



## **CLEAN DEVELOPMENT MECHANISM EXECUTIVE BOARD**

### **PROPOSED AGENDA AND ANNOTATIONS**

Sixty-eighth meeting

UNFCCC  
Bonn, Germany  
16–20 July 2012

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## PROPOSED AGENDA

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## I. ANNOTATIONS TO THE PROPOSED AGENDA

### 1. Agenda and meeting organization

#### 1.1. Quorum

1. ► **Action:** The Chair of the Executive Board of the clean development mechanism (CDM) (hereinafter referred to as the Board) shall ascertain whether (a) the members present constitute a quorum, and (b) the absence of any member or alternate member is “without proper justification”<sup>1</sup>.

*Background:* According to the Rules of procedure of the Board, at least two-thirds of the members of the Board, representing a majority of members from Parties included in Annex I to the Convention and a majority of members from Parties not included in Annex I, must be present to constitute a quorum.

#### 1.2. Adoption of the agenda

2. ► **Action:** Members may wish to adopt the proposed agenda for the sixty-eighth meeting.

*Background:* At its last meeting, the Board agreed on items to be included on the provisional agenda for its sixty-eighth meeting. The proposed agenda was transmitted to the Board on 25 June 2012 and thereafter posted on the UNFCCC CDM website in accordance with rule 21 of the Rules of procedure of the Board.

#### 1.3. External input to matters on the agenda of the meeting

3. ► **Action:** The Board may wish to consider external inputs to the annotated agenda of this Board meeting.

*Background:* Following the “Modalities and procedures for direct communication with stakeholders”, stakeholders have the opportunity to provide comments to the annotated agenda. Comments received will be posted on the UNFCCC CDM website. In addition, the DOE/AIE Coordination Forum Chair may provide comments on issues on the agenda of the Board meeting.

### 2. Governance and management matters

#### 2.1. Membership issues

4. ► **Action:** The Board may wish to welcome its two new alternate members from non-Annex I Parties:

- (a) Mr. Qazi Kholiquzzaman Ahmad (first term);
- (b) Mr. Washington Zhakata (first term).

*Background:* The two nominations for alternate member seats of the Non-Annex I constituency were not received at the seventh session of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol (CMP). The above nominations were received by the secretariat on 25 May 2012 and accordingly the nominees were deemed as elected at the CMP at its seventh session.

5. ► **Action:** Members of the Board may wish to provide statements on any potential conflict of interest concerning the issues on the agenda for the meeting, and take action, as appropriate.

<sup>1</sup> Please refer to rule 28 of the Rules of procedure of the Executive Board of the clean development mechanism, decision 4/CMP.1 <FCCC/KP/CMP/2005/8/Add.1>.



*Background:* Following the request by the CMP, through its decision 2/CMP.5, to publish statements of conflict of interest on the UNFCCC CDM website, members and alternate members are requested to disclose whether they have any conflict of interest relating to the work of the Board at each meeting.

## 2.2. Strategic planning/direction

6. ► **Action:** The Board may wish to have an interaction with members of the CDM Policy Dialogue high-level panel and consider an update on its work.

*Background:* At its sixty-third meeting, the Board launched a policy dialogue to review past CDM experience and help ensure the readiness and positioning of the CDM to meet the challenges of the post-2012 period. Through the CDM management plan (CDM-MAP) for 2012, the Board requested to receive an update on the work of the high-level panel of the CDM Policy Dialogue at each of its meetings.

7. ► **Action:** The Board may wish to consider an update on recent developments and prospects in the carbon market and related governmental policies.

*Background:* The Board, at its sixty-fifth meeting, requested the secretariat to continue providing reports and analyses of market and policy developments, providing more detail where necessary and highlighting implications for the work of the Board.

8. ► **Action:** The Board may wish to consider an update on the strategic measure for enhanced support to the global carbon market.

*Background:* The Board, at its sixty-seventh meeting, took note of the secretariat's work to enhance its support for underrepresented countries in the CDM and requested the secretariat to provide more detailed information, at its next meeting, on the development of this work. The secretariat will also provide information on other initiatives being explored by the secretariat.

9. ► **Action:** The Board may wish to consider information on the risk-based approach for project submissions, including statistical models and the process for operationalizing the risk-based approach.

*Background:* The Board, through the CDM-MAP for 2012, agreed to continue on the work undertaken related to the risk-based approach for project submissions.

## 2.3. Performance management

10. ► **Action:** The Board may wish to consider the draft updated work programme of the Board for 2012, as contained in annex 1 to these annotations.

*Background:* The Board, at its sixty-seventh meeting, considered an update on the status of the work of the Board in 2012 and requested the secretariat to provide an update on the status of work at the following meeting.

11. ► **Action:** The Board may wish to consider the results of the designated operational entities (DOEs) performance monitoring.

*Background:* The Board, at its fifty-eighth meeting, adopted the “Procedure on performance monitoring of designated operational entities”, which states that the Board as the final decision-making body shall be provided with all relevant data on DOE performance for its decision making.

