

CDM-EB88-AA-A05

Draft CDM Executive Board workplan 2016

Version 01.0

DRAFT



United Nations
Framework Convention on
Climate Change

COVER NOTE

1. Procedural background

1. The Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol (CMP) requested the CDM Executive Board (hereinafter referred to as the Board) to further improve the consistency, efficiency and transparency of its decision-making.
2. The practice of the Board is to adopt a workplan at the first meeting of the year and thereafter consider any updates to the workplan at each meeting. The regular review of the workplan provides the Board with the opportunity to plan, note the status, and increase the transparency of its work.

2. Purpose

3. The draft workplan of the Board for 2016 provides an overview of the issues to be considered by the Board at each meeting during the year. This allows the Board to plan its workload throughout the year, ensuring a balanced workload and an efficient use of meeting time.

3. Key issues

4. The products in the draft workplan are based on the agreed "Management plan 2016" (EB87 report, annex 1) and the recent mandates provided by the CMP at its eleventh session.
5. The draft workplan provides information on the types of products the Board will consider at each meeting, actions to be taken by the Board, and products that will undergo panel or working group consultation and/or calls for public input.
6. The draft workplan foresees the consideration of concept notes with the aim for the Board to provide direction on a way forward to implement the relevant mandates and therefore, in some instances, further work may be required based on this guidance.
7. Following the practice of the quarterly reports, the draft workplan also proposes to provide regular updates to the Board outside the meetings, in order to alleviate the agendas of the meetings of the Board.

4. Impacts

8. The regular review and updating of the workplan will ensure efficiency and transparency of the decision-making process and facilitate the Board's management of its workload.

5. Proposed work and timelines

9. It is proposed that an updated version of the workplan is considered by the Board at each meeting.

6. Recommendations to the Board

10. The secretariat recommends that the Board approve the draft workplan for 2016 and request the secretariat to update the workplan for the consideration of the Board at each meeting, based on the status of work and any new mandates arising from the meetings of the Board.

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1. CDM Executive Board workplan 2016

1. The table below provides an overview of the workplan of the Executive Board of the clean development mechanism for 2016.

Table 1. CDM Executive Board workplan 2016

Project/ routine	Product	EB88	EB89	EB90	EB91	EB92	Comments
Governance and management matters							
Servicing of regulatory body							
Support to the Executive Board (EB)	Selection of Chair/Vice Chair for EB, panels and working groups (WGs)	Final					
	Selection of Chair/Vice Chair for EB, panels and working groups (WGs)	Final					
	Selection of CDM EB Finance Committee (EBFC) members	Final					
	Calendar of meetings	Final				Final	
	EB workplan 2016	Final	Info	Info	Info	Info	

Project/ routine	Product	EB88	EB89	EB90	EB91	EB92	Comments
Support to the EB (<i>cont.</i>)	EB report to CMP			Concept	Final		
	CDM MAP 2016 mid-year review			Info			Decision 6/CMP.11, para. 28
	CDM MAP 2017					Final	
242 Identification of key performance indicators (KPIs)	Amended list of CDM KPIs			Final			
Servicing of panels/working groups							
Panels and working groups (WGs)	2016 workplans of panels and WGs	Final					
	Panel and WG meeting reports	Final	Final	Final	Final	Final	
Secretariat-organized interactions							
Global DNA Forum	Interaction with Co-Chairs		Info			Info	
DOE/AIE Coordination Forum	Interaction with the Chair	Info	Info	Info	Info	Info	

Project/ routine	Product	EB88	EB89	EB90	EB91	EB92	Comments
Accreditation system							
Accreditation system	Analysis of the need for measures to ensure the continued participation of designated operational entities in the CDM				Concept (panel/WG input, preceded by call)		6/CMP.11, para. 11
	DOE performance monitoring					Info (through panel/WG report)	EB87 report para. 14
Market and policy analysis							
Policy analysis and reports	Carbon market and policy update	Info				Info	
Partnerships							
Nairobi Framework	Nairobi Framework Partnership update				Info		
CDM loan scheme	Independent evaluation of CDM loan scheme				Info		

Project/ routine	Product	EB88	EB89	EB90	EB91	EB92	Comments
CDM loan scheme (cont.)	Implementation report of CDM loan scheme				Info		
Regional collaboration centres operations (RCCs)	Expansion of scope and scale of RCCs		Concept				Decision 6/CMP.11, para. 26, EB87 report para. 15
Regulatory policy improvement							
222 Enhance the clarity, consistency and user-friendliness of regulations	Report on processes			Info			
	Report on stakeholder communications and impacts					Info	Procedure for direct communication para. 61
Sustainable development co-benefits	Increased communication on sustainable development co-benefits				Info		Decision 6/CMP.11, para. 21
	Improved user-friendliness of the existing sustainable development co-benefits tool				Info		Decision 6/CMP.11, para. 22

