



## COMPLIANCE COMMITTEE

CC/8/2010/6/Rev.1  
1 October 2010

### Status of submission and review of reports under the Kyoto Protocol

#### Note by the secretariat

1. This note provides information on the status of submission and review of fifth national communications (NC5), and the annual reports submitted under decision 15/CMP.1, from Parties included in Annex I to the Convention (Annex I Parties) that are also Parties to the Kyoto Protocol as at 7 September 2010.
2. In accordance with decision 10/CP.13 Annex I Parties are to submit to the secretariat a fifth national communication by 1 January 2010 following the requirements of Article 12, paragraphs 1 and 2 of the Convention. In accordance with decision 8/CMP.3 Annex I Parties that are also Parties to the Kyoto Protocol are to include in the fifth national communications the necessary supplementary information under the guidelines established under Article 7 of the Kyoto Protocol.
3. The secretariat has received 38 NC5s (see table 1). The secretariat coordinated nine in-country reviews of NC5s from January to 7 September 2010 and plans another four in-country reviews by the end of 2010. While the remaining reviews are planned for 2011, co-ordinating such number of reviews might not be possible, mainly due to difficulties in scheduling of reviews stemming from the large number of other meetings convened under the UNFCCC process.

**Table 1. Fifth national communications submitted by Annex I Parties under the Kyoto Protocol: status of submissions, review dates and status of the preparation of the review reports**

Annex I Party	Communication received (date)	Language of communication	Review dates	Status of review report <sup>1</sup>	Document symbol
Australia	12 Feb. 10	English	Planned in 2011	-	-
Austria	16 Feb. 10	English	Planned in 2011	-	-
Belarus	30 Dec. 09	Russian, English	Planned in 2011	-	-
Belgium	4 Dec. 09	English, French	Planned in 2011	-	-
Bulgaria	12 Feb. 10	English	Planned in 2011	-	-
Canada	12 Feb. 10	English, French	Planned in 2011	-	-
Croatia	12 Feb. 10	English	Planned in 2011	-	-
Czech Republic	30 Nov. 09	English	21–26 Jun 2010	Under preparation	-
Denmark	31 Dec. 09	English	21–26 Jun 2010	Under preparation	-
Estonia	12 Feb. 10	English	Planned in 2011	-	-
European Union	7 Dec. 09	English	Planned in 2011	-	-
Finland	23 Dec. 09	English	3–8 May 2010	Under	-

<sup>1</sup> Reports of in-depth reviews of national communications marked as “published” in table 1 have been published on the UNFCCC website or have been submitted for publication. These are or will be available on the following page: <[http://unfccc.int/national\\_reports/annex\\_i\\_natcom/idr\\_reports/items/4056.php](http://unfccc.int/national_reports/annex_i_natcom/idr_reports/items/4056.php)>.



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Annex I Party	Communication received (date)	Language of communication	Review dates	Status of review report <sup>1</sup>	Document symbol
				preparation	
France	2 Dec. 09	French, abstract in English	Planned in 2011	-	-
Germany	14 Jan. 10	English	Planned in 2011	-	-
Greece	18 Jan. 10	English	Planned in 2011	-	-
Hungary	10 Dec. 09	English	Scheduled for 18–23 Oct. 2010	-	-
Iceland	12 Feb. 10	English	Planned in 2011	-	-
Ireland	12 Feb. 10	English	21–26 Jun. 2010	Under preparation	-
Italy	17 Mar. 10	English	Planned in 2011	-	-
Japan	21 Dec. 09	English	Scheduled for 8–13 Nov. 2010	-	-
Latvia	16 Mar. 10	English	Planned in 2011	-	-
Liechtenstein	25 Jan. 10	English	Planned in 2011	-	-
Lithuania	13 Feb. 10	English	Planned in 2011	-	-
Luxembourg (NC2,3,4 and 5)	14 Feb. 10	English	Planned in 2011	-	-
Monaco	Not received	-	-	-	-
Netherlands	19 Dec. 09	English	Planned in 2011	-	-
New Zealand	23 Dec. 09	English	23–28 Aug. 2010	Under preparation	-
Norway	22 Jan. 10	English	10–15 May 2010	Under preparation	-
Poland	16 Feb. 10	English	Planned in 2011	-	-
Portugal	30 Dec. 09	English	26 April to 1 May 2010	Under preparation	-
Romania	22 Jan. 10	English	Planned in 2011	-	-
Russian Federation	12 Feb. 10	Russian	Planned in 2011	-	-
Slovakia	15 Feb. 10	English	Planned in 2011	-	-
Slovenia	6 Apr. 10	English	Planned in 2011	-	-
Spain	18 Dec. 09	Spanish	Scheduled for 18–23 Oct. 2010	-	-
Sweden	4 Feb. 10	English	Scheduled for 8–13 Nov. 2010	-	-
Switzerland	14 Dec. 09	English	17–22 May 2010	Under preparation	-
Turkey <sup>a</sup>	Not received	-	-	-	-
Ukraine (NC3,4 and 5)	29 Dec. 09	Russian	Planned in 2011	-	-
United Kingdom of Great Britain and Northern Ireland	12 Jun. 09	English	1–5 Mar. 2010	Published	FCCC/IDR.5/GBR