## 2.4. Matters related to the Board and its support structure

12. ► **Action:** The Board may wish to hold an initial discussion on its annual report to the CMP.



*Background:* In accordance with decisions 1/CMP.2 and 2/CMP.3, the Board's annual report to the CMP is to be finalized at the next meeting of the Board.

13. ► **Action:** The Board may wish to consider revising, as necessary, the workplans of its panels and working groups.

*Background:* The Board, at its sixty-sixth meeting, agreed to the workplans of the CDM Accreditation Panel (CDM-AP), Methodologies Panel (Meth Panel), Small-Scale Working Group (SSC WG) and Afforestation/Reforestation Working Group (A/R WG) for the year 2012 and agreed to review these at this meeting.

14. ► **Action:** The Board may wish to hold an initial discussion on the focus of the Board's strategy planning session, planned to be held in conjunction with its sixty-ninth meeting.

*Background:* It is the Board's practice to hold an annual strategy planning session back-to-back with one of its meetings.

15. ► **Action:** The Board may wish to consider a concept note on the management of the regulatory framework of regulatory documents, as contained in annex 2 to these annotations.

*Background:* The CMP, at its seventh session, requested the Board to continue its work to further improve the consistency, efficiency and transparency of its decision-making.

16. ► **Action:** The Board may wish to consider the matters related to the code of conduct of the Board.

*Background:* The CMP at its seventh session requested the Board to consider, if necessary, the review of the code of conduct.

17. ► **Action:** The Board may wish to consider matters related to its communication and outreach work.

*Background:* The Board, at its sixty-sixth meeting, agreed on the CDM communication and outreach workplan for 2012 and noted that the secretariat will prepare a revision of the communication and outreach strategy for consideration by the Board in line with the CDM-MAP for 2012.

18. ► **Action:** The Board may wish to consider a concept note on possible incentives and actions in relation to DOE compliance in reporting projected submissions, as contained in annex 3 to these annotations.

*Background:* The Board, at its sixty-sixth meeting, considered a concept note on an improved system to predict long-term submissions, with a view to ensuring operational capacity and improving efficiency in the operation of the CDM and requested the secretariat to further explore means of providing incentives and taking action in relation to the DOEs' compliance with this reporting requirement.

19. ► **Action:** The Board may wish to consider a concept note on voluntary cancellation in the CDM registry, as contained in annex 4 to these annotations.

*Background:* The CMP, at its seventh session, requested the administrator of the CDM registry to provide for accounts in the CDM registry to allow for the cancellation of units for administrative and other purposes.

20. ► **Action:** The Board may wish to consider the shortlist of experts for the Carbon Capture and Storage Working Group (CCS WG).

*Background:* The Board, at the sixty-seventh meeting, established the CCS WG and requested the secretariat to launch a call for experts to act as members of this working group and to prepare a shortlist of experts for consideration of the Board at this meeting.

## 2.5. Operation of panels and working groups

### *CDM Accreditation Panel*

21. ► **Action:** The Board may wish to consider the progress report of the 60<sup>th</sup> meeting of the CDM-AP.

*Background:* The CDM-AP held its 60<sup>th</sup> meeting on 18–21 June 2012 in Bonn, Germany. The CDM-AP dealt with case specific issues including but not limited to initial accreditation, re-accreditations, regular on-site surveillance of central offices and non central sites, performance assessments, additional focused desk review assessments and notifications on changes.

22. ► **Note:** The Board may wish to take note that the CDM-AP at its 60<sup>th</sup> meeting considered a total of 46 accreditation cases, out of which 8 remain under consideration in accordance with the CDM accreditation procedure.

23. ► **Note:** The Board may wish to take note of the on-going work by the CDM-AP on other assessment matters, policy, assessment resources and other matters, including the work on the compendium of CDM-AP decision-making practices, the interaction with CDM assessment team leaders, and the work on the document for selection and use of the experts in the accreditation roster of experts.

### *Methodologies Panel*

24. ► **Action:** The Board may wish to consider of the report of the 56<sup>th</sup> meeting of the Meth Panel.

*Background:* The Meth Panel held its 56<sup>th</sup> meeting on 11–15 June 2012 in Bonn, Germany. The Meth Panel dealt with case-specific issues, methodological clarifications, guidance and other issues, as specified below.

25. ► **Note:** The Board may wish to take note that, in response to the task of developing top-down methodologies and tools, as contained in the 2012 workplan of the Meth Panel, the panel could not conclude its consideration of the draft methodological tool “Upstream leakage emissions associated with fossil fuel use”, as further work is needed on the default emission factors for upstream emissions for different types of fossil fuels.

26. ► **Note:** The Board may wish to take note of the responses to the requests for clarifications CLA\_TOOL\_0015, CLA\_TOOL\_0016, AM\_CLA\_0228, AM\_CLA\_0229, AM\_CLA\_0230, AM\_CLA\_0231, AM\_CLA\_0232 and AM\_CLA\_0233, as provided by the Meth Panel and referred to in its report (see table 3 in paragraph 27 of the report of the 56<sup>th</sup> meeting of the Meth Panel). Information on the clarifications to methodologies is available on the UNFCCC CDM website at: <<http://cdm.unfccc.int/methodologies/PAmethodologies/clarifications>>.

