




Post-registration changes request form
(Version 08.0)

This form is to be used by DOE to submit a request for approval by the Board of changes to a registered CDM project activity or to a registered CDM PoA.

SECTION 1: GENERAL INFORMATION OF REQUEST

Name and UNFCCC reference number of the designated operational entity (DOE)	KTRCert
Title and UNFCCC reference number of the project activity or programme of activities (PoA)	9705 Programme of Activities for Small Scale Hydropower CDM in Sri Lanka
Timing of post-registration changes request	<input type="checkbox"/> Prior to proceeding with a request for issuance of CERs (prior-approval track) <input type="checkbox"/> Combined with a request for issuance of CERs (issuance-track) <input checked="" type="checkbox"/> Combined with a request for renewal of crediting period or PoA period ¹
We hereby submit the request for approval of post-registration changes	Date (dd/mm/yyyy) : 15/11/2019
	Name of DOE representative: Jintae KIM
	Signature: 

SECTION 2: TYPES OF CHANGES

Please check all types of changes that are being requested in this submission and fill in the corresponding sections.

<input type="checkbox"/>	A. Temporary deviations from the registered monitoring plan, applied methodologies, standardized baselines or other methodological regulatory documents	
	<i>(Applicable only to project activity. Describe the temporary deviations in the monitoring report, but do not alter the monitoring plan section of the PDD.)</i>	
	Applicable period for proposed deviation	<div>_____</div> dd/mm/yyyy (start date of the earliest included deviation) <div>to</div> <div>_____</div> dd/mm/yyyy (end date of the latest included deviation)

¹ If a post-registration change becomes effective during the crediting period or the PoA period prior to or after its renewal, a request for approval of such post-registration change shall not be combined with a request for renewal of crediting period or PoA period.

<input checked="" type="checkbox"/>	B. Corrections	
<input type="checkbox"/>	C. Change to the start date of the crediting period (Applicable only to project activity)	
	Is the change more than one year (two years for a least developed country) from the original start date?	<input type="checkbox"/> Yes <i>(If no, do not use this form and notify the secretariat of the change in accordance with the "CDM project cycle procedure for project activities".)</i>
	Proposed new start date of the crediting period	<div style="border-bottom: 1px solid black; width: 100px;"></div> dd/mm/yyyy
<input type="checkbox"/>	D. Inclusion of a monitoring plan	
	Timing of inclusion	<input type="checkbox"/> Prior to the submission of the request for issuance of CERs for the first monitoring period <input type="checkbox"/> Together with the request for issuance of CERs for the first monitoring period
<input type="checkbox"/>	E. Permanent changes to the registered monitoring plan, or permanent deviation of monitoring from the applied methodologies, standardized baselines, or other methodological regulatory documents	
<input type="checkbox"/>	F. Changes to project or programme design	
	(a) For a project activity <i>(Tick all applicable boxes)</i>	<input type="checkbox"/> Increase in the capacity <div style="border-bottom: 1px solid black; width: 100px;"></div> dd/mm/yyyy (date of the commissioning or implementation of the change) <input type="checkbox"/> Confirmation that the submission of this form is within two years of the commissioning or implementation, whichever is later ² <input type="checkbox"/> Decrease in the capacity <input type="checkbox"/> Addition of new components or extension/addition of technologies/measures <div style="border-bottom: 1px solid black; width: 100px;"></div> dd/mm/yyyy (date of the commissioning or implementation of the change) <input type="checkbox"/> Confirmation that the submission of this form is within two years of the commissioning or implementation, whichever is later ¹ <input type="checkbox"/> Removal of a component or technology/measure <input type="checkbox"/> Changes to the technologies/measures <input type="checkbox"/> Removal or addition of sites <input type="checkbox"/> Removal of a project activity from a bundle of small-scale project activities

² For the changes that occurred before 31 August 2018, the submission date may be later than within two years of the commissioning or implementation of the changes, but shall be by 31 August 2020.

