



COMPLIANCE COMMITTEE

CC/6/2009/2
22 September 2009

Status of submission and review of reports under the Kyoto Protocol

Note by the secretariat

1. This note provides information on the status of submission and review of fourth national communications, reports demonstrating progress, the initial reports submitted under decision 13/CMP.1 and the annual reports submitted on a voluntary basis under decision 15/CMP.1, from Parties included in Annex I to the Convention (Annex I Parties) as at 18 September 2009.
2. The secretariat has received 38 fourth national communications¹ and 35 reports demonstrating progress from Annex I Parties (see table 1). The secretariat coordinated four centralized reviews of fourth national communications (NC4s) and reports demonstrating progress under the Kyoto Protocol, two in 2006, one in 2008, and one in 2009 in Bonn, Germany (two review teams worked in parallel during each review). It also coordinated an in-country, in-depth review of the NC4 of Australia. As a result of these reviews, submissions from 38 Parties have been reviewed by international teams of experts.²

Table 1. Fourth national communications^a and reports demonstrating progress under the Kyoto Protocol from Annex I Parties: status of submissions, review dates and status of the preparation of the review reports

Annex I Party	Communication received (date)	Language of communication	Review dates	Status of review report	Document symbol	Submission date of report demonstrating progress
Australia	12 Dec. 05	English	14–18 Apr. 2008	Published	FCCC/IDR.4/AUS	-- ^b
Austria	18 Oct. 06	English	11–16 May 2009	Published	FCCC/IDR.4/AUT	18 Oct. 06
Belarus (NC2,3,4)	2 Apr. 07	Russian, English	11–16 May 2009	Published	FCCC/IDR.4/BLR	29 May 06
Belgium	23 Dec. 05	English, French	12–17 May 2008	Published	FCCC/IDR.4/BEL	23 Dec. 05
Bulgaria	31 Aug. 06	English	12–17 May 2008	Published	FCCC/IDR.4/BGR	31 Aug. 06
Canada	15 Mar. 07	English, French	11–16 May 2009	In preparation		15 Nov. 06
Croatia (NC2)	06 Feb. 07	English	11–16 May 2009	In preparation		-- ^b
Czech Republic	03 Feb. 06	English	12–17 May 2008	Published	FCCC/IDR.4/CZE	03 Feb. 06
Denmark	30 Dec. 05	English	16–21 Oct. 2006	Published	FCCC/IDR.4/DNK	30 Dec. 05
Estonia	30 Dec. 05	English	5–10 June 2006	Published	FCCC/IDR.4/EST	30 Dec. 05
European Community	10 Feb. 06	English, French	11–16 May 2009	In preparation		22 Dec. 05

¹ The term “fourth national communications” in this document includes the first, second and third communications that were submitted in the period of time when the majority of Annex I Parties submitted their fourth national communication in accordance with decision 4/CP.8.

² Reports of in-depth reviews of national communications marked as “published” in table 1 have been published on the UNFCCC website or have been submitted for publication. These are or will be available on the following page: <<http://maindb.unfccc.int/library/?screen=list&language=en&FLD1=dC&VAL1=/IDR&OPR1=contains>>.