27. ► **Note:** The Board may wish to take note that, in response to the request contained in paragraph 92 of the sixty-sixth meeting of the Board, the Meth Panel could not conclude its recommendation for this request concerning methodologies AM0028 “Catalytic N<sub>2</sub>O destruction in the tail gas of Nitric Acid or Caprolactam Production Plants” and AM0034 “Catalytic reduction of N<sub>2</sub>O inside the ammonia burner of nitric acid plants”, as the input from the consultant was not received in time to finalize the consideration of this issue. The Meth Panel will continue its consideration of this issue at its next meeting.

28. ► **Note:** The Board may wish to take note that, in response to the task of improving approved methodologies and tools and the task of implementing programme of activities (PoA) standards and



procedures, as contained in the 2012 workplan of the Meth Panel, the panel will continue its consideration of the methodology AM0031 “Baseline methodology for bus rapid transit projects” at its next meeting.

29. ► **Note:** The Board may wish to take note that, in response to the request for revision AM\_REV\_0235, the Meth Panel could not conclude its consideration of the request for revision concerning methodology AM0035 “SF<sub>6</sub> Emission Reductions in Electrical Grids” as further analysis is required with regard to the procedures to determine the baseline emissions in case of lack of historical information. The Meth Panel will continue its consideration of this issue at its next meeting.

30. ► **Note:** The Board may wish to take note that, in response to the task of using standardized approaches, as contained in the 2012 workplan of the Meth Panel, the panel considered possible standardized approaches to be introduced in the methodology AM0091 “Energy efficiency technologies and fuel switching in new buildings” that will be further explored in the course of the work.

31. ► **Note:** The Board may wish to take note that, in response to the request contained in paragraph 107 of the report of the sixty-seventh meeting of the Board concerning the selection of the crediting period, the Meth Panel assessed the issue and agreed to initiate the revision of existing methodologies that only allow the selection of a single crediting period and to assess whether changes are required to the methodological tool “Assessment of the validity of the original/current baseline and update of the baseline at the renewal of the crediting period”.

32. ► **Note:** The Board may wish to take note that, in response to the request contained in paragraph 90 of the report of the sixty-sixth meeting of the Board, and the task of implementing PoA standards and procedures as contained in the 2012 workplan of the Meth Panel, the panel recommended specific requirements, which have been incorporated in the draft revisions recommended to the Board for approval at this meeting, on the use of large-scale methodologies under a PoA for the following methodologies:

- a) AM0009 “Recovery and utilization of gas from oil wells that would otherwise be flared or vented”;
- b) AM0025 “Avoided emissions from organic waste through alternative waste treatment processes”;
- c) AM0050 “Feed switch in integrated Ammonia-urea manufacturing industry”;
- d) ACM0010 “Consolidated baseline methodology for GHG emission reductions from manure management systems”;
- e) ACM0013 “Consolidated baseline and monitoring methodology for new grid connected fossil fuel fired power plants using a less GHG intensive technology”;
- f) ACM0014 “Mitigation of greenhouse gas emissions from treatment of industrial wastewater”.

33. ► **Note:** The Board may wish to take note that, in response to the task of considering methodologies to address suppressed demand, as contained in the 2012 workplan of the Meth Panel and taking into account the agreed list of approved methodologies to be considered as priorities as contained in paragraph 105 of the report of the sixty-seventh meeting of the Board, the Meth Panel will initiate revisions to the following methodologies to address the issue of suppressed demand:

- a) AM0025 “Avoided emissions from organic waste through alternative waste treatment processes”;
- b) ACM0014 “Mitigation of greenhouse gas emissions from treatment of industrial wastewater”.

34. ► **Note:** The Board may wish to take note that, in response to the task of improving the suppressed demand guidelines, as contained in the 2012 workplan of the Meth Panel, the panel considered a draft revision of the “Guidelines on the consideration of suppressed demand in CDM methodologies” and provided feedback to the secretariat. The secretariat has taken note of the feedback, which will be considered when finalizing the draft revision of the “Guidelines on the consideration of suppressed demand in CDM methodologies” for consideration by the Board.

35. ► **Note:** The Board may wish to take note that, in response to the task of improving the demonstration of additionality, as contained in the 2012 workplan of the Meth Panel, the panel considered options identified by the secretariat to improve the demonstration of additionality and provided feedback to the secretariat. The secretariat has taken note of the feedback, which will be considered when finalizing a concept note on the improvement of additionality demonstration for consideration by the Board.

36. ► **Note:** The Board may wish to take note that, in response to the task of accounting for uncertainties in methodologies, as contained in the 2012 workplan of the Meth Panel, the panel considered a draft concept note entitled “Uncertainty of measurements in baseline and monitoring methodologies” and provided feedback to the secretariat. The secretariat has taken note of the feedback, which will be considered when finalizing the concept note for consideration by the Board.