*Note:* NC, national communication.

<sup>a</sup> Turkey, a Party included in Annex I, does not have a commitment inscribed in Annex B to the Kyoto Protocol.



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4. In 2009 the secretariat received 39 annual inventory submissions from Annex I Parties (see table 3). Status reports for all 39 submissions had been prepared, published on the UNFCCC website<sup>2</sup> and forwarded to the Compliance Committee by June 2009.

**Table 3. Annual 2009 submission under the Kyoto Protocol: status of submission, review dates and status of the preparation of the review reports**

Annex I Party	NIR and CRF submission dates	Language of review report	Review dates	Status of review report	Document symbol
Australia	NIR - 26 May 09 CRF - 26 May 09	English	21–26 Sept. 09	Published	FCCC/ARR/2009/AUS
Austria	NIR - 15 Apr. 09 CRF - 15 Apr. 09	English	31 Aug.–5 Sept. 09	Published	FCCC/ARR/2009/AUT
Belarus <sup>a</sup>	NIR - 19 May 09 CRF - 15 Apr. 09	Russian	14–19 Sept. 09	Published	FCCC/ARR/2009/BLR
Belgium	NIR - 15 Apr. 09 CRF - 15 Apr. 09	English	7–12 Sept. 09	Published	FCCC/ARR/2009/BEL
Bulgaria	NIR - 13 Apr. 09 CRF - 13 Apr. 09	English	28 Sept.–3 Oct. 09	Published	FCCC/ARR/2009/BGR
Canada	NIR - 17 Apr. 09 CRF - 17 Apr. 09	English	14–19 Sept. 09	Published	FCCC/ARR/2009/CAN
Croatia	NIR - 27 May 09 CRF - 26 May 09	English	7–12 Sept. 09	Published	FCCC/ARR/2009/HRV
Czech Republic	NIR - 14 Apr. 09 CRF - 14 Apr. 09	English	12–17 Oct. 09	Published	FCCC/ARR/2009/CZE
Denmark	NIR - 15 Apr. 09 CRF - 15 Apr. 09	English	31 Aug.–5 Sept. 09	Published	FCCC/ARR/2009/DNK
Estonia	NIR - 15 Apr. 09 CRF - 15 Apr. 09	English	28 Sept.–3 Oct. 09	Published	FCCC/ARR/2009/EST
European Union	NIR - 15 Apr. 09 CRF - 15 Apr. 09	English	21–26 Sept. 09	Published	FCCC/ARR/2009/EC
Finland	NIR - 8 Apr. 09 CRF - 8 Apr. 09	English	14–19 Sept. 09	Published	FCCC/ARR/2009/FIN
France	NIR - 6 Apr. 09 CRF - 6 Apr. 09	French	31 Aug.–5 Sept. 09	Published	FCCC/ARR/2009/FRA
Germany	NIR - 15 Apr. 09 CRF - 8 Apr. 09	English	31 Aug.–5 Sept. 09	Published	FCCC/ARR/2009/DEU
Greece	NIR - 14 Apr. 09 CRF - 14 Apr. 09	English	21–26 Sept. 09	Published	FCCC/ARR/2009/GRC
Hungary	NIR - 16 Apr. 09 CRF - 15 Apr. 09	English	21–26 Sept. 09	Published	FCCC/ARR/2009/HUN
Iceland	NIR - 26 May 09 CRF - 27 Apr. 09	English	14–19 Sept. 09	Published	FCCC/ARR/2009/ISL
Ireland	NIR - 9 Apr. 09 CRF - 9 Apr. 09	English	7–12 Sept. 09	Published	FCCC/ARR/2009/IRL
Italy	NIR - 15 Apr. 09 CRF - 15 Apr. 09	English	7–12 Sept. 09	Published	FCCC/ARR/2009/ITA
Japan	NIR - 30 Apr. 09 CRF - 30 Apr. 09	English	7–12 Sept. 09	Published	FCCC/ARR/2009/JPN
Latvia	NIR - 15 Apr. 09 CRF - 15 Apr. 09	English	12–17 Oct. 09	Published	FCCC/ARR/2009/LVA
Liechtenstein	NIR - 2 Apr. 09 CRF - 2 Apr. 09	English	31 Aug.–5 Sept. 09	Published	FCCC/ARR/2009/LIE
Lithuania	NIR - 9 Apr. 09 CRF - 9 Apr. 09	English	31 Aug.–5 Sept. 09	Published	FCCC/ARR/2009/LTU
Luxembourg	NIR - 28 May 09 CRF - 19 May 09	English	21–26 Sept. 09	Published	FCCC/ARR/2009/LUX
Monaco	NIR - 9 Apr. 09	French	31 Aug.–5 Sept. 09	Published	FCCC/ARR/2009/MCO