	<input type="checkbox"/> Actual operational parameters differ from the expected parameters <input type="checkbox"/> Any consequential changes to the application of methodologies, standardized baselines and/or other methodological regulatory documents <input type="checkbox"/> Voluntary update of the applied methodologies or the other applied methodological regulatory documents to a later valid version or voluntary change to other methodologies <input type="checkbox"/> None of the above (<i>please describe the type of changes</i>) <hr/>
(b) For a PoA <i>(Tick all applicable boxes)</i>	<input type="checkbox"/> Changes to the programme boundary to: <input type="checkbox"/> Expand geographical coverage <input type="checkbox"/> Include additional host Parties <input type="checkbox"/> Revisions to the eligibility criteria due to: <input type="checkbox"/> Methodologies applied to the PoA are revised or replaced by the Board subsequent to being placed on hold <input type="checkbox"/> An issue related to environmental integrity is identified <input type="checkbox"/> Use of positive lists or related provisions is introduced or modified based on the valid version of approved tools pertaining to demonstration of additionality of small-scale or microscale project activities <input type="checkbox"/> Geographical boundary of the PoA is expanded within the host Party or to include one or more host Parties <input type="checkbox"/> Addition or change of technologies/measures <input type="checkbox"/> A revision to the eligibility criteria pertaining to the demonstration of additionality <input type="checkbox"/> Removal of applied methodologies and/or standardized baselines from the registered PoA <input type="checkbox"/> Changes to the capacity range <input type="checkbox"/> Addition of new components or extension/addition of technologies/measures <input type="checkbox"/> Removal of a component or technology/measure <input type="checkbox"/> Changes to the technologies/measures <input type="checkbox"/> Any consequential changes to the application of methodologies, standardized baselines and/or the other methodological regulatory documents resulting from the changes ticked above <input type="checkbox"/> Voluntary update of the applied methodologies or the other applied methodological regulatory documents to a later valid version or voluntary change to other methodologies

<input type="checkbox"/>	G. Changes specific to afforestation or reforestation activities
<input type="checkbox"/>	H. Addition of CPA inclusion template
SECTION 3: DOCUMENTS SUBMITTED	
List of documents attached to this form <i>(Tick boxes)</i>	<input checked="" type="checkbox"/> Validation report on the changes <input checked="" type="checkbox"/> Clean version of the project or programme design document <input checked="" type="checkbox"/> Track-change version of the project or programme design document <input type="checkbox"/> Monitoring report <input type="checkbox"/> CPA inclusion template <input type="checkbox"/> If the change to a registered PoA is to include additional host Parties, a letter of approval from each new host Party <input type="checkbox"/> All other documents listed in the completeness checklist for requests for post-registration changes <input type="checkbox"/> Supplemental documents <i>(If yes, please provide titles of all documents for each type of change that is being requested and ensure that all documents listed are submitted or links are provided)</i> <hr/>

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Document information

Version	Date	Description
08.0	31 May 2019	Revision to: <ul style="list-style-type: none"> Ensure consistency with version 02.0 of the “CDM project standard for project activities” (CDM-EB93-A04-STAN) and version 02.0 of the “CDM project standard for programmes of activities” (CDM-EB93-A07-STAN); Make editorial and structural improvements.
07.0	26 February 2018	Revision to: <ul style="list-style-type: none"> Add third option (Combined with a request for renewal of crediting period) in the “Timing of post registration changes request”.
06.0	20 June 2017	Revision to: <ul style="list-style-type: none"> Ensure consistency with version 01.0 of the “CDM project standard for project activities” (CDM-EB93-A04-STAN) and version 01.0 of the “CDM project standard for programmes of activities” (CDM-EB93-A07-STAN); Make editorial and structural improvements.

<i>Version</i>	<i>Date</i>	<i>Description</i>
05.0	17 March 2015	Revision to: <ul style="list-style-type: none"> Align provisions related to types of changes and documents submitted with the relevant requirements in the “CDM project cycle procedure” (Version 09.0) (CDM-EB65-A32-PROC); Delete provisions related to the assessment opinion confirmation; Change the symbol from F-CDM-PRC to CDM-PRC-FORM and minor editorial improvements; Editorial improvement.
04.0	25 June 2014	Changes to adapt to the new provision related to standardized baselines adopted at EB 79.
03.0	29 July 2013	Changes to adapt to the new provisions on registered CPAs of a PoA adopted at EB 74.
02.0	19 December 2012	Changes to adapt to the new provision on PoAs adopted at EB 70 and editorial changes.
01.0	13 March 2012	EB 66 Initial publication.
Decision Class: Regulatory Document Type: Form Business Function: Registration Keywords: post-registration change		