37. ► **Note:** The Board may wish to take note that, in response to the task of preparing draft guidelines on standardized approaches for determining baselines, as contained in the 2012 workplan of the Meth Panel, the panel considered the guidelines and provided feedback to the secretariat. The secretariat has taken note of the feedback, which will be considered when finalizing the guidelines for consideration by the Board.

38. ► **Note:** The Board may wish to take note that, in response to the task of preparing an annex to the guidelines on the establishment of sector-specific standardized baselines defining options for the setting and approval of values of the relevant thresholds, as contained in the 2012 workplan of the Meth Panel, the panel considered a presentation from the secretariat and provided its feedback. The secretariat has taken note of the feedback, which will be considered when finalizing the guidelines for consideration by the Board.

39. ► **Note:** The Board may wish to take note that, in response to the task of managing data on cost and efficiency of technologies for selected sectors, as contained in the 2012 workplan of the Meth Panel, the panel considered a concept note on a database on cost and efficiency of technologies and provided its feedback to the secretariat. The secretariat has taken note of the feedback, which will be considered when finalizing the concept note for consideration by the Board.

### ***Small-Scale Working Group***

40. ► **Action:** The Board may wish to consider the report of the 37<sup>th</sup> meeting of the SSC WG.

*Background:* The SSC WG held its 37<sup>th</sup> meeting on 5–8 June 2012 in Bonn, Germany. The SSC WG dealt with case-specific issues, methodological clarifications, guidance and other issues, as specified below.

41. ► **Note:** The Board may wish to take note that the SSC WG agreed to continue considering the following proposed new methodologies, and further input from the project proponents has been sought. The group will continue considering these cases at its future meetings:

- (a) SSC-NM079 “Avoidance of methane and nitrous oxide emissions through mulching”;
- (b) SSC-NM081 “Emissions reductions from displacement of production of traditional building material by manufacture and installation of gypcrete wall panels”;
- (c) SSC-NM082 “Reduction of N<sub>2</sub>O emissions from use of Nitrogen Use Efficient (NUE) seeds that require less fertilizer application”.

42. ► **Note:** The Board may wish to take note of the responses to the requests for clarifications SSC\_624 and SSC\_626–SSC\_637, as provided in paragraphs 20 to 32 of the report of the 37<sup>th</sup> meeting of the SSC WG. Information on the clarifications to methodologies is available on the UNFCCC CDM website at: <<http://cdm.unfccc.int/methodologies/SSCmethodologies/clarifications>>.

43. ► **Note:** The Board may wish to take note that, in response to the task of top-down revisions of selected methodologies, as contained in the 2012 workplan of the SSC WG, the SSC WG initiated work on the revision of the methodology AMS-III.AE “Energy efficiency and renewable energy measures in new





residential buildings”. The SSC WG agreed to continue to work on recommending changes to AMS-III.AE and is seeking further input from practitioners for expansion of the usability of the methodology

44. ► **Note:** The Board may wish to take note of the information note on approaches for standardized baselines in the transport sector as contained in annex 21 of the report of the 37<sup>th</sup> meeting of the SSC WG. As agreed by the Board in its work programme (EB 67, annex 1) the SSC WG launched a call for public input (from 18 June to 9 July 2012) on the concept note. Questions on specific issues related to the approach are contained in annex 22 of the report of the 37<sup>th</sup> meeting of the SSC WG.

45. ► **Note:** The Board may wish to take note that, in line with the SSC WG 2012 workplan on the development of standardized approaches in the sector of rural energy, the SSC WG agreed to continue to work on the regional default values for fuel wood consumption.

46. ► **Note:** The Board may wish to take note that, in response to the task of improvement of the guidelines on suppressed demand, included in the SSC WG workplan for 2012, the SSC WG provided feedback to the secretariat on ways to improve the guidelines.

47. ► **Note:** The Board may wish to take note that, in response to the task of making recommendations on draft revised methodologies to address suppressed demand, included in the SSC WG workplan for 2012, the SSC WG recommended a revision to AMS-III.AR and commenced work on the revisions of AMS-I.E and AMS-I.A.

48. ► **Note:** The Board may wish to take note that, in line with the SSC WG 2012 workplan, the SSC WG provided feedback to the secretariat on the annex to the “Guidelines for the establishment of sector-specific standardized baseline” defining options for the setting and approval of the relevant thresholds and analysis of implications of these options for the sectors covered.

49. ► **Note:** The Board may wish to take note that the SSC WG continued its consideration of the inputs received via the commenting system on the appropriateness of the default values for transmission and distribution losses indicated in the SSC methodologies. The SSC WG concluded that the proposed values and methods indicated in the SSC methodologies are appropriate simplifications in lieu of superior local data.

50. ► **Note:** The Board may wish to take note that, in response to the guidance from the Board at its sixty-seventh meeting on the consolidation of methodologies covering recycling of materials, the SSC WG considered options to combine the methodology AMS-III.BA with the methodology AMS-III.AJ. The SSC WG agreed to further analyse the issue at the next meeting.