<sup>2</sup> <[http://unfccc.int/national\\_reports/annex\\_i\\_natcom/submitted\\_natcom/items/4903.php](http://unfccc.int/national_reports/annex_i_natcom/submitted_natcom/items/4903.php)>.



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Annex I Party	NIR and CRF submission dates	Language of review report	Review dates	Status of review report	Document symbol
	CRF - 9 Apr. 09				
Netherlands	NIR - 15 Apr. 09 CRF - 15 Apr. 09	English	7–12 Sept. 09	Published	FCCC/ARR/2009/NLD
New Zealand	NIR - 15 Apr. 09 CRF - 15 Apr. 09	English	21–26 Sept. 09	Published	FCCC/ARR/2009/NZL
Norway	NIR - 15 Apr. 09 CRF - 15 Apr. 09	English	7–12 Sept. 09	Published	FCCC/ARR/2009/NOR
Poland	NIR - 15 Apr. 09 CRF - 15 Apr. 09	English	7–12 Sept. 09	Published	FCCC/ARR/2009/POL
Portugal	NIR - 15 Apr. 09 CRF - 15 Apr. 09	English	31 Aug.–5 Sept. 09	Published	FCCC/ARR/2009/PRT
Romania	NIR - 13 Apr. 09 CRF - 13 Apr. 09	English	21–26 Sept. 09	Published	FCCC/ARR/2009/ROU
Russian Federation	NIR - 14 May 09 CRF - 15 Apr. 09	Russian	7–12 Sept. 09	Published	FCCC/ARR/2009/RUS
Slovakia	NIR - 14 Apr. 09 CRF - 14 Apr. 09	English	31 Aug.–5 Sept. 09	Published	FCCC/ARR/2009/SVK
Slovenia	NIR - 15 Apr. 09 CRF - 15 Apr. 09	English	14–19 Sept. 09	Published	FCCC/ARR/2009/SVN
Spain	NIR - 14 Apr. 09 CRF - 14 Apr. 09	Spanish and English	14–19 Sept. 09	Published	FCCC/ARR/2009/ESP
Sweden	NIR - 7 Apr. 09 CRF - 7 Apr. 09	English	21–26 Sept. 09	Published	FCCC/ARR/2009/SWE
Switzerland	NIR - 15 Apr. 09 CRF - 15 Apr. 09	English	31 Aug.–5 Sept. 09	Published	FCCC/ARR/2009/CHE
Ukraine	NIR - 25 May 09 CRF - 25 May 09	Russian	14–19 Sept. 09	Published	FCCC/ARR/2009/UKR
United Kingdom of Great Britain and Northern Ireland	NIR - 15 Apr. 09 CRF - 15 Apr. 09	English	14–19 Sept. 09	Published	FCCC/ARR/2009/GBR

*Note:* NIR, national inventory report.  
CRF, common reporting format.

<sup>a</sup> Belarus is a Party to the Kyoto Protocol and its quantified emission reduction commitment in Annex B (92 per cent) was established through an amendment to Annex B to the Kyoto Protocol (decision 10/CMP.2). As at 31 August 2010, this amendment had not yet been ratified by enough Parties to allow its entry into force. Belarus indicated that the 2009 annual submission is made under the Kyoto Protocol.

5. All the submissions listed in table 3 above have been made by the Parties as voluntary submissions under the Kyoto Protocol. The secretariat coordinated all 39 reviews of these reports following the Article 8 guidelines of the Kyoto Protocol. Eight of the individual reviews of these submissions, those of Bulgaria, the Czech Republic, Estonia, Latvia, Lithuania, Poland, Slovakia and the Russian Federation, were scheduled as in-country reviews and the rest were scheduled as centralized reviews. Altogether, eight centralized reviews were organised between 31 August and 26 September 2009 in Bonn Germany. The reports from both, in-country and centralized reviews have been published on the UNFCCC website<sup>3</sup> and all reports, with the exception of the report of the individual review of the annual submission submitted in 2009 by Belarus, were forwarded to the Compliance Committee.

