



## Post-registration changes request form (Version 05.0)

### SECTION 1: GENERAL INFORMATION

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|---|---|
| <b>Name of designated operational entity (DOE) submitting this form:</b>  | TÜV NORD CERT GmbH  |
| <b>Reference number and title of the registered CDM project activity or programme of activities (PoA):</b><br><br><b>In case of changes to an included CDM component project activities (CPA) of the registered CDM PoA, also indicate the reference number and title of the included CPA</b> | 7260; DEWA Chiller Station L  |
| <b>Timing of validation of the changes:</b>   | <div style="display: flex; align-items: flex-start;"> <input type="checkbox"/> Prior to the commencement of a verification for the registered CDM project activity or PoA stated above (Prior-approval track).<br/><br/> <input checked="" type="checkbox"/> When performing a verification for the registered CDM project activity or PoA stated above (Issuance-track).<br/><br/>         If this request is submitted through the issuance-track, are all types of post-registration changes in this submission allowed to be done so in accordance with the CDM project standard and CDM project cycle procedure?<br/><br/> <input type="checkbox"/> Yes       </div> |
| <b>We herewith submit the request for approval of post-registration changes:</b>  | <div style="border-bottom: 1px solid black; padding-bottom: 5px;">           Date (DD/MM/YYYY): 20/05/2016         </div> <div style="border-bottom: 1px solid black; padding-bottom: 5px; margin-top: 5px;">           Name of a person authorized to sign for the DOE:<br/>           Martin Saalman         </div> <div style="border-bottom: 1px solid black; padding-bottom: 5px; margin-top: 5px;">           Signature of a person authorized to sign for the DOE:<br/> </div>   |

### SECTION 2: TYPES OF CHANGES

*(Please check all types of changes that are being requested in this submission and fill in the corresponding sections)*

|                                     |  |  |
|-------------------------------------|--|--|
| <input type="checkbox"/>            | <b>A. Temporary deviations from the monitoring plan as described in the registered PDD, PoA-DD or CPA-DD, the applied baseline and monitoring methodology or standardized baseline</b>   |  |
|                                     | <b>Applicable period for proposed deviations (inclusive):</b><br><br><div style="border: 1px solid black; height: 100px; width: 100%;"></div>  | <div style="border-bottom: 1px solid black; width: 100%;"></div> DD / MM / YYYY<br>(start date of the earliest included deviation)<br><br>to<br><br><div style="border-bottom: 1px solid black; width: 100%;"></div> DD / MM / YYYY<br>(end date of the latest included deviation) |
| <input checked="" type="checkbox"/> | <b>B. Corrections that do not affect project/ programme design</b>   |  |
| <input type="checkbox"/>            | <b>C. Change to the start date of the crediting period of the project activity or component project activity (CPA) of a PoA</b>  |  |
|                                     | Is the original start date prior to the date of registration of the project activity or PoA?   | <input type="checkbox"/> No<br>(If yes, it is not allowed to change the start date in accordance with the CDM project cycle procedure.)  |
|                                     | Is the change more than two years (four years for a least developed country) from the original start date?   | <input type="checkbox"/> No<br>(If yes, it is not allowed to change the start date in accordance with the CDM project cycle procedure.)  |
|                                     | Does the change to the start date of the crediting period in this request require prior approval as specified in the CDM project standard?   | <input type="checkbox"/> Yes<br>(If no, do not use this form and notify the secretariat in accordance with the CDM project cycle procedure.)   |
|                                     | Proposed new start date of the crediting period:   | <div style="border-bottom: 1px solid black; width: 100%;"></div> DD / MM / YYYY  |
| <input type="checkbox"/>            | <b>D. Inclusion of a monitoring plan in the registered PDD, PoA-DD or CPA-DD, if a monitoring plan was not included at the time of their registration</b>  |  |
|                                     | Timing of the request for approval of changes:   | <input type="checkbox"/> Prior to the submission of request for issuance for the first monitoring period<br><br><input type="checkbox"/> Together with the submission of request for issuance for the first monitoring period  |
| <input checked="" type="checkbox"/> | <b>E. Permanent changes to the monitoring plan as described in the registered PDD, PoA-DD, CPA-DD, or the applied baseline and monitoring methodology or standardized baseline (including changes to apply the provisions of the most recent version of the "Standard for sampling and surveys for CDM project activities and programme of activities)</b> |  |
|                                     | Do the proposed changes refer to a later version of the applied methodology?   | <input type="checkbox"/> Yes<br><input checked="" type="checkbox"/> No   |