51. ► **Note:** The Board may wish to take note that, in line with the objectives of the work programme of the Board for 2012 (EB 67, annex 1) and under the project “Implementation of the PoA standards and procedures”, the SSC WG provided feedback to the secretariat’s draft “Assessment report on cross effects when applying multiple methodologies to PoA and possible development of guidance”.

52. ► **Note:** The Board may wish to take note that, in response to requests from the Board, the SSC WG provided feedback to the secretariat to propose broadening the applicability of small-scale and micro-scale additionality guidelines, specifically on the following issues:

(a) The appropriateness of the current Type I to Type III thresholds indicated in the micro-scale additionality guidelines;

(b) Expansion of the positive list to off-grid/distributed generation and other isolated units/technologies of very small size;

(c) Additionality demonstration for rural electrification project activities;

(d) Additionality demonstration for manure management methodologies.

*Afforestation and Reforestation Working Group*

53. ► **Action:** The Board may wish to consider the report of the 36<sup>th</sup> meeting of the A/R WG.

*Background:* The A/R WG held its 36<sup>th</sup> meeting on 20–22 June 2012 in Bonn, Germany. The A/R WG dealt with case-specific issues, methodological clarifications, guidance and other issues, as specified below.

54. ► **Note:** The Board may wish to take note of the response to the requests for clarification AR\_AM\_CLA\_0017 and AR\_AM\_CLA\_0018, as provided in paragraphs 14 and 15 respectively of the report of the 36<sup>th</sup> meeting of the A/R WG. Information on clarifications to methodologies is available on the UNFCCC CDM website at: <<http://cdm.unfccc.int/methodologies/armethodologies/clarifications>>.

55. ► **Note:** The Board may wish to take note that the A/R WG will continue to consider the amendment of AR-AM005 “Afforestation and reforestation project activities implemented for industrial and/or commercial uses”.

56. ► **Note:** The Board may wish to take note that the A/R WG provided a response to the request from the Methodologies Panel regarding the revision request AM\_REV\_0029 “Revision of AM0036 to include fuel switch to biomass from dedicated plantations”, as contained in annex 6 to the report of the 36<sup>th</sup> meeting of the A/R WG.

### 3. Rulings (case-specific matters)

#### 3.1. Accreditation

57. ► **Action:** The Board may wish to:

- (a) Re-accredit a DOE for sector specific validation and verification/certification functions;
- (b) Consider a recommendation of the CDM-AP on the extension of accreditation of DOEs undergoing re-accreditation assessments;
- (c) Take note of the CDM-AP’s notifications on the results of regular on-site surveillances;
- (d) Take note of the CDM-AP’s notifications on the results of performance assessments;
- (e) Take note of the CDM-AP’s notifications on the results of the additional desk review assessments.

*Background:* In accordance with the accreditation procedure, the recommendations for accreditation and the supporting documentation will be sent to Board members and alternates under separate cover under confidentiality.

#### 3.2. Programme of activities

58. ► **Action:** The Board may wish to take stock of the progress of registration of PoAs.

*Background:* The information available in the section on “Programme of Activities” on the UNFCCC CDM website (<<http://cdm.unfccc.int/Projects/projsearch.html>>) indicates the status of PoAs submitted for registration as a single CDM project activity.

#### 3.3. Registration

59. ► **Action:** The Board may wish to take stock of the progress of registration of CDM project activities.

*Background:* The information available in the section on “Project Activities” on the UNFCCC CDM website (<<http://cdm.unfccc.int/Projects/projsearch.html>>), indicates the status of project activities submitted for registration.

60. ► **Action:** The Board shall consider the documentation for two (2) requests for registration as listed in table 1 below, for which a review was commenced.

*Background:* Three or more members of the Board or a Party involved requested a review of the requests for registration listed in table 1 below. The subsequent assessments of the secretariat and the Registration and Issuance Team (RIT) Team contained different proposed decisions (i.e. one to register and the other to reject) or the same proposed decisions (both to register or to reject), but received an objection from a member of the Board. In accordance with the “Procedures for review of requests for registration”, adopted by the Board at its fifty-fifth meeting, for each case listed in table 1 below, the Board shall decide whether to register the project activity or to reject the request for registration.

*Table 1: Request for registration review cases for which assessments of the secretariat and the RIT Team contain different proposed decisions or an objection by a member of the Board was received*

Ref No.	Title	Register	Reject
4944	Zhangjiakou Qiaodong District Heating Project	?	?
4988	El General Hydroelectric Project	?	?

### 3.4. Issuance

61. ► **Action:** The Board may wish to take stock of the progress of issuance and the operation of the CDM registry.

*Background:* The information available in the section on “Issuance of CERs” on the UNFCCC CDM website (<http://cdm.unfccc.int/Issuance>), indicates the status of requests for issuance.

62. ► **Action:** The Board shall consider the documentation for two (2) requests for issuance, for which a review was commenced, as listed in table 2 below.

