



UNFCCC ITL Administrator

Standard Independent Assessment Report
Assessment Report
Part 1 - Completeness

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Document change record

Version	Date	Description
0.1	03/05/2010	First draft
0.2	07/05/2010	Clarifications on assessment of discrepant transactions (P1.2.3, P1.2.4), Publicly available information around account holder representatives (P1.4.1.4), and previous ERT recommendations.
0.3	16/06/2010	Further clarifications from assessor
1.0	16/06/2010	v1.0 Part 1 assessment to be sent to Party
1.1	04/08/2010	Updated considering Party comments
2.0	05/08/2010	Reviewed v2.0 Part 1 ready to send to Party

Summary

Ref Nr	Description	Value	Comments
P1.0.1	Party name	<Spain>	
P1.0.2	Reporting period	2009	
P1.0.3	Submission under review	<p>Files submitted:</p> <p>< List all files reviewed – include short name in brackets.></p> <p>- [SEF] SEF_ES_2010_1_13-46-42 25-1-2010.xls</p> <p>- [NIR] Informe Inventario GEI Espana 1990-2008 (Ed 2010).pdf</p> <p>- [REPORTS] SIAR Reports 2010-ES v1.0.xls</p> <p>-[RESPONSE1] SIAR_Consultation FORM Draft Part1 ESP.doc</p>	<p>Information from the ITL Administrator:</p> <p>< List all files from ITL supporting the review></p> <p>- [SEFCR] SEF_ES_2010_1_13-46-42 25-1-2010-CR.xls</p> <p>-[RRITL] SIAR_Reports_2009_ES_v1.1.xls</p>
P1.0.4	Previous annual review report reference	FCCC/ARR/2009/ESP (05/03/2010)	

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1. Introduction

The SIAR Part 1 report assesses the completeness of a Party's annual submission with regard to its national registry. Each section contains questions related to the specific items to be assessed.

1.1. Overall assessment

Ref Nr	Requirement	Assessment
P1.1.1	Submission complete? Information has been provided pursuant to paragraphs 11 to 18 in section I.E of the annex to decision 15/CMP.1, paragraph 32 in section II.E of the annex to decision 15/CMP.1 and the further guidance by the SIAR guidelines?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
P1.1.2	Public Information Provided? Information has been provided pursuant to paragraphs 44 to 48 in section I.E of the annex to decision 13/CMP.1?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
P1.1.3	Explanation of how the previous Annual Review recommendations have been addressed?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> NA

2. Accounting of Kyoto Protocol units

The purpose of this section is to indicate whether information has been reported by the Party in its annual submission pursuant to paragraphs 11 to 18 in section I.E of the annex to decision 15/CMP.1.

Ref Nr	Requirement	Assessment	Comments
15/CMP.1 annex I.E paragraph 11 Standard electronic format (SEF)			
P1.2.1	14/CMP.1 annex I. paragraph 3 Has the Party transferred or acquired any Kyoto Protocol units in the reporting period or in any year prior to the reporting year? (If yes, Party is required to submit the SEF report)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party has transferred or acquired Kyoto Protocol units during or prior to the reported period as indicated in [SEF] and [SEFCR].
P1.2.2	15/CMP.1 annex I.E paragraph 11 Has the Party provided a report in the SEF containing the information required by paragraph 11 of the annex to decision 15/CMP.1? (Yes is also indicated when the Party has not submitted a SEF and was not required to do so)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party's SEF report [SEF] was made available to assessors by 15/04/2010.
15/CMP.1 annex I.E paragraph 12 Discrepant transactions			
P1.2.3	Has the transaction log identified discrepancies in transactions proposed by the Party?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The ITL identified a discrepancy and returned response code 4010 for transaction ES1032119
P1.2.4	Has Party reported all discrepancies as required by paragraph 12 of the annex to decision 15/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	In [NIR] paragraph 12.3.1, the Party reported the discrepancy in which response code 4010 was returned for transaction ES1032119. This matches the ITL data. and is also reported in [REPORTS] R-2