Project/ routine	Product	EB88	EB89	EB90	EB91	EB92	Comments
CDM registry	Publication of information of 3/CMP.1, appendix D, para. 12		Concept		Final		Decision 6/CMP.11, para. 24
Regulatory management							
Regulatory framework management	Revised PS, VVS			Draft		Final	Decision 6/CMP.11, para. 23 EB 87 report para. 51
	Revised methodology procedure		Draft	Final			Decision 6/CMP.11, paras. 12 and 13
	CDM registry procedure			Draft	Final		
	Recommendations on initial accreditation fee payment				Concept (secr./ panel/WG joint rec.)		EB87 report, para. 16
246 Simplification and streamlining of the CDM	Proposals for simplification and streamlining of the CDM	Concept (panel/WG input)	Concept (panel/WG input)				Decision 6/CMP.11, para. 6 EB87 report, para. 9

Project/ routine	Product	EB88	EB89	EB90	EB91	EB92	Comments
246 (cont.)	Revised regulatory documents (e.g. PS, VVS, PCP, accreditation standard)			Draft		Final (preceded by call)	EB87 report, paras. 8-9
	PoA standard, PoA VVS and PoA cycle procedure	Concept		Draft		Final (preceded by call)	Decision 6/CMP.11, para. 18
	Revised materiality guidelines			Concept	Draft (preceded by call)	Final	
249 Systematic learning system/ enhancing quality by institutionalizing regular accountability				Concept			
Rulings							
Project assessments							
Assessment of compliance with CDM requirements relating to project and PoA submissions	Consideration of cases for registration, issuance and other project and PoA related submissions	Final	Final	Final	Final	Final	

Project/ routine	Product	EB88	EB89	EB90	EB91	EB92	Comments
Regulatory matters							
Project assessments							
Standardized registration template				Draft	Final		Decision 6/CMP.11, para. 20
Methodologies							
110 Further development of standardized baselines framework	Revision of Guidelines for establishment of sector specific standardized baseline	Concept (secr./ panel/ WG joint rec.)				Final (secr./ panel/ WG joint rec.)	
	Report on road testing of current approaches in Guidelines for establishment of sector specific standardized baseline					Info (panel/ WG input)	
	Development of two standards with a methodological framework for two specific project types			Final (secr./ panel/ WG joint rec.)			

Project/ routine	Product	EB88	EB89	EB90	EB91	EB92	Comments
110 (cont.)	Revision of regulatory documents due to the revision of the procedure for development, revision, clarification and update of standardized baselines					Concept	
	Further work to define rules for determination of country-specific thresholds for specific project types/sectors of under-represented countries	Concept (secr./ panel/ WG joint rec.)	DRAFT			Final (secr./ panel/ WG joint rec.)	Decision 3/CMP.9, para. 13
	Options to develop sector-wide emission factor	Concept (secr./ panel/ WG joint rec.)				Final (secr./ panel/ WG joint rec.)	
	Database on cost and efficiency of technologies				Info		

Project/ routine	Product	EB88	EB89	EB90	EB91	EB92	Comments
223 Simplification of methodolo- gies including digitization to reduce transaction costs	Digitized project and programme design document forms for CDM project activities and PoAs			Info		Info	Decision 6/CMP.11, para. 14 EB87 report para. 35
	Simplified inclusion process for activities that satisfy microscale thresholds and are considered automatically additional		Concept (panel/WG input, preceded by call)	Draft	Final		Decision 6/CMP.11, para. 19
	Probabilistic approaches for additionality and criteria for negative lists			Concept (panel/WG input)			
	Gap analysis and revision of the combined tool			Final (through panel/WG report)			

Project/ routine	Product	EB88	EB89	EB90	EB91	EB92	Comments
223 (cont.)	Revised methodological tool: Investment analysis			Final through panel/ WG report, preceded by call)		Final (through panel/ WG report, preceded by call)	
	Non-binding best practice examples in methodologies		Final (through panel/ WG report, preceded by call)			Final (through panel/ WG report)	
	Consolidated small-scale and microscale additionality tools with updated positive lists			Draft (secr./ panel/ WG joint rec., preceded by call)			EB87 report para. 49
	Cost-effective approaches for monitoring – Revision to methodologies, tools and regulations			Final (through panel/WG report, preceded by call)	Final (through panel/WG report, preceded by call)		6/CMP.11, para. 15