*Background:* Three (3) or more members of the Board or a Party involved requested a review of the issuance requests listed in table 2 below. The subsequent assessments of the secretariat and the RIT Team contained different proposed decisions (i.e., one to approve and the other to reject) or the same proposed decision (both to approve or to reject), but received an objection from a member of the Board. In accordance with the “Procedures for review of requests for issuance of CERs”, adopted by the Board at its fifty-fifth meeting, for each case listed in table 2 below, the Board shall decide whether to approve or to reject the request for issuance.

*Table 2: Request for issuance review cases for which assessments of the secretariat and the RIT Team contain different proposed decisions or an objection by a member of the Board was received*

Ref. No.	Title	Monitoring period	No. of CERs	Approve	Reject
0472	AWMS GHG Mitigation Project BR05-B-12, Mato Grosso, Mato Grosso do Sul, Minas Gerais, and Sao Paulo, Brazil	01 Apr 2010 - 30 Sep 2010	39005	?	?
2924	Ningxia Federal Solar Cooker Project	12 Feb 2010 - 31 Oct 2010	32067	?	?

63. ► **Action:** The Board may wish to consider the request for permission to resubmit six (6) previously rejected requests for issuance listed in table 3 below.



*Background:* In accordance with paragraph 33 of annex 4 to the report of the sixty-fourth meeting of the Board, in cases where the reasons for rejection can be addressed by means of a revised verification report, based on a revised monitoring report, if appropriate, the DOE may request, explaining the reasons, permission to submit a revised request for issuance for the same monitoring period covered by the rejected request for issuance. In this case, the request for permission to re-submit a request for issuance shall be submitted within 60 days of publication of the final ruling of the rejected request for issuance.

*Table 3: Requests for resubmission of previously rejected requests for issuance*

Ref No.	Title	Monitoring Period
0378	Xiaogushan Hydropower Project in People's Republic of China	01 Jan 2010 - 31 Dec 2010
1257	Power capacity expansion project at Dwarikesh Puram	30 Nov 2007 - 02 Apr 2008
1257	Power capacity expansion project at Dwarikesh Puram	03 Apr 2008 - 07 Mar 2009
1257	Power capacity expansion project at Dwarikesh Puram	08 Mar 2009 - 19 Apr 2010
1636	Alto-Tietê landfill gas capture project	25 Sep 2008 - 04 Mar 2009
1636	Alto-Tietê landfill gas capture project	05 Mar 2009 - 31 May 2010

64. ► **Action:** The Board may wish to consider the request for permission to resubmit five (5) previously withdrawn requests for issuance listed in table 4 below.

*Background:* In accordance with paragraph 9 (c) of annex 33 to the report of the fifty-fourth meeting of the Board, in cases where requests for issuance that have received a request for review are withdrawn, if the DOE intends to resubmit the request for issuance for the same monitoring period after such a withdrawal, the DOE shall request permission from the Board to resubmit such a request.

*Table 4: Request for resubmission of previously withdrawn requests for issuance*

Ref No.	Title	Monitoring Period
0165	ESTRE's Paulinia Landfill Gas Project (EPLGP)	01 Aug 2010 - 31 Oct 2010
0165	ESTRE's Paulinia Landfill Gas Project (EPLGP)	26 Feb 2010 - 31 Jul 2010
0165	ESTRE's Paulinia Landfill Gas Project (EPLGP)	01 Nov 2010 - 31 Jan 2011
0928	Methane recovery and effective use of power generation project Norte III-B Landfill.	01 Jun 2010 - 31 Jan 2011
1289	Fuel switchover from higher carbon intensive fuels to Natural Gas (NG) at Indian Farmers Fertiliser Cooperative Ltd (IFFCO) in Phulpur Village, Allahabad, Uttar Pradesh by M/s Indian Farmers Fertiliser Cooperative Ltd (IFFCO)	01 Apr 2009 - 31 Mar 2010

65. ► **Action:** The Board may wish to consider one (1) submission by a DOE with regard to notification of changes or request for approval of changes from the registered project design document (PDD), as listed in table 5 below.

*Background:* The DOE may submit a notification or a request for approval of changes from the registered PDD in accordance with the "Procedures for notifying and requesting approval of changes from the project activity as described in the registered PDD", adopted by the Board at its forty-eighth meeting. The Board, according to these procedures, shall decide whether to: (a) accept the changes and allow the subsequent requests for issuance; (b) accept the changes and allow the subsequent requests for issuance, but limit the certified emissions reductions (CERs) up to the level estimated in the original PDD; or (c) reject the changes and not allow the subsequent requests for issuance.



Table 5: Notifications of changes/requests for approval of changes from the registered PDD

Ref No.	Title	Type
4338	Thanh Thuy Hydropower Project	Request

#### 4. Regulatory matters

##### 4.1. Standards/tools

##### (a) *Standards for CDM project activities and programme of activities*

66. ► **Action:** The Board may wish to consider a concept note on database on the cost and efficiency of technologies using standardized baselines, as contained in annex 5 to these annotations.