6. In 2010 the secretariat received 39 annual submissions from Annex I Parties (see table 4). 38 of these submissions, containing information under Article 7, paragraph 1, of the Kyoto Protocol, have been made by the Parties in accordance with decision 15/CMP.1 for the first year

<sup>3</sup> <[http://unfccc.int/national\\_reports/annex\\_i\\_ghg\\_inventories/inventory\\_review\\_reports/items/4704.php](http://unfccc.int/national_reports/annex_i_ghg_inventories/inventory_review_reports/items/4704.php)>.



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of the commitment period. Belarus, which is Annex I Party to the Kyoto Protocol, indicated that its 2010 annual submission is under the Convention only. Status reports for 38 submissions had been prepared, published on the UNFCCC website<sup>4</sup> and forwarded to the Compliance Committee by June 2010. The secretariat is coordinating 38 reviews of these reports following Article 8 guidelines of the Kyoto Protocol. Nine of the individual reviews of these submissions, those of Australia, Bulgaria, Denmark, France, Germany, Hungary, New Zealand, Switzerland and the Russian Federation, are scheduled as in-country reviews and the rest are scheduled as centralized reviews. Altogether, eight centralized reviews are scheduled between 30 August and 25 September 2010 in Bonn, Germany. Most of the reports from both, in-country and centralized reviews are expected to be finalized and published from February to March 2011.

**Table 4. Annual 2010 submission under the Kyoto Protocol: status of submission, review dates and status of the preparation of the review reports**

Annex I Party	NIR and CRF submission dates	Language of review report	Review dates	Status report symbol	Status of review report	Document symbol
Australia	NIR - 26 May 10 CRF - 26 May 10	English	23–28 Aug. 10	FCCC/ASR/2010/AUS	In preparation	
Austria	NIR - 15 Apr. 10 CRF - 15 Apr. 10	English	30 Aug.–4 Sept. 10	FCCC/ASR/2010/AUT	In preparation	
Belarus <sup>a</sup>	NIR - 15 Apr. 10 CRF - 8 Apr. 10	Russian				
Belgium	NIR - 15 Apr. 10 CRF - 15 Apr. 10	English	6–11 Sept. 10	FCCC/ASR/2010/BEL		
Bulgaria	NIR - 15 Apr. 10 CRF - 15 Apr. 10	English	4–9 Oct. 10	FCCC/ASR/2010/BGR		
Canada	NIR - 15 Apr. 10 CRF - 15 Apr. 10	English	30 Aug.–4 Sept. 10	FCCC/ASR/2010/CAN	In preparation	
Croatia	NIR - 15 Apr. 10 CRF - 14 Apr. 10	English	30 Aug.–4 Sept. 10	FCCC/ASR/2010/HRV	In preparation	
Czech Republic	NIR - 14 Apr. 10 CRF - 14 Apr. 10	English	6–11 Sept. 10	FCCC/ASR/2010/CZE		
Denmark	NIR - 15 Apr. 10 CRF - 15 Apr. 10	English	6–11 Sept. 10	FCCC/ASR/2010/DNK		
Estonia	NIR - 15 Apr. 10 CRF - 15 Apr. 10	English	13–18 Sept. 10	FCCC/ASR/2010/EST		
European Union	NIR - 15 Apr. 10 CRF - 15 Apr. 10	English	20–25 Sept. 10	FCCC/ASR/2010/EC		
Finland	NIR - 15 Apr. 10 CRF - 15 Apr. 10	English	13–18 Sept. 10	FCCC/ASR/2010/FIN		
France	NIR - 12 Apr. 10 CRF - 12 Apr. 10	French	13–18 Sept. 10	FCCC/ASR/2010/FRA		
Germany	NIR - 15 Apr. 10 CRF - 15 Apr. 10	English	20–25 Sept. 10	FCCC/ASR/2010/DEU		
Greece	NIR - 15 Apr. 10 CRF - 15 Apr. 10	English	13–18 Sept. 10	FCCC/ASR/2010/GRC		
Hungary	NIR - 26 May 10 CRF - 25 May 10	English	20–25 Oct. 10	FCCC/ASR/2010/HUN		
Iceland	NIR - 27 May 10 CRF - 23 Apr. 10	English	6–11 Sept. 10	FCCC/ASR/2010/ISL		
Ireland	NIR - 14 Apr. 10 CRF - 14 Apr. 10	English	20–25 Sept. 10	FCCC/ASR/2010/IRL		
Italy	NIR - 15 Apr. 10 CRF - 14 Apr. 10	English	30 Aug.–4 Sept. 10	FCCC/ASR/2010/ITA	In preparation	

<sup>4</sup> <[http://unfccc.int/national\\_reports/annex\\_i\\_ghg\\_inventories/inventory\\_review\\_reports/items/4401.php](http://unfccc.int/national_reports/annex_i_ghg_inventories/inventory_review_reports/items/4401.php)>.



