



Schweizerische Eidgenossenschaft
Confédération suisse
Confederazione Svizzera
Confederaziun svizra

Swiss Confederation

Federal Department of the Environment,
Transport, Energy and Communications DETEC

Federal Office for the Environment FOEN
Climate Division

FOEN, MOL, 3003 Berne

Citigroup Global Markets Limited
Citigroup Centre
Attention: Marcelo Alvarez (Mail Drop
CGC 17-57)
Canada Square
Canary Wharf, London
E14 5LB
United Kingdom

Reference: H115-0624

Project reference: 4401 "Liaoning Xidayingzi Wind Farm Project".

Contact person: MOL

Berne, September 21, 2012

Authorization for a project under article 12 of the Kyoto Protocol (CDM)

The Federal Office for the Environment (FOEN), acting as the Swiss Designated National Authority (DNA) confirms that Switzerland :

1. has ratified the Kyoto Protocol on July 09, 2003;
2. approves voluntary participation in the CDM project activity mentioned above;
3. authorizes "Citigroup Global Markets Limited" to participate as project proponent to the registered CDM project activity named above.
4. Swiss National Account Identifier for this company: CH-100-134-0

Signed on behalf of the Federal Office for the Environment FOEN

Yvan Keckeis
Senior Policy Officer

Annex: Legal information for Swiss CDM project participants regarding business transactions abroad

Laurence Mortier
FOEN, 3003 Berne, Switzerland
Telephone +41313241567, Telefax +41313230367
swissflex@bafu.admin.ch
<http://www.environment-switzerland.ch>

Annex:

Legal information for Swiss CDM project participants regarding business transactions abroad

The Swiss Designated National Authority under article 12 of the Kyoto Protocol recalls that the applicability of certain Swiss legal provisions extends to actions by CDM project participants in foreign countries.

In particular, participants are reminded of:

- (i) article 322septies of the Swiss Criminal Code which prohibits bribery of foreign public officials;
- (ii) article 4a of the Swiss Unfair Competition Act which prohibits bribery of private persons in Switzerland and in foreign countries;
- (iii) article 102 of the Swiss Criminal Code which extends liability for the two afore-mentioned offences to legal entities.

For further information for Swiss businesses operating abroad on how to prevent corruption please visit: www.seco.admin.ch/themen/00645/00657/index.html?lang=de