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Annex I Party	Communication received (date)	Language of communication	Review dates	Status of review report	Document symbol	Submission date of report demonstrating progress
Finland	10 Feb. 06	English	5–10 June 2006	Published	FCCC/IDR.4/FIN	14 Feb. 06
France	07 Jul. 06	French	12–17 May 2008	Published	FCCC/IDR.4/FRA	27 Jul. 06
Germany	19 Oct. 06	English	12–17 May 2008	Published	FCCC/IDR.4/DEU	01 Aug. 06
Greece	10 Mar. 06	English	16–21 Oct. 2006	Published	FCCC/IDR.4/GRC	10 Mar. 06
Hungary	10 Mar. 06	English	5–10 June 2006	Published	FCCC/IDR.4/HUN	17 Jan. 06
Iceland	28 Apr. 06	English	16–21 Oct. 2006	Published	FCCC/IDR.4/ISL	28 Apr. 06
Ireland	30 Apr. 07	English	11–16 May 2009	In preparation		16 Oct. 06
Italy	29 Nov. 07	English	11–16 May 2009	Published	FCCC/IDR.4/ITA	11 Nov. 06
Japan	06 Feb. 06	English	16–21 Oct. 2006	Published	FCCC/IDR.4/JPN	06 Feb. 06
Latvia	24 May 06	English	16–21 Oct. 2006	Published	FCCC/IDR.4/LVA	24 May 06
Liechtenstein	07 Apr. 06	English	12–17 May 2008	Published	FCCC/IDR.4/LIE	25 Sep. 06
Lithuania (NC3, NC4)	28 Dec. 05	English	5–10 June 2006	Published	FCCC/IDR.4/LTU	06 Feb. 06
Luxembourg (NC2)						
Monaco	02 Mar. 06	French	12–17 May 2008	Published	FCCC/IDR.4/MCO	- - - ^b
Netherlands	22 Dec. 05	English	12–17 May 2008	Published	FCCC/IDR.4/NLD	22 Dec. 05
New Zealand	04 May 06	English	16–21 Oct. 2006	Published	FCCC/IDR.4/NZL	04 May 06
Norway	16 Feb. 06	English	5–10 June 2006	Published	FCCC/IDR.4/NOR	16 Feb. 06
Poland	29 Dec. 06	English	11–16 May 2009	In preparation		29 Dec. 06
Portugal	26 Jul. 06	English	12–17 May 2008	Published	FCCC/IDR.4/PRT	22 Jun. 06
Romania	4 Nov. 06	English	11–16 May 2009	In preparation		31 Jan. 06
Russian Federation	12 Oct. 06	Russian	11–16 May 2009	Published	FCCC/IDR.4/RUS	13 Feb. 07
Slovakia	30 Dec. 05	English	5–10 June 2006	Published	FCCC/IDR.4/SVK	30 Dec. 05
Slovenia	12 Jun. 06	English	16–21 Oct. 2006	Published	FCCC/IDR.4/SVN	12 Jun. 06
Spain	23 Mar. 06	Spanish	12–17 May 2008	Published	FCCC/IDR.4/ESP	21 Apr. 06
Sweden	30 Dec. 05	English	5–10 June 2006	Published	FCCC/IDR.4/SWE	30 Dec. 05
Switzerland	02 Dec. 05	English, French	5–10 June 2006	Published	FCCC/IDR.4/CHE	02 Dec. 05
Ukraine (NC2)	27 Jun. 06	Russian	11–16 May 2009	In preparation		3 Nov. 06
United Kingdom of Great Britain and Northern Ireland	15 May 06	English	16–21 Oct. 2006	Published	FCCC/IDR.4/GBR	08 Mar. 06

Note: NC, national communication.

^a See footnote 1.

^b Annex I Parties that became Parties to the Kyoto Protocol after the due date of the reports demonstrating progress.

3. In accordance with decision 10/CP.13 Annex I Parties are to submit to the secretariat a fifth national communication by 1 January 2010 following the requirements of Article 12,



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paragraphs 1 and 2 of the Convention. In accordance with decision 8/CMP.3 Annex I Parties that are also Parties to the Kyoto Protocol are to include in the fifth national communications the necessary supplementary information under the guidelines established under Article 7 of the Kyoto Protocol.

4. The secretariat has received 39 initial reports under decision 13/CMP.1 from Annex I Parties (see table 2). To date, the secretariat has coordinated in-country review of reports from 38 Parties (36 in 2006 and two in 2008). Thirty-eight review reports have been published on the UNFCCC website.³

Table 2. Initial reports under the Kyoto Protocol: status of submission, review dates and status of the preparation of the review reports

