## Questionnaire for international, regional, bilateral and non-governmental actors

The Conference of the Parties (COP), at its nineteenth session, established the Warsaw International Mechanism to address loss and damage associated with the adverse effects of climate change through its three main functions: enhancing knowledge and understanding of comprehensive risk management approaches; strengthening dialogue, coordination, coherence and synergies among relevant stakeholders; and enhancing action and support, including finance, technology and capacity building. The Executive Committee is mandated to guide the implementation of these functions. The COP, at its twentieth session, approved an initial two-year workplan of the Executive Committee.

Action Area 5 of the initial two-year workplan focuses on enhancing the understanding of the capacity and coordination needs with regard to preparing for, responding to and building resilience against loss and damage associated with extreme and slow onset events, including through recovery and rehabilitation.

In this context, the Executive Committee is inviting you, as an organization that assists/supports regional, national and/or subnational governments in conducting climate risk analyses/management, to provide the Executive Committee with your inputs, knowledge and experience in this field for the completion of this task. To facilitate this we kindly ask you **to complete and return this questionnaire to <loss-damage@unfccc.int> by 1 November2016.**

The information provided will serve as an input into the work of the Executive Committee, in particular, the **preparation of a paper that consolidates experiences and lessons learned and identifies priority areas for increasing capacity and investment**. Please indicate your interest in contributing to this paper. We would be very grateful if you could also cite any publications that you believe might help us with the preparation of this paper.

Thank you in advance for your effort to complete the questionnaire.

Pepetua Latasi and Shereen D’Souza

Co-chairs of the Executive Committee of the

Warsaw International Mechanism for Loss and Damage

### Level of engagement or support in conducting risk analysis and assessments

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| 1. Does your organization conduct risk analyses, including climate risk analysis or assessments, in supporting or assisting regional institutions, national/subnational governments? | | |
| Yes  No  Other *(please specify)* \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | | |
| |  | | --- | | 1.1. *If no*, does your organization conduct and integrate climate risk analysis in its own climate risk management tools, projects and programs in support of national/subnational governmental institutions? | | Yes  No |  |  | | --- | | 1.2. *If yes*, please indicate at what level your organization is providing this support. | | Regional  National  Subnational  Other *(please specify)* \_\_\_\_\_\_\_\_\_\_\_\_\_\_ | | Please list the countries your organization supports in preparing/conducting climate risk analysis or assessments and the level of such support: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | |

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| 2. Does your organization utilize the same climate risk assessment methodology in all countries and at all levels it assists/supports?[[1]](#footnote-1) | | |
| Yes  No  Uncertain | | |
| 2.1. *If yes*, please name the methodology and sources of reference: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |
| 2.2. *If no or uncertain*, please provide a brief explanation:  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |

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| 3. Does your organization have any particular policy, methodological recommendation or rule of procedure regarding the scheduled review/update period for the climate risk analyses it undertakes or is engaged with? | | |
| Yes  No  Uncertain | | |
| 3.1. *If yes*, please provide a brief explanation\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |

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| 4. Does your organization assist regional institutions, national/subnational governments to conduct comprehensive or sectoral climate risk analysis? | | |
| Comprehensive  Sectoral | | |
| 4.1. *If sectoral*, please choose all that apply:  Agriculture  Health  Forests and ecosystems  Infrastructure  Water resources/availability  Humans settlements  Food security  Other (*please specify)* \_\_\_\_\_\_\_\_\_\_\_\_\_\_ |

### Analyzing the risk of loss and damage associated with the adverse effects of climate change

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| 5. Do the risk analyses your organization assists with incorporate the risk of loss and damage associated with the adverse effects of climate change? | | |
| Yes  No  Uncertain | | |
| 5.1. *If yes*, what types of loss and damage are included in such climate risk analyses?  Non-economic losses[[2]](#footnote-2)  Slow onset events[[3]](#footnote-3)  Extreme weather events  Other *(please specify)* \_\_\_\_\_\_\_\_\_\_\_\_\_\_ |

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| 6. If conducting slow onset events risk analyses, please indicate the types of slow onset events your organization assist with. |
| Sea level rise  Ocean acidification  Loss of biodiversity  Desertification  Land and forest degradation  Rising temperatures  Salinization  Glacial retreat and related impacts |

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| 7. If conducting risk analyses of loss and damage associated with the adverse effects of climate change, including slow onset events and non-economic losses, does your organization use a specific methodology? | | |
| Yes  No | | |
| 7.1. *If yes*, please provide a brief explanation, providing details and references \_\_\_\_\_\_\_\_\_\_\_\_ |