*Background:* In response to the request from the CMP at its sixth session, the Board developed guidelines on the establishment of sector-specific standardized baselines and a work programme for the implementation of standardized baselines. The work programme contains the collection of data on the cost of technologies. This proposed work is the part of CDM-MAP adopted by the Board at its sixty-sixth meeting.

67. ► **Action:** The Board may wish to consider a concept note on the possible improvements in the demonstration of additionality, as contained in annex 6 to these annotations.

*Background:* The CMP, at its seventh session, requested the Board to continue ensuring environmental integrity when developing and revising baseline and monitoring methodologies and methodological tools, in particular by considering possible ways of improving the current approach to the assessment of additionality, in order to provide clarity to encourage project activities in the private sector and the public sector.

##### (b) *Guidelines*

68. ► **Action:** The Board may wish to consider the draft guidelines on the consideration of suppressed demand in CDM methodologies, as contained in annex 7 to these annotations.

*Background:* The CMP, at its seventh session, requested the Board to accelerate the implementation of guidelines on suppressed demand in baselines and monitoring methodologies, prioritizing those that are more applicable to least developed countries, small island developing States, African countries, and countries underrepresented in the CDM.

69. ► **Action:** The Board may wish to consider the draft guidelines on the application of materiality in verification, as contained in annex 8 to these annotations.

*Background:* The Board, at its sixty-seventh meeting, considered a concept note on the development of guidelines on the application of materiality and level of assurance in verifications and requested the secretariat to take into account the feedback received from DOEs at the Sustainable Development Mechanisms Joint Coordination Workshop held in Bonn, Germany on 24 and 25 March 2012, when developing the guidelines to implement the concept of materiality.

70. ► **Action:** The Board may wish to consider the draft guidelines for the consideration of interactive measures for application multiple CDM methodologies for a PoA, as contained in annex 9 to these annotations.

*Background:* The Board, at its sixty-seventh meeting, considered a concept note on guidance for consideration of cross effects for the application of multiple CDM methodologies for a PoA and requested the secretariat to develop guidelines on cross effects, taking into account the inputs provided by the Board.

**(c) Methodological standards for large-scale CDM project activities*****New methodologies and tools***

71. ► **Action:** Taking into consideration the inputs from experts (desk reviewers), the public, and the recommendations of the Meth Panel, the Board may wish to consider the recommendation to approve the proposed new methodologies:

(a) NM0350: “Improving Energy Efficiency in Data Centers through Dynamic Power Management”, as contained in annex 1 of the report of the 56<sup>th</sup> meeting of the Meth Panel. In response to the request contained in paragraph 79 of the report of the sixty-seventh meeting of the Board, the panel revised the draft proposed new methodology. An information note explaining the rationale of the changes made in response to the Board’s request is contained in annex 14 of the report of the 56<sup>th</sup> meeting of the Meth Panel;

(b) NM0354: “Energy efficiency improvements of a lime production facility through installation of new kilns”, as contained in annex 2 of the report of the 56<sup>th</sup> meeting of the Meth Panel;

(c) NM0356: “New natural gas based combined heat and power plant”, as contained in annex 3 of the report of the 56<sup>th</sup> meeting of the Meth Panel.

*Background:* The recommendations agreed by the Meth Panel are contained in table 1 in paragraph 8 of the report of the 56<sup>th</sup> meeting of the Meth Panel available on the UNFCCC CDM website at: <http://cdm.unfccc.int/Panels/meth/index.html>.

72. ► **Action:** The Board may wish to consider withdrawing the approved methodology AM0039 “Methane emissions reduction from organic waste water and bioorganic solid waste using co-composting”.

*Background:* The Meth Panel recommended the withdrawal of the methodology AM0039 as it is fully incorporated in the revision of AM0025, as explained in paragraph 13 of the report of the 56<sup>th</sup> meeting of the Meth Panel available on the UNFCCC CDM website at: <http://cdm.unfccc.int/Panels/meth/index.html>.

***Revisions and amendments of approved methodologies and tools***

73. ► **Action:** The Board may wish to consider the following responses to the requests for revision, as referred to in the report of the 56<sup>th</sup> meeting of the Meth Panel. The responses are provided in table 2 in paragraph 11 of the report of the 56<sup>th</sup> meeting of the Meth Panel:

(a) To accept request AM\_REV\_0226 concerning the approved methodology AM0025 “Avoided emissions from organic waste through alternative waste treatment processes”;

(b) Not to accept request AM\_REV\_0232 concerning the approved methodology ACM0009 “Consolidated baseline and monitoring methodology for fuel switching from coal or petroleum fuel to natural gas”;

(c) To accept request AM\_REV\_0233 concerning the approved methodology AM0048 “New cogeneration facilities supplying electricity and/or steam to multiple customers and displacing grid/off-grid steam and electricity generation with more carbon-intensive fuels”;

(d) To accept request AM\_REV\_0234 concerning the approved methodology ACM0007 “Conversion from single cycle to combined cycle power generation”;

(e) To accept request AM\_REV\_0236 concerning the approved methodology AM0050 “Feed switch in integrated Ammonia-urea manufacturing industry”.