Ref Nr	Requirement	Assessment	Comments
15/CMP.1 annex I.E paragraph 13 Reversal of storage notifications			
P1.2.5	Has the transaction log issued reversal of storage notifications to the Party's national registry?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL identified no reversal of storage notifications to the Party's national registry during the reported period (see [RRITL], Report R-3).
P1.2.6	Has Party reported all notifications directing it to replace ICERs in accordance with paragraph 49 of the annex to decision 5/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	In [NIR] paragraph 12.3.2, the Party reported that no reversal of storage notifications was addressed to its national registry during the reported period. The information has been verified and matches the ITL records (see [RRITL], report R-3). and is also reported in [REPORTS] R-2
15/CMP.1 annex I.E paragraph 14 Non-certification notifications			
P1.2.7	Has the transaction log issued non-certification notifications to the Party's national registry?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL identified no non-certification notifications to the Party's national registry during the reported period (see [RRITL], Report R-3).
P1.2.8	Has the Party reported all notifications to replace ICERs in accordance with paragraph 50 of the annex to decision 5/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	In [NIR] paragraph 12.3.2, the Party reported that no non-certification notifications were addressed to its national registry during the reported period. The information has been verified and matches the ITL records (see [RRITL], report R-3) and is also reported in [REPORTS] R-2
15/CMP.1 annex I.E paragraph 15 Non-replacement			
P1.2.9	Has the transaction log a record of non-replacement for this Party?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The ITL identified no non-replacement notifications to the Party's national registry during the reported period (see [RRITL], Report R-3).

Ref Nr	Requirement	Assessment	Comments
P1.2.10	Has Party reported all non-replacements in accordance with paragraph 56 of the annex to decision 5/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	In [NIR] paragraph 12.3.3, the Party reported that no non-replacement notifications were addressed to its national registry during the reported period. The information has been verified and matches the ITL records (see [RRITL], report R-3). This is also reported in [REPORTS] R-3
15/CMP.1 annex I.E paragraph 16 Inconsistencies from discrepant transactions			
P1.2.11	Has the transaction log identified discrepant transactions proposed by the Party that were not terminated or cancelled?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The transaction log has not identified any discrepant transactions proposed by the Party that were not terminated or cancelled.
P1.2.12	Has Party reported on units that are not valid for use towards compliance with commitments under Article 3, paragraph 1, pursuant to paragraph 43 (b) of the annex to decision 13/CMP.1?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party reported in [NIR] paragraph 12.3.4 that its national registry does not hold units that are not valid for use towards compliance with commitments under Article 3, paragraph 1. This is also reported in [REPORTS] R-5.
15/CMP.1 annex I.E paragraph 17 Actions and changes to address discrepancies			
P1.2.13	Has Party reported actions taken to correct any problem that caused a discrepancy to occur, any changes to the national registry to prevent a discrepancy from reoccurring, or the resolution of any previously identified questions of implementation pertaining to transactions?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	In [NIR] paragraph 12.3.5, the Party reported that no actions were undertaken because no actions were necessary to correct problems that caused discrepancies from occurring, or to prevent discrepancies from reoccurring. There were no actions necessary to address questions of implementation pertaining to transactions.
15/CMP.1 annex I.E paragraph 18 CPR Calculation			
P1.2.14	Has Party reported the calculation of its commitment period reserve?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The Party reported the calculation of its commitment period reserve (CPR) in [NIR] paragraph 12.5.

3. National Registry Change

If a change to a Party's national registry has been identified under paragraph 22 of the annex to decision 15/CMP.1 then information relating to this change should be submitted by the Party in accordance with paragraph 32 of the annex to decision 15/CMP.1.

The baseline for reporting changes of national registry is the information provided by the Party in its last submission.

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.1	15/CMP.1 annex II.E paragraph 32.(a) Change of name or contact Has the Party reported changes to the name or contact information of the registry administrator?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	In [NIR] paragraph 14.2.1, the Party lists the contact details for the people responsible for administering the registry but the party does not indicate if this information has changed since the previous submission. The party should specifically reference any changes to this information in subsequent annual submissions.
P1.3.2	15/CMP.1 annex II.E paragraph 32.(b) Change of cooperation arrangement Has the Party reported changes to the names of the other Parties with which they cooperate in maintaining their national registry consolidated?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In [NIR] paragraph 14.2.2, the Party states that no changes have been made to its cooperation arrangements.