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| 8. If the climate risk analyses your organization helps to conduct include the risks of losses and damages associated with the adverse effects of climate change, does this work face particular challenges with the lack of or insufficient knowledge, information and understanding of such risks? | | |
| Yes  No  Uncertain | | |
| *If yes*, please provide a brief explanation\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | | |
| |  | | --- | | 8.1. If your organization includes in its climate risk analysis the risk of loss and damage, and based on the experience your organization has built in supporting regional institutions and national/subnational governments, do they face particular or additional challenges/difficulties in analysing the risks of slow onset events vis-a-vis the risks of extreme weather events? | | Yes  No | | *If yes*, what are the main challenges?  Lack of data, information, scenarios  Lack of funding  Not seen as a pressing or urgent issue at present  Difficult to understand  Not enough awareness  Other *(please explain or specify)* \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |  |  | | --- | | 8.2. If your organization does not include in its climate risk analysis the risk of loss and damage associated with the adverse effects of climate change, are there plans to integrate this area of risks in the near future? | | Yes  No  Undecided | |

### Sources of and capacities to utilize/apply scientific information in conducting climate risk analyses

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| 9. Does your organization have capacities and regularly use observed climate time series and climate change scenarios in conducting the climate risk analyses it helps to conduct in support of regional institutions and national/subnational governments? |
| Yes  No  Uncertain |

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| 10. Is the scientific information your organization utilizes in helping to conduct climate risk assessments easily available or freely accessed at the regional, national/subnational levels it is engaged in? |
| Most of the cases  Many cases  Few cases  Very rarely |

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| 11. Does your organization have any policy or role to support or collaborate with national/subnational governments you work with in building/enhancing capacities to access or generate the scientific information that is required to conduct climate risk assessments? | | |
| Yes  No  Uncertain | | |
| 11.1. *If yes*, please provide a brief explanation\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |

### Needs and limitations to undertake climate risk analyses

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| 12. In the cases where regional institutions and national /subnational governments that your organization supports do not conduct climate risk analyses, please indicate, in your view, what are the most frequent reasons or causes. *Please choose a maximum of 4 options and provide any additional relevant information.* | | |
| This is not relevant or not a priority.  Lack of information on climate change and impacts.  Climate change information is available but too complicated or difficult to use.  Lack of capacity to collect, enter, store or process required data.  Lack of capacity to analyse or interpret data.  Inadequate finance for continuous data collection, data processing, data analysis.  Lack of capacity to use or incorporate climate risk analysis in risk management plans and or national/subnational planning tools and processes.  Other *(please specify*) \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | | |
| 12.1. Please provide a brief explanation\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |

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| 13. What do you think might be the most frequent needs to be addressed or support to be provided for these regional institutions and national/subnational governments to be able to initiate a climate risk analysis? *Please choose a maximum of 4 options.* |
| Information  Improved planning processes  Sharing good practices  Better access to global data  Training  Personnel  Finance  Enhanced coordination  Other *(please specify)* \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |

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| 14. What particular or important challenges and constraints does your organization face in assisting/supporting countries with conducting climate risk analyses? |
| Please provide a brief explanation \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |

### Capacities related to integrating risk analyses in disaster risk management

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| 15. Are the climate risk analyses that your organization helps to conduct generally used/integrated in any or some of the national/subnational adaptation, risk management or development plans? |
| Yes  No  Uncertain |

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| 16. Does your organization have the capacity and does it assist regional institutions and national/subnational governments with using climate risk analysis in preparing their national comprehensive disaster risk management plans/schemes (including prevention, preparedness, response and recovery)? |
| Yes  No  Upon request |

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| 17. Does your organization have capacities/experiences and assist regional institutions, national/subnational governments with integrating climate risk analysis of loss and damage associated with the adverse effects of climate, including slow onset events, in their national comprehensive disaster risk management plans/schemes (including prevention, preparedness, response and recovery)? | | |
| Yes  No | | |
| 17.1. *If yes*, please provide a brief explanation\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |

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| 18. If assisting in integrating the risk of loss and damage associated with the adverse effects of climate change, including slow onset events, in national disaster risk management planning, according to your experience, what are the most relevant challenges and constraints regional institutions and national/subnational governments face in doing so? *Please choose a maximum of 4 options.* |
| Climate risk analysis is not conducted.  Lack of knowledge of slow onset events.  Lack of research on or information of climate change impacts, including associated losses and damages.  Lack of adequate institutional coordination.  Lack of institutional technical capacities or skills.  Lack of finance.  Other *(please specify)* \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |

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| 19. Does your organization have the capacity/experience to implement comprehensive disaster risk management plans/processes? | | |
| Yes  No  Other *(please specify)* \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | | |
| 19.1. Please provide any information you deem appropriate to clarify \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |

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| 20. Does your organization assist regional institutions and national/subnational governments in implementing comprehensive disaster risk management plans/processes? | | |
| Yes  No  Other *(please specify)* \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | | |
| 20.1. Please provide any information you deem appropriate to clarify \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |

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| 21. Does your organization have the capacity to implement anticipatory actions to reduce the risk of loss and damage associated with the adverse effects of climate change, including slow onset events? | | |
| Yes  No | | |
| 21.1. *If yes*, please provide a brief explanation\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |

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| 22. Does your organization provide support to regional institutions and national/subnational governments in implementing anticipatory actions to reduce the risk of loss and damage associated with the adverse effects of climate change, including slow on set events? | | |
| Yes  No | | |
| 22.1. *If yes*, please provide a brief explanation\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |

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| 23. If your organization assists regional institutions and national/subnational governments in preparing/ delivering/implementing comprehensive risk management plans, does it have the capacity and, as a general rule, does it coordinate with other non-governmental institutions involved in these tasks? | | |
| Yes  No  Other *(please specify)* \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | | |
| 23.1. *If yes*, please provide a brief explanation\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |

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| 24. What other non-governmental institutions does your organization usually coordinate with in delivering comprehensive disaster risk management plans/processes? |
| UN agencies  International NGOs  National NGOs  Private sector organizations  Other *(please specify)* \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |

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| 25. Based on the experience built by your organization, what are the most relevant challenges and constraints that regional institutions and national/subnational governments are facing in implementing disaster risk management plans? | | |
| Lack of local, civil society, private sector engagement/participation.  Lack of appropriate institutional coordination at the territorial or local level.  Lack of institutional technical capacities or skills.  Inadequate finance.  Other *(please specify)* \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | | |
| 25.1. What additional challenges and constraints does your organization face in assisting/supporting countries with the implementation of disaster risk management plans? \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |

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| 26. From the experience/lessons learned by your organization in assisting regional institutions and national/subnational governments with comprehensive disaster risk management schemes/processes, what are the factors that you consider key to ensure the risks of loss and damage, including extreme weather and slow onset events, are integrated in the national disaster risk management plans and actions? |
| Please provide a brief explanation \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |

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| 27. From the experience developed by your organization, how could the climate risk management related to loss and damage be specifically addressed for the poorest people? |
| Please provide a brief explanation \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |

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| 28. What additional challenges and constraints does your organization face in assisting/supporting regional institutions and national/subnational governments with integrating climate risk analysisin disaster risk management plans? |
| Please provide a brief explanation \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |

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| 29. Please include any further comments or feedback (*optional)* |
| Please specify \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |

### General profile of your organization

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| *Name of your organization:* | *Please specify* |
| *Your organization’s mission:* | *Please specify* |
| *Your organization’s core business:* | *Please specify* |
| *Your organization’s main sectors of action:* | *Please specify* |
| *Average or range of your organization’s country operations:* | *Please specify* |
| *Geographical aspects or priorities:* | *Please specify* |
| *Any additional information you deem appropriate:* | *Please specify* |
| *Contact details:* | *Please specify* |
| *E-mail:* | *Please specify* |

*The Executive Committee of the Warsaw International Mechanism plans to prepare an analysis paper of experiences and lessons learned, and identify priority areas for increasing capacity and investment. Your responses to this questionnaire will contribute to create an overview of the capacity and coordination needs, lessons learned and good practices for addressing loss and damage associated with the adverse effects of climate change.*

Please indicate your interest in contributing to this paper.

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| --- |
| Yes, I am interested in contributing to the paper  No, I am not interested |
| E-mail: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |

We would be very grateful if you could also cite any publications that you believe might help us with the preparation of this paper. If possible please send an example of the risk analysis that you have helped to conduct.

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| *Please indicate any publications here: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_* |

1. “Same methodology” means that the same core principles, processes and types of data sets are used, with the understanding that some level of adjustment, within the parameters of the methodology may be required to accommodate national-level circumstances. [↑](#footnote-ref-1)
2. Non-economic losses encompass a broad range of issues and can be understood as the remainder of items that are not economic items and include issues such as losses of life, health, displacement and human mobility, territory, cultural heritage, indigenous and local knowledge, biodiversity and ecosystems (FCCC/TP/2013/2, Technical paper on non-economic losses, available at <http://unfccc.int/resource/docs/2013/tp/02.pdf>). [↑](#footnote-ref-2)
3. Slow onset events include sea level rise, increasing temperatures, ocean acidification, glacial retreat and related impacts, salinization, land and forest degradation, loss of biodiversity and desertification (Decision 1/CP.16, paragraph 25, available at <http://unfccc.int/resource/docs/2010/cop16/eng/07a01.pdf>). [↑](#footnote-ref-3)