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Annex I Party	NIR and CRF submission dates	Language of review report	Review dates	Status report symbol	Status of review report	Document symbol
Japan	NIR - 15 Apr. 10 CRF - 15 Apr. 10	English	30 Aug.–4 Sept. 10	FCCC/ASR/2010/JPN	In preparation	
Latvia	NIR - 15 Apr. 10 CRF - 15 Apr. 10	English	13–18 Sept. 10	FCCC/ASR/2010/LVA		
Liechtenstein	NIR - 15 Apr. 10 CRF - 15 Apr. 10	English	30 Aug.–4 Sept. 10	FCCC/ASR/2010/LIE	In preparation	
Lithuania	NIR - 14 Apr. 10 CRF - 14 Apr. 10	English	20–25 Sept. 10	FCCC/ASR/2010/LTU		
Luxembourg	NIR - 27 May 10 CRF - 15 Apr. 10	English	6–11 Sept. 10	FCCC/ASR/2010/LUX		
Monaco	NIR - 30 Mar. 10 CRF - 23 Mar. 10	French	13–18 Sept. 10	FCCC/ASR/2010/MCO		
Netherlands	NIR - 15 Apr. 10 CRF - 14 Apr. 10	English	6–11 Sept. 10	FCCC/ASR/2010/NLD		
New Zealand	NIR - 15 Apr. 10 CRF - 15 Apr. 10	English	30 Aug.–4 Sept. 10	FCCC/ASR/2010/NZL	In preparation	
Norway	NIR - 15 Apr. 10 CRF - 15 Apr. 10	English	20–25 Sept. 10	FCCC/ASR/2010/NOR		
Poland	NIR - 15 Apr. 10 CRF - 15 Apr. 10	English	6–11 Sept. 10	FCCC/ASR/2010/POL		
Portugal	NIR - 15 Apr. 10 CRF - 15 Apr. 10	English	6–11 Sept. 10	FCCC/ASR/2010/PRT		
Romania	NIR - 15 Apr. 10 CRF - 15 Apr. 10	English	20–25 Sept. 10	FCCC/ASR/2010/ROM		
Russian Federation	NIR - 25 May 10 CRF - 15 Apr. 10	Russian	27 Sep.–2 Oct. 10	FCCC/ASR/2010/RUS		
Slovakia	NIR - 15 Apr. 10 CRF - 14 Apr. 10	English	13–18 Sept. 10	FCCC/ASR/2010/SVK		
Slovenia	NIR - 15 Apr. 10 CRF - 15 Apr. 10	English	13–18 Sept. 10	FCCC/ASR/2010/SVN		
Spain	NIR - 15 Apr. 10 CRF - 15 Apr. 10	Spanish	20–25 Sept. 10	FCCC/ASR/2010/ESP		
Sweden	NIR - 14 Apr. 10 CRF - 14 Apr. 10	English	6–11 Sept. 10	FCCC/ASR/2010/SWE		
Switzerland	NIR - 15 Apr. 10 CRF - 15 Apr. 10	English	6–11 Sept. 10	FCCC/ASR/2010/CHE		
Ukraine	NIR - 12 Apr. 10 CRF - 13 Apr. 10	Russian	30 Aug.–4 Sept. 10	FCCC/ASR/2010/UKR	In preparation	
United Kingdom of Great Britain and Northern Ireland	NIR - 15 Apr. 10 CRF - 15 Apr. 10	English	13–18 Sept. 10	FCCC/ASR/2010/GBR		

*Note:* NIR, national inventory report.  
CRF, common reporting format.

<sup>a</sup> Belarus is a Party to the Kyoto Protocol and its quantified emission reduction commitment in Annex B (92 per cent) was established through an amendment to Annex B to the Kyoto Protocol (decision 10/CMP.2). As at 31 August 2010, this amendment had not yet been ratified by enough Parties to allow its entry into force. Belarus indicated that its 2010 annual submission is submitted under the Convention.

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