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.3	15/CMP.1 annex II.E paragraph 32.(c) Change to the database or the capacity of National Registry Has the Party reported a change to the database structure or capacity of the national registry?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In [NIR] paragraph 14.2.3, the Party states that no changes have been made to the database and capacity of its national registry.
P1.3.4	15/CMP.1 annex II.E paragraph 32.(d) Change of conformance to technical standards Has the Party reported a change to how the national registry conforms to the technical standards for data exchange between registry systems?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	In [NIR] paragraph 14.2.4, the Party states that changes have been made to the conformance to technical standards by its national registry.
P1.3.5	15/CMP.1 annex II.E paragraph 32.(e) Change of discrepancies procedures Has the Party reported a change to the procedures employed in the national registry to minimize discrepancies?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	In [NIR] paragraph 14.2.5, the Party states that changes have been made to the procedures employed in its national registry to minimize discrepancies.
P1.3.6	15/CMP.1 annex II.E paragraph 32.(f) Change of Security Has the Party reported a change to the security measures employed in the national registry to prevent unauthorized manipulations and to prevent operator error?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	In [NIR] paragraph 14.2.6, the Party states that no changes have been made to security measures to prevent unauthorized manipulations but that changes have been made to prevent operator error. .

Ref Nr	Requirement	Submission complete?	Item changed during reported period?	Comments
P1.3.7	15/CMP.1 annex II.E paragraph 32.(g) Change of list of publicly available information Has the Party reported a change to the list of publicly accessible information?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	In [NIR] paragraph 14.2.7, the Party states that some improvements have been made to the list of publicly available information accessible by means of the user interface of its national registry and this is detailed in Table 12.4.1.
P1.3.8	15/CMP.1 annex II.E paragraph 32.(h) Change of Internet address Has the Party reported a change to the Internet address of the national registry?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	In [NIR] paragraph 14.2.8, the Party states that no changes have been made to the Internet address of its national registry.
P1.3.9	15/CMP.1 annex II.E paragraph 32.(i) Change of data integrity measure Has the Party reported a change to the measures ensuring the integrity of data storage and the recovery of registry services in the event of a disaster?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	In [NIR] paragraph 14.2.9, the Party states that some minor changes have been made to the data integrity measures.
P1.3.10	15/CMP.1 annex II.E paragraph 32.(j) Change of test results Has the Party reported a change to the results of any test procedures?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	In [NIR] paragraphs 14.2.4 and 14.2.10, the Party states that changes have been made to the results of its test procedures due to software upgrades and this is discussed in detail in Section 14.2.4.

4. Public information

This section provides information on the completeness of public information provided pursuant to part E of the annex to 13/CMP.1, paragraphs 44 to 48 inclusive.

Paragraph 44 of the annex to decision 13/CMP.1 requires the national registry to make non-confidential information publicly available.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.1	13/CMP.1 Annex paragraph 45 Account information provided?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No See 1.4.1.2 and 1.4.1.3	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [NIR] paragraphs 12.4 and details the specific information that is made public on www.renade.es on Table 12.4.1
P1.4.1.1	13/CMP.1 Annex paragraph 45 (a) Account name: the holder of the account	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [NIR] paragraphs 12.4 and details the specific information that is made public on www.renade.es on Table 12.4.1 Assessor was able to locate operator and personal account holder information at this link
P1.4.1.2	13/CMP.1 Annex paragraph 45 (b) Account type: the type of account (holding, cancellation or retirement)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [NIR] paragraphs 12.4 and details the specific information that is made public on www.renade.es on Table 12.4.1 Assessor was not able to locate any information for retirement or cancellation accounts in the public information section of the registry. The party should make all account type information public or specifically state what information is considered confidential.

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.1.3	13/CMP.1 Annex paragraph 45 (c) Commitment period: the commitment period with which a cancellation or retirement account is associated	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [NIR] paragraphs 12.4 and details the specific information that is made public on www.renade.es on Table 12.4.1 Assessor was not able to locate any information for retirement or cancellation accounts in the public information section of the registry. The party should make all publicly available information public or specifically state what information is considered confidential.
P1.4.1.4	13/CMP.1 Annex paragraph 45 (d) Representative identifier: the representative of the account holder, using the Party identifier (the two-letter country code defined by ISO 3166) and a number unique to that representative within the Party's registry	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [NIR] paragraphs 12.4 and details the specific information that is made public on www.renade.es on Table 12.4.1. The assessor was able to identify this information for operator and personal holding accounts in the public reports section at www.renade.es .
P1.4.1.5	13/CMP.1 Annex paragraph 45 (e) Representative name and contact information; the full name, mailing address, telephone number, facsimile number and email address of the representative of the account holder	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [NIR] paragraphs 12.4 and details the specific information that is made public on www.renade.es on Table 12.4.1 Assessor was able to locate representative contact details in the reports section of the website
P1.4.2	13/CMP.1 Annex paragraph 46 Article 6 project information provided?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [NIR] paragraphs 12.4 and states in a footnote 2 in Table 12.4.1 that Spain does not currently participate in JI projects This information is also reported in the Public Information section at www.renade.es

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.2.1	13/CMP.1 Annex paragraph 46 (a) Project name: a unique name for the project	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [NIR] paragraphs 12.4 and states in a footnote 2 in Table 12.4.1 that Spain does not currently participate in JI projects This information is also reported in the Public Information section at www.renade.es
P1.4.2.2	13/CMP.1 Annex paragraph 46 (b) Project location; the Party and town or region in which the project is located	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [NIR] paragraphs 12.4 and states in a footnote 2 in Table 12.4.1 that Spain does not currently participate in JI projects This information is also reported in the Public Information section at www.renade.es
P1.4.2.3	13/CMP.1 Annex paragraph 46 (c) Years of ERU issuance; the years in which ERU's have been issued as a result of the Article 6 project	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [NIR] paragraphs 12.4 and states in a footnote 2 in Table 12.4.1 that Spain does not currently participate in JI projects This information is also reported in the Public Information section at www.renade.es
P1.4.2.4	13/CMP.1 Annex paragraph 46 (d) Reports; downloadable electronic version of all publicly available documentation relating to the project, including proposals, monitoring, verification and issuance of ERU's, where relevant, subject to the confidentiality provisions in decision 9/CMP.1.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [NIR] paragraphs 12.4 and states in footnote 2 in Table 12.4.1 that Spain does not currently participate in JI projects. This information is also reported in the Public Information section at www.renade.es
P1.4.3	13/CMP.1 Annex paragraph 47 Holding and transaction information provided?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [NIR] paragraphs 12.4 and states in footnote 1 in Table 12.4.1 that account holdings and transaction information are considered confidential for 5 years in accordance with EU regulation 2216/2004

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.1	13/CMP.1 Annex paragraph 47 (a) The total quantity of ERUs, CERs, AAUs and RMUs in each account at the beginning of the year	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Partial	The Party provides reference to this publicly available information in [NIR] paragraphs 12.4 and states in footnote 1 in Table 12.4.1 that account holdings and transaction information are considered confidential for 5 years in accordance with EU regulation 2216/2004 . Aggregated unit holding data is available in the SEF tables that are available in the public information section at www.renade.es .
P1.4.3.2	13/CMP.1 Annex paragraph 47 (b) The total quantity of AAUs issued on the basis of the assigned amount pursuant to Article 3, paragraphs 7 and 8	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [NIR] paragraphs 12.4 This information is also reported in the SEF tables that are available in the public report section at www.renade.es
P1.4.3.3	13/CMP.1 Annex paragraph 47 (c) The total quantity of ERUs issued on the basis of Article 6 projects	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [NIR] paragraphs 12.4 and in Table 12.4.1. Additionally the Party clearly states in the public report section of www.renade.es that the party does not currently participate in JI projects and therefore has not issued any ERUs. This information is also reported in the SEF tables that are available in the public report section at w2ww.renade.es
P1.4.3.4	13/CMP.1 Annex paragraph 47 (d) The total quantity of ERUs, CERs, AAUs and RMUs acquired from other registries and the identity of the transferring accounts and registries	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Partial	The Party provides reference to this publicly available information in [NIR] paragraphs 12.4 and states in footnote 1 in Table 12.4.1 that account holdings and transactional information are considered confidential for 5 years in accordance with EU regulation 2216/2004. A portion of this information is provided by the SEF tables that are available in the public reports section at www.renade.es

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.5	13/CMP.1 Annex paragraph 47 (e) The total quantity of RMUs issued on the basis of each activity under Article 3, paragraphs 3 and 4	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [NIR] paragraphs 12.4 and Table 12.4.1 and the assessor was able to identify this information in the public reports section available at www.renade.es
P1.4.3.6	13/CMP.1 Annex paragraph 47 (f) The total quantity of ERUs, CERs, AAUs and RMUs transferred to other registries and the identity of the acquiring accounts and registries	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Partial	The Party provides reference to this publicly available information in [NIR] paragraphs 12.4 and states in footnote 1 in Table 12.4.1 that account holdings and transaction information are considered confidential for 5 years in accordance with EU regulation 2216/2004. A portion of this information is provided by the SEF tables that are available in the public reports section at www.renade.es
P1.4.3.7	13/CMP.1 Annex paragraph 47 (g) The total quantity of ERUs, CERs, AAUs and RMUs cancelled on the basis of activities under Article 3, paragraphs 3 and 4	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [NIR] paragraphs 12.4 and Table 12.4.1 and the assessor was able to identify this information in the public reports section available at www.renade.es .
P1.4.3.8	13/CMP.1 Annex paragraph 47 (h) The total quantity of ERUs, CERs, AAUs and RMUs cancelled following determination by the Compliance Committee that the Party is not in compliance with its commitment under Article 3, paragraph 1	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [NIR] paragraphs 12.4 and Table 12.4.1 and the assessor was able to identify this information in the public reports section available at www.renade.es .
P1.4.3.9	13/CMP.1 Annex paragraph 47 (i) The total quantity of other ERUs, CERs, AAUs and RMUs cancelled	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [NIR] paragraphs 12.4 and Table 12.4.1 and the assessor was able to identify this information in the public reports section available at www.renade.es .

Ref Nr	Requirement	Submission complete	Has party identified this type of information confidential?	Comments
P1.4.3.10	13/CMP.1 Annex paragraph 47 (j) The total quantity of ERUs, CERs, AAUs and RMUs retired	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [NIR] paragraphs 12.4 and Table 12.4.1 and the assessor was able to identify this information in the public reports section available at www.renade.es .
P1.4.3.11	13/CMP.1 Annex paragraph 47 (k) The total quantity of ERUs, CERs and AAUs carried over from the previous commitment period	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Partial	The Party provides reference to this publicly available information in [NIR] paragraphs 12.4 and Table 12.4.1 and the assessor was able to identify this information in the public reports section available at www.renade.es .
P1.4.3.12	13/CMP.1 Annex paragraph 47 (l) Current holdings of ERUs, CERs, AAUs and RMUs in each account	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Partial	The Party provides reference to this publicly available information in [NIR] paragraphs 12.4 and states in footnote 1 in Table 12.4.1 that account holdings and transaction information are considered confidential for 5 years in accordance with EU regulation 2216/2004. A portion of this information is provided by the SEF tables that are available in the public reports section at www.renade.e
P1.4.4	13/CMP.1 Annex paragraph 48 List of legal entities authorized by Party provided? ¹	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	The Party provides reference to this publicly available information in [NIR] paragraphs 12.4 and Table 12.4.1 and the assessor was able to identify this information in the public reports section available at www.renade.es .

¹ For the purpose of the annual review, the list of accounts assigned to legal entities within the national registry is sufficient

5. Previous Expert Review Team recommendations

This section provides information on the completeness of Party's annual submission in addressing the previous annual review recommendations. If the Expert review team has made recommendations in the previous review, this section should list them and party's annual submission is checked if it covers each recommendation.

Ref Nr	Recommendation from previous Annual Review report (with ref)	Has Party covered the recommendation in its submission? [x] Yes [] No	Comment
P1.5.1	FCCC/ARR/2009/ESP paragraph 108(h): "Further improve the measures put in place in its registry to minimize operator errors, in accordance with paragraph 115 (e) of the annex to decision 22/CMP.1"		<p>The party addresses previous ERT recommendations in Section 14.3 of [NIR] and also address the issue in Section 14.2.6 of the report.</p> <p>Additionally, in [RESPONSE1] the Party reiterates that a new check has been introduced into the Registry to prevent categorising transfers to other countries as domestic transfers and vice versa. This change in the Registry consists of the implementation of automated internal validation checks of response code 4007 in DES (Technical Standards for data exchange) prior to transaction proposals to ITL.</p> <p>These steps were taken in order to minimise possible errors among operators' actions as well as to assure efficient interoperability between ES Registry and other registry systems, including the ITL.</p>

P1.5.2	FCCC/ARR/2009/ESP paragraph 108(i): “Put in place as soon as possible measures to mitigate and reduce the internal fragmentation of unit blocks and report on progress made in implementing these measures in its next annual submission, including any relevant implementation plan, test plans and test reports following the changes applied to its registry”	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	The party addresses previous ERT recommendations in Section 14.3 of [NIR] and also discusses the results of their fragmentation investigation in Section 14.2.4 and 14.2.6 of the report. The Party references their work on this issue and the results of their investigation. They also note that a working group has been established and is working towards a solution that will improve the situation for all parties.
P1.5.3		<input type="checkbox"/> Yes <input type="checkbox"/> No	
P1.5.x		<input type="checkbox"/> Yes <input type="checkbox"/> No	