Annex I Party	Initial report received (date)	Language of initial report	Review dates	Status of review report	Document symbol
Australia	11 Mar 08	English	7–12 Apr 08	Published	FCCC/IRR/2007/AUS
Austria	05 Dec 06	English	12–17 Feb 07	Published	FCCC/IRR/2007/AUT
Belarus ^a	31 Oct 06	English and Russian			
Belgium	22 Dec 06	English	4–9 Jun 07	Published	FCCC/IRR/2007/BEL
Bulgaria	25 Jul 07	English	15–20 Oct 07	Published	FCCC/IRR/2007/BGR
Canada	15 Mar 07	English and French	5–10 Nov 07	Published	FCCC/IRR/2007/CAN
Croatia ^b	27 Aug 08	English	20–25 Oct 08	Published	FCCC/IRR/2008/HRV
Czech Republic	24 Oct 06	English	26 Feb–3 Mar 07	Published	FCCC/IRR/2007/CZE
Denmark	20 Dec 06	English	16–21 Apr 07	Published	FCCC/IRR/2007/DNK
Estonia	15 Dec 06	English	4–9 Jun 07	Published	FCCC/IRR/2007/EST
European Community	18 Dec 06	English, summary in English and French	2–7 Jul 07	Published	FCCC/IRR/2007/EC
Finland	22 Dec 06	English	28 May–2 Jun 07	Published	FCCC/IRR/2007/FIN
France	21 Dec 06	French	28 May–2 Jun 07	Published	FCCC/IRR/2007/FRA
Germany	27 Dec 06	English	11–16 Jun 07	Published	FCCC/IRR/2007/DEU
Greece	29 Dec 06	English	23–28 Apr 07	Published	FCCC/IRR/2007/GRC
Hungary	30 Aug 06	English	5–10 Mar 07	Published	FCCC/IRR/2007/HUN
Iceland	11 Jan 07	English	18–23 Jun 07	Published	FCCC/IRR/2007/ISL
Ireland	19 Dec 06	English	16–21 Apr 07	Published	FCCC/IRR/2007/IRL
Italy	19 Dec 06	English	4–9 Jun 07	Published	FCCC/IRR/2007/ITA
Japan	30 Aug 06	English	29 Jan–3 Feb 07	Published	FCCC/IRR/2007/JPN
Latvia	29 Dec 06	English	21–26 May 07	Published	FCCC/IRR/2007/LVA
Liechtenstein	22 Dec 06	English	11–16 Jun 07	Published	FCCC/IRR/2007/LIE
Lithuania	22 Dec 06	English	21–26 May 07	Published	FCCC/IRR/2007/LTU
Luxembourg	29 Dec 06	English	11–16 Jun 07	Published	FCCC/IRR/2007/LUX
Monaco	7 May 07	French	15–19 Oct 07	Published	FCCC/IRR/2007/MCO
Netherlands	21 Dec 06	English	16–21 Apr 07	Published	FCCC/IRR/2007/NLD
New Zealand	31 Aug 06	English	19–24 Feb 07	Published	FCCC/IRR/2007/NZL
Norway	22 Dec 06	English	23–28 Apr 07	Published	FCCC/IRR/2007/NOR
Poland	29 Dec 06	English	11–16 Jun 07	Published	FCCC/IRR/2007/POL
Portugal	28 Dec 06	English	21–26 May 07	Published	FCCC/IRR/2007/PRT

³ <http://unfccc.int/national_reports/initial_reports_under_the_kyoto_protocol/items/3765.php>.



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Annex I Party	Initial report received (date)	Language of initial report	Review dates	Status of review report	Document symbol
Romania	18 May 07	English	8–13 Oct 07	Published	FCCC/IRR/2007/ROU
Russian Federation	20 Feb 07	Russian	16–21 Jul 07	Published	FCCC/IRR/2007/RUS
Slovakia	04 Oct 06	English	19–24 Mar 07	Published	FCCC/IRR/2007/SVK
Slovenia	22 Dec 06	English	21–26 May 07	Published	FCCC/IRR/2007/SVN
Spain	19 Dec 06	Spanish	23–28 Apr 07	Published	FCCC/IRR/2007/ESP
Sweden	19 Dec 06	English	23–28 Apr 07	Published	FCCC/IRR/2007/SWE
Switzerland	10 Nov 06	English	5–10 Mar 07	Published	FCCC/IRR/2007/CHE
Ukraine	29 Dec 06	English	16–21 Apr 07	Published	FCCC/IRR/2007/UKR
United Kingdom of Great Britain and Northern Ireland	11 Dec 06	English	12–17 Mar 07	Published	FCCC/IRR/2007/GBR

^a At the time of the preparation of this document, the initial report of Belarus, a Party to the Kyoto Protocol, had not yet been reviewed. The quantified emission reduction commitment for Belarus in Annex B (92 per cent) was established through an amendment to Annex B to the Kyoto Protocol (decision 10/CMP.2). As at 18 September 2009, this amendment had not yet been ratified by enough Parties to allow its entry into force. The review of the initial report of Belarus is postponed until after the Subsidiary Body for Implementation considers the modalities and conditions of the review.