*Background:* Information on revisions to methodologies is available on the UNFCCC CDM website at: <http://cdm.unfccc.int/methodologies/PAmethodologies/revisions>.



74. ► **Action:** The Board may wish to approve the revision/amendment to the following approved methodologies and tools:

(a) AM0009 “Recovery and utilization of gas from oil wells that would otherwise be flared or vented”. The draft revision, prepared in response to the request for clarification AM\_CLA\_0232 and the task of implementing PoA standards and procedures, as contained in the 2012 workplan of the Meth Panel:

- (i) Clarifies that the leakage emissions shall only be accounted for project activities where the recovered gas is transported to a processing plant where it is processed into hydrocarbon products (e.g. dry gas, liquefied petroleum gas (LPG) and condensates) and where the dry gas is compressed to compressed natural gas (CNG), transported by trailers/trucks/carriers, and subsequently decompressed and re-gasified, before it finally enters the gas pipeline;
- (ii) Introduces provisions for the use of this methodology in a project activity under a PoA.

The draft revised methodology is contained in annex 4 to the report of the 56<sup>th</sup> meeting of the Meth Panel;

(b) AM0025 “Avoided emissions from organic waste through alternative waste treatment processes”. The draft revision, prepared in response to the task of improving approved methodologies and tools, as contained in the 2012 workplan of the Meth Panel, the request for revision AM\_REV\_0226 and the task of implementing PoA standards and procedures, as contained in the 2012 workplan of the Meth Panel, inter alia:

- (i) Broadens the applicability of the methodology by allowing the treatment of wastewater in combination with solid waste, by co-composting or in an anaerobic digester;
- (ii) Neglects transport emissions, emissions associated with combustion of biogas and emissions associated with the management of by-products of combustion (e.g. ash);
- (iii) Accounts for emission reductions from sending upgraded biogas to a natural gas distribution system;
- (iv) Introduces provisions for the use of this methodology in a project activity under a PoA.

The draft revised methodology is contained in annex 5 to the report of the fifty-sixth meeting of the Meth Panel;

(c) AM0048 “New cogeneration facilities supplying electricity and/or steam to multiple customers and displacing grid/off-grid steam and electricity generation with more carbon-intensive fuels”. The draft amendment, prepared in response to the request for revision AM\_REV\_0233:

- (i) Broadens the applicability of the methodology, by including calculations for the baseline emissions for projects that generate hot water;
- (ii) Implements several editorial corrections.

The draft amended methodology is contained in annex 6 to the report of the 56<sup>th</sup> meeting of the Meth Panel;



(d) AM0050 “Feed switch in integrated Ammonia-urea manufacturing industry”. The draft revision, prepared in response to the request for revision AM\_REV\_0236 and the task of implementing PoA standards and procedures, as contained in the 2012 workplan of the Meth Panel, inter alia:

- (i) Expands the applicability of the methodology allowing the use of any type of hydrocarbon feedstock and allowing a 10 per cent increase in the capacity of the project activity;
- (ii) Introduces leakage emissions from upstream emissions due to CO<sub>2</sub> removal from the raw natural gas stream;
- (iii) Introduces provisions for the use of this methodology in a project activity under a PoA.

The draft revised methodology is contained in annex 7 to the report of the 56<sup>th</sup> meeting of the Meth Panel;

(e) ACM0007 “Conversion from single cycle to combined cycle power generation”. The draft amendment, prepared in response to the request for revision AM\_REV\_0234:

- (i) Introduces a definition for a grid electricity system;
- (ii) Allows regional or national default values (net calorific values and emission factors) not only for liquid fuels, but also for other types of fossil fuels, in cases where it can be demonstrated that values provided by the fuel suppliers are unavailable.

The draft amended methodology is contained in annex 8 to the report of the 56<sup>th</sup> meeting of the Meth Panel;

(f) ACM0009 “Consolidated baseline and monitoring methodology for fuel switching from coal or petroleum fuel to natural gas”. The draft revision, prepared in response to the request for clarification AM\_CLA\_0231, corrects errors with regard to the use of IPCC default values. The draft revised methodology is contained in annex 9 to the report of the 56<sup>th</sup> meeting of the Meth Panel;

(g) ACM0010 “Consolidated baseline methodology for GHG emission reductions from manure management systems”. The draft revision, prepared in response to the task of improving approved methodologies and tools and the task of implementing PoA standards and procedures, as contained in the 2012 workplan of the Meth Panel:

- (i) Adds reference to methodological tools;
- (ii) Improves the clarity of the language and provides an additional option to estimate project N<sub>2</sub>O emissions;
- (iii) Introduces provisions for the use of this methodology in a project activity under a PoA.

The draft revised methodology is contained in annex 10 to the report of the 56<sup>th</sup> meeting of the Meth Panel;

(h) ACM0013 “Consolidated baseline and monitoring methodology for new grid connected fossil fuel fired power plants using a less GHG intensive technology”. The draft revision, prepared in response to the request contained in paragraph 84 of the report of the sixty-seventh meeