise in the technical and socioeconomic fields related to the areas of the work programme of the forum.¹⁸

7. Further, the CMA decided that members shall serve a term of two years and be eligible to serve a maximum of two consecutive terms in office.¹⁹ The following rules shall apply:

- (a) Half of the members shall be nominated initially for a term of three years and half of the members shall be elected for a term of two years;

¹⁶ Decision 7/CMA.1, annex, para. 4(b).

¹⁷ Decision 7/CMA.1, annex, para. 4(d).

¹⁸ Decision 7/CMA.1, annex, para. 4(c).

¹⁹ Decision 7/CMA.1, annex, para. 4(e).

- (b) Thereafter, members are nominated for a term of two years;
 - (c) The members shall remain in office until their successors are nominated. In such a case, the KCI shall notify the Chairs of the SBSTA and the SBI;
8. The term of office of a member shall commence at the first meeting of the KCI in the calendar year of their appointment and end immediately before the first meeting of the KCI in the calendar year after their second calendar year in office.
9. If a member of the KCI resigns or is otherwise unable to complete the assigned term of office or to perform the functions of that office, the KCI shall request the group that nominated the member to nominate another member for the remainder of the unexpired term, in which case the appointment shall count as one term. In such a case, the KCI shall notify the Chairs of the SBSTA and the SBI.
10. If a member is unable to participate in two consecutive meetings of the KCI or unable to perform the functions and tasks set by the KCI, the Co-Chairs of the KCI will bring this matter to the attention of the KCI and seek clarification from the group that nominated that member on the status of their membership.

IV. Conflict of interest

11. The KCI members must promptly disclose, and recuse themselves from, any deliberations or decision-making which could have a bearing on their personal or financial interests, in order to avoid a conflict of interest or the appearance of one. Further, KCI members shall not disclose any information deemed by the committee as confidential which they receive in the course of their duties, even after leaving the Committee.

V. Co-Chairs

12. The CMA decided that the KCI shall elect, on a consensus basis, two members from among its members to serve as Co-Chairs for a term of two years each, taking into account the need to ensure equitable geographical representation.²⁰
13. The CMA also decided that if one of the Co-Chairs is temporarily unable to fulfil the obligations of the office, another member designated by the KCI shall serve as Co-Chair.²¹
14. If one of the Co-Chairs is unable to complete the term of office, the KCI shall elect a replacement from among its members of the relevant group of the incumbent Co-Chair, if available, to complete that term of office.
15. The Co-Chairs shall collaborate in chairing meetings of the KCI and in facilitating the work of the KCI throughout the year, in accordance with the workplan of the forum and its KCI, to ensure coherence between meetings.
16. Following the completion of the Co-Chairs' two-year term of office, the KCI shall nominate two members as Co-Chairs to serve the next two-year term of office.
17. The Co-Chairs shall declare the opening and closing of meetings of the KCI, ensure the observance of these rules of procedure and rule on points of order.
18. The Co-Chairs shall call upon speakers at meetings of the KCI in the order in which they signify their desire to speak. The secretariat shall maintain a list of speakers. The Co-Chairs may call a speaker to order if their remarks are not relevant to the subject under discussion.
19. The KCI may further define additional roles and responsibilities for the Co-Chairs.
20. In exercising their functions, the Co-Chairs shall remain under the authority of the KCI.

²⁰ Decision 7/CMA.1, annex, para. 4(f).

²¹ Decision 7/CMA.1, annex, para. 4(g).

VI. Secretariat

21. The secretariat shall support and facilitate the work of the KCI, by:
 - (a) Making the necessary arrangements for the meetings of the KCI, including announcing meetings, issuing invitations, making the necessary travel arrangements for members eligible for funding for their participation in meetings and making available relevant documents for meetings;
 - (b) Maintaining meeting records and arranging for the storage and preservation of documents of meetings of the KCI;
 - (c) Making documents of meetings of the KCI available to the public, unless otherwise decided by the KCI.
22. The secretariat shall assist the KCI in tracking its actions, in accordance with the workplan of the forum and its KCI, in its annual report.
23. In addition, the secretariat shall perform any other functions assigned by the KCI, in accordance with the workplan of the forum and its KCI.

VII. Meetings

24. The KCI shall meet twice per year, for two days per meeting, in conjunction with the sessions of the subsidiary bodies.
25. At least nine members of the KCI must be present to constitute a quorum.
26. Members are requested to confirm their attendance at meetings of the KCI as early as possible, and at least four weeks prior to a meeting for members eligible for funding for their participation, to enable sufficient time for the secretariat to make the necessary travel arrangements.
27. Should technical and financial resources permit, open meetings of the KCI shall be webcast through the UNFCCC website.
28. At each of its meetings, the KCI shall propose the dates of its next meeting. The Co-Chairs will agree the dates of the next meeting in consultation with the secretariat.

VIII. Agenda and documentation for meetings

29. The Co-Chairs, assisted by the secretariat, shall prepare the provisional agenda and provisional annotated agenda for each meeting of the KCI in accordance with the workplan of the forum and its KCI. The Co-Chairs will prepare a report on the meeting, to be agreed by members, and this will be made available on the UNFCCC website. The Co-Chairs will report back to the forum on the meeting of the KCI.
30. The provisional agenda and provisional annotated agenda for each meeting shall be transmitted to the members of the KCI at least four weeks in advance of the meeting.
31. Members may propose additions or changes to the provisional agenda and provisional annotated agenda, in writing, to the secretariat within one week of receiving the documents, and these additions or changes shall be considered for a revised provisional agenda and provisional annotated agenda by the secretariat in agreement with the Co-Chairs.
32. The secretariat shall transmit the provisional agenda and provisional annotated agenda and any supporting documentation to the members at least two weeks prior to a meeting. Documents may be transmitted after that date with the approval of the Co-Chairs.
33. Documents for a meeting shall be published on the UNFCCC website at least two weeks prior to that meeting, to the extent possible.
34. The KCI shall, at the beginning of each meeting, adopt the agenda for that meeting.

35. The CMA decided that members of the KCI shall prepare an annual report for consideration by the forum with a view to making recommendations for consideration by the SBSTA and the SBI, which, in turn, are to recommend actions to the Conference of the Parties (COP), the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol (CMP) and the CMA for their consideration and adoption.²²

36. This annual report shall be made available on the UNFCCC website before the relevant sessions of the COP, the CMP and the CMA.

IX. Decision-making

37. The CMA decided that the KCI shall operate on the basis of consensus of its members.²³

38. The KCI may use electronic means to facilitate its work, as appropriate and in accordance with the workplan of the forum and its KCI.

X. Working language

39. The working language of the KCI shall be English.

XI. Participation of expert advisers in meetings

40. The KCI, in performing its mandate, should draw upon outside expertise at its meetings.

41. The Co-Chairs may, in consultation with the KCI, invite representatives of international organizations, the private sector, academia and/or civil society to participate in a meeting of the KCI as expert advisers on specific issues under consideration at the meeting.

XII. Participation of observers

42. The CMA decided that meetings of the KCI shall be open to attendance as observers by all Parties and accredited observer organizations, unless otherwise decided by the KCI.²⁴

43. The KCI may decide to close a meeting or part thereof to observers at any time.

44. The secretariat shall make the dates and venues of the meetings available to the public to enable participation by observers.

45. Observers may, with the agreement of the KCI, be invited to address the KCI on matters under consideration by the KCI. The Co-Chairs shall notify the KCI one week in advance of the meeting of any proposed interventions by observers.

46. The KCI may request interventions from observers throughout the meeting, as appropriate.

XIII. Use of electronic means of communication

47. The KCI shall use electronic means of communication to facilitate intersessional work, as appropriate and in accordance with the workplan of the forum and its KCI. The secretariat shall ensure that a secure and dedicated web interface is established and maintained to facilitate the work of the KCI.

²² Decision 7/CMA.1, para. 12, and annex, para. 4(j).

²³ Decision 7/CMA.1, annex, para. 4(i).

²⁴ Decision 7/CMA.1, annex, para. 4(h).

XIV. Working groups

48. The KCI may establish working groups among its members to support the forum in performing its functions. The working groups may receive inputs from experts, practitioners and relevant organizations in accordance with the workplan of the forum and its KCI and in line with paragraphs 39–40 above.

XV. Workplan

49. The KCI will support the work of the forum in line with the workplan of the forum and its KCI.

XVI. Amendments to the rules of procedure

50. The KCI may recommend amendments to these rules of procedure for consideration by the forum and approval by the subsidiary bodies.

51. Proposals and amendments to proposals for the rules of procedure may be introduced and submitted to the secretariat in writing by KCI members; such proposals and amendments shall be circulated for consideration by all members of the KCI.

52. No proposal for the rules of procedure shall be discussed or put forward for a decision at any meeting unless copies have been circulated to the KCI members no later than two weeks before the meeting.

XVII. Overriding authority of the Convention, the Kyoto Protocol and the Paris Agreement

53. In the event of a conflict between any provision of these rules and any provision of the Convention, the Kyoto Protocol or the Paris Agreement, the provision of the Convention, the Kyoto Protocol or the Paris Agreement shall take precedence.