^b Croatia ratified the Kyoto Protocol on 30 May 2007. The Protocol entered into force for Croatia on 28 August 2007 and the initial report by Croatia was submitted on 27 August 2008.

5. In 2009 the secretariat received 39 annual inventory submissions from Annex I Parties (see table 3). Status reports for all 39 submissions had been prepared, published on the UNFCCC website⁴ and forwarded to the Compliance Committee by June 2009. All these submissions have been made by the Parties as voluntary submissions under the Kyoto Protocol. The secretariat is coordinating 39 reviews of these reports following Article 8 guidelines of the Kyoto Protocol. Eight of the individual reviews of these submissions, those of Bulgaria, the Czech Republic, Estonia, Latvia, Lithuania, Poland, Slovakia and the Russian Federation, are scheduled as in-country reviews and the rest are scheduled as centralized reviews. Altogether, eight centralized reviews were organised between 31 August and 26 September, 2009 in Bonn Germany. The reports from these reviews are expected to be finalized and published in February–March 2010.

⁴ <http://unfccc.int/national_reports/annex_i_ghg_inventories/inventory_review_reports/items/4401.php>.



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Table 3. Annual submission under the Kyoto Protocol: status of submission, review dates and status of the preparation of the review reports

Annex I Party	NIR and CRF submission dates	Language of review report	Review dates	Status report symbol	Status of review report	Document symbol
Australia	NIR - 26 May 09 CRF - 26 May 09	English	21–26 Sept. 09	FCCC/ASR/2009/AUS	In preparation	
Austria	NIR - 15 Apr. 09 CRF - 15 Apr. 09	English	31 Aug.–5 Sept. 09	FCCC/ASR/2009/AUT	In preparation	
Belarus	NIR - 19 May 09 CRF - 15 Apr. 09	Russian	14–19 Sept. 09	FCCC/ASR/2009/BLR	In preparation	
Belgium	NIR - 15 Apr. 09 CRF - 15 Apr. 09	English	7–12 Sept. 09	FCCC/ASR/2009/BEL	In preparation	
Bulgaria	NIR - 13 Apr. 09 CRF - 13 Apr. 09	English	28 Sept.–3 Oct. 09	FCCC/ASR/2009/BGR		
Canada	NIR - 17 Apr. 09 CRF - 17 Apr. 09	English	14–19 Sept. 09	FCCC/ASR/2009/CAN	In preparation	
Croatia	NIR - 27 May 09 CRF - 26 May 09	English	7–12 Sept. 09	FCCC/ASR/2009/HRV	In preparation	
Czech Republic	NIR - 14 Apr. 09 CRF - 14 Apr. 09	English	12–17 Oct. 09	FCCC/ASR/2009/CZE		
Denmark	NIR - 15 Apr. 09 CRF - 15 Apr. 09	English	31 Aug.–5 Sept. 09	FCCC/ASR/2009/DNK	In preparation	
Estonia	NIR - 15 Apr. 09 CRF - 15 Apr. 09	English	28 Sept.–3 Oct. 09	FCCC/ASR/2009/EST		
European Community	NIR - 15 Apr. 09 CRF - 15 Apr. 09	English	21–26 Sept. 09	FCCC/ASR/2009/EC	In preparation	
Finland	NIR - 8 Apr. 09 CRF - 8 Apr. 09	English	14–19 Sept. 09	FCCC/ASR/2009/FIN	In preparation	
France	NIR - 6 Apr. 09 CRF - 6 Apr. 09	French	31 Aug.–5 Sept. 09	FCCC/ASR/2009/FRA	In preparation	
Germany	NIR - 15 Apr. 09 CRF - 8 Apr. 09	English	31 Aug.–5 Sept. 09	FCCC/ASR/2009/DEU	In preparation	
Greece	NIR - 14 Apr. 09 CRF - 14 Apr. 09	English	21–26 Sept. 09	FCCC/ASR/2009/GRC	In preparation	
Hungary	NIR - 16 Apr. 09 CRF - 15 Apr. 09	English	21–26 Sept. 09	FCCC/ASR/2009/HUN	In preparation	
Iceland	NIR - 26 May 09 CRF - 27 Apr. 09	English	14–19 Sept. 09	FCCC/ASR/2009/ISL	In preparation	
Ireland	NIR - 9 Apr. 09 CRF - 9 Apr. 09	English	7–12 Sept. 09	FCCC/ASR/2009/IRL	In preparation	
Italy	NIR - 15 Apr. 09 CRF - 15 Apr. 09	English	7–12 Sept. 09	FCCC/ASR/2009/ITA	In preparation	
Japan	NIR - 30 Apr. 09 CRF - 30 Apr. 09	English	7–12 Sept. 09	FCCC/ASR/2009/JPN	In preparation	
Latvia	NIR - 15 Apr. 09 CRF - 15 Apr. 09	English	12–17 Oct. 09	FCCC/ASR/2009/LVA		
Liechtenstein	NIR - 2 Apr. 09 CRF - 2 Apr. 09	English	31 Aug.–5 Sept. 09	FCCC/ASR/2009/LIE	In preparation	
Lithuania	NIR - 9 Apr. 09 CRF - 9 Apr. 09	English	31 Aug.–5 Sept. 09	FCCC/ASR/2009/LTU	In preparation	
Luxembourg	NIR - 28 May 09 CRF - 19 May 09	English	21–26 Sept. 09	FCCC/ASR/2009/LUX	In preparation	
Monaco	NIR - 9 Apr. 09 CRF - 9 Apr. 09	French	31 Aug.–5 Sept. 09	FCCC/ASR/2009/MCO	In preparation	
Netherlands	NIR - 15 Apr. 09 CRF - 15 Apr. 09	English	7–12 Sept. 09	FCCC/ASR/2009/NLD	In preparation	
New Zealand	NIR - 15 Apr. 09 CRF - 15 Apr. 09	English	21–26 Sept. 09	FCCC/ASR/2009/NZL	In preparation	
Norway	NIR - 15 Apr. 09	English	7–12 Sept. 09	FCCC/ASR/2009/NOR	In preparation	



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Annex I Party	NIR and CRF submission dates	Language of review report	Review dates	Status report symbol	Status of review report	Document symbol
	CRF - 15 Apr. 09					
Poland	NIR - 15 Apr. 09 CRF - 15 Apr. 09	English	7–12 Sept. 09	FCCC/ASR/2009/POL	In preparation	
Portugal	NIR - 15 Apr. 09 CRF - 15 Apr. 09	English	31 Aug.–5 Sept. 09	FCCC/ASR/2009/PRT	In preparation	
Romania	NIR - 13 Apr. 09 CRF - 13 Apr. 09	English	21–26 Sept. 09	FCCC/ASR/2009/ROM	In preparation	
Russian Federation	NIR - 14 May 09 CRF - 15 Apr. 09	Russian	7–12 Sept. 09	FCCC/ASR/2009/RUS	In preparation	
Slovakia	NIR - 14 Apr. 09 CRF - 14 Apr. 09	English	31 Aug.–5 Sept. 09	FCCC/ASR/2009/SVK	In preparation	
Slovenia	NIR - 15 Apr. 09 CRF - 15 Apr. 09	English	14–19 Sept. 09	FCCC/ASR/2009/SVN	In preparation	
Spain	NIR - 14 Apr. 09 CRF - 14 Apr. 09	Spanish and English	14–19 Sept. 09	FCCC/ASR/2009/ESP	In preparation	
Sweden	NIR - 7 Apr. 09 CRF - 7 Apr. 09	English	21–26 Sept. 09	FCCC/ASR/2009/SWE	In preparation	
Switzerland	NIR - 15 Apr. 09 CRF - 15 Apr. 09	English	31 Aug.–5 Sept. 09	FCCC/ASR/2009/CHE	In preparation	
Ukraine	NIR - 25 May 09 CRF - 25 May 09	Russian	14–19 Sept. 09	FCCC/ASR/2009/UKR	In preparation	
United Kingdom of Great Britain and Northern Ireland	NIR - 15 Apr. 09 CRF - 15 Apr. 09	English	14–19 Sept. 09	FCCC/ASR/2009/GBR	In preparation	

Note: NIR, national inventory report.
CRF, common reporting format.

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