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|---|---|--|
| <input type="checkbox"/>  | <b>F. Changes to the project or programme design as described in the registered PDD or PoA-DD</b>   |  |
| <b>(a) In the case of a registered CDM project activity, do the changes relate to:</b>  | Check all that apply: <ul style="list-style-type: none"> <li><input type="checkbox"/> Changes to effective output capacity</li> <li><input type="checkbox"/> Addition of component or extension of technology</li> <li><input type="checkbox"/> Removal or addition of sites</li> <li><input type="checkbox"/> Actual operational parameters differ from the expected parameters</li> <li><input type="checkbox"/> Any consequential changes to baseline and monitoring methodology and/or standardized baseline</li> <li><input type="checkbox"/> None of the above (<i>please describe the type of changes</i>)</li> </ul>  |  |
| <b>(b) In the case of a registered CDM PoA, do the changes relate to:</b>   | Check all that apply: <ul style="list-style-type: none"> <li><input type="checkbox"/> Changes to programme boundary to:             <ul style="list-style-type: none"> <li><input type="checkbox"/> Expand geographical coverage;</li> <li><input type="checkbox"/> Include additional host Parties</li> </ul> </li> <li><input type="checkbox"/> Revisions to the eligibility criteria due to:             <ul style="list-style-type: none"> <li><input type="checkbox"/> Version of baseline and monitoring methodologies applied by the PoA is revised or replaced subsequent to being placed on hold;</li> <li><input type="checkbox"/> Revision of the eligibility criteria of a registered PoA is initiated by the Board at any time during the lifetime of the PoA if an issue related to environmental integrity is identified;</li> <li><input type="checkbox"/> Use of positive lists is introduced based on the guidelines from the Board pertaining to demonstration of additionality of small-scale/ micro-scale project activities.</li> </ul> </li> <li><input type="checkbox"/> Removal of methodologies and/or standardized baselines from the registered PoA;</li> <li><input type="checkbox"/> Addition or change of technologies/ measures with or without addition or change of applied methodologies in the registered PoA-DD as follows:             <ul style="list-style-type: none"> <li><input type="checkbox"/> Changes that allow a shift to more efficient, less GHG-intensive or at least equivalent technologies/ measures;</li> <li><input type="checkbox"/> Changes that introduce complementary measures/ technologies involving mass and/or energy transfer to/from the originally registered technology/measure.</li> </ul> </li> </ul> |  |
| <b>(c) For both a registered CDM project activity and PoA, do the changes necessitate to change the applied methodology or standardized baseline?</b> | <input type="checkbox"/> Yes <ul style="list-style-type: none"> <li><input type="checkbox"/> To the latest version of the methodology or standardized baseline</li> <li><input type="checkbox"/> To another methodology or standardized baseline</li> </ul> <input type="checkbox"/> No   |  |

|  |  |
|--|--|
| <input type="checkbox"/>   | <b>G. Changes to the project design as described in the registered generic CPA-DD or specific-case CPA-DD</b>  |
| <input type="checkbox"/>   | <b>H. Changes specific to afforestation or reforestation project activities</b>  |
| <input type="checkbox"/>   | <b>I. Addition of specific case CPA-DDs corresponding to generic CPA-DDs for which a specific case CPA-DD has not been submitted at the time of request for registration of the PoA (for PoAs with more than one generic CPA-DD)</b>                           |
| <b>SECTION 3: DOCUMENTS SUBMITTED</b>  |  |
| <p><b>For sub-sections A.-H. in section 2 above, confirm the following documents are attached:</b></p> <p>(a) A completed validation report form for post-registration changes covering all changes;</p> <p>(b) Clean version of one revised PDD, PoA-DD including its generic CPA-DD, or specific-case CPA-DD(s), as appropriate;</p> <p>(c) Track-change version of that PDD, PoA-DD including its generic CPA-DD, or specific-case CPA-DD(s), as appropriate.</p> | <input checked="" type="checkbox"/> Yes  |
| <p><b>For sub-section I. in section 2 above, confirm the following documents are attached:</b></p> <p>(a) A completed specific-case CPA-DD;</p> <p>(b) A validation report form for inclusion of the specific-case CPA.</p>  | <input type="checkbox"/> Yes   |
| <p><b>Are supplemental documents attached?</b></p>   | <input type="checkbox"/> Yes<br><input checked="" type="checkbox"/> No<br><br><i>If yes, please provide titles of all documents for each type of changes that is being requested and ensure that all documents listed are submitted or links are provided:</i> |

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## Document information

| <i>Version</i>   | <i>Date</i>      | <i>Description</i>   |
|--|------------------|--|
| 05.0   | 17 March 2015    | Revision to: <ul style="list-style-type: none"> <li>Align provisions related to types of changes and documents submitted with the relevant requirements in the “CDM project cycle procedure” (Version 09.0) (CDM-EB65-A32-PROC);</li> <li>Delete provisions related to the assessment opinion confirmation;</li> <li>Change the symbol from F-CDM-PRC to CDM-PRC-FORM and minor editorial improvements;</li> <li>Editorial improvement.</li> </ul> |
| 04.0   | 25 June 2014     | Changes to adapt to the new provision related to standardized baselines adopted at EB 79.  |
| 03.0   | 29 July 2013     | Changes to adapt to the new provisions on registered CPAs of a PoA adopted at EB 74.   |
| 02.0   | 19 December 2012 | Changes to adapt to the new provision on PoAs adopted at EB 70 and editorial changes.  |
| 01.0   | 13 March 2012    | EB 66<br>Initial publication.  |
| Decision Class: Regulatory<br>Document Type: Form<br>Business Function: Registration<br>Keywords: post-registration change |                  |  |