Project/ routine	Product	EB88	EB89	EB90	EB91	EB92	Comments
223 (cont.)	Various top-down revised/new methodologies and tools mandated by the Board		Final (through panel/WG report, preceded by call)	Final (through panel/WG report, preceded by call)		Final (through panel/WG report, preceded by call)	
244 Development of new methodologies to broaden the applicability of the CDM	Use of the CDM in urban sectors		Concept (secr./ panel/ WG joint rec., preceded by call)				Decision 4/CMP.10, para. 6
	Broadly applicable methodology for transport applications		Concept (secr./ panel/ WG joint rec., preceded by call)				Decision 4/CMP.10, para. 6
	Methodological options for developing 'agriculture CDM'		Concept (secr./ panel/ WG joint rec., preceded by call)				Decision 4/CMP.10, para. 6
	Methodologies for biofuel activities					Final (through panel/ WG report, preceded by call)	Decision 4/CMP.10, para. 6

Project/ routine	Product	EB88	EB89	EB90	EB91	EB92	Comments
244 (cont.)	Indirect leakage emissions		Concept				
	Methodologies for the aviation sector – solar at gate	Final					Decision 4/CMP.10, para. 6 EB87 report para. 50
	Methodologies for the aviation sector – e-taxiing		Final through panel/WG report, preceded by call)				Decision 4/CMP.10, para. 6 EB87 report para. 41
	Consolidated methodology for supply of domestic thermal energy and safe drinking water					Final (through panel/WG report, preceded by call)	
Other methodology related issues	Standard on applicability of sectoral scopes	Final (preceded by call)					EB87 report para. 37
	New project activity in a physical or geographical location of an existing CDM project		Concept (secur./ panel/WG joint rec.)				

Project/ routine	Product	EB88	EB89	EB90	EB91	EB92	Comments
Regulatory policy improvement							
216 Application of the E- policy for additionality demonstra- tion	Analysis	Concept			Info		Decision 6/CMP.11, para. 16
	Consolidated document covering explanations of the E- issues		Draft				
Support to DNAs							
Technical assistance to DNAs on guidelines for local stakeholder consultation (if triggered by a DNA request)			DRAFT				Decision 3/CMP.9, para. 20
Technical assistance to DNAs on guiding tools for monitoring sustainable development benefits (if triggered by a DNA request)							Decision 3/CMP.9, para. 8
Partnerships							
Options for using the CDM as a tool for other uses		Concept	Concept (preceded by call)		Final		Decision 6/CMP.11, para. 7

Project/ routine	Product	EB88	EB89	EB90	EB91	EB92	Comments
Further development and promotion of the VC tool	Facilitate access to the section concerning sustainable development in the project and programme design documents of the project activities and PoAs on the online platform for voluntary cancellation of CERs	Info					Decision 6/CMP.11, para. 5
	Further development of the platform so as to make it more user-friendly	Info					EB87 report para. 7
Financing CDM through international climate financing institutions		Concept					Decision 6/CMP.11, para. 8 Decision 6/CMP.11, para. 9
Stakeholder capacity-building	Annual survey on status of CDM project activities and PoAs				Info		

EB Workplan 2016
(Total of documents 114)

Numbers of items	EB88	EB89	EB90	EB91	EB92
Concept	8	10	4	2	1
Draft	0	2	7	1	0
Final	10	5	9	9	16
Info	4	3	5	10	8
Total	22	20	25	22	25

2. Regular reports to the CDM Executive Board

2. Reports on activities and performance are prepared by the secretariat on a regular basis for consideration of the Board and the general public and are published on the UNFCCC CDM website¹. Confidential information pertaining to these reports will be provided to the Board via other means, as required.
3. Any member of the Board may request to consider a specific report at the coming meeting after publication, taking into account the deadlines stipulated by the Rules of Procedure of the Board.

Table 2. Regular reports to the CDM Executive Board

Frequency	
Key developments in the carbon market	Twice a year
Regional collaboration centres (RCCs)	Twice a year
Synthesis report of the annual activity reports by DOEs	Once a year
Financial resources	Four times a year
Key performance indicators	Once a year (in addition to EB 90 in July 2016)

¹ <<https://cdm.unfccc.int/EB/report/>>.

Document information

<i>Version</i>	<i>Date</i>	<i>Description</i>
01.0	22 February 2016	Initial publication as an annex to the annotated agenda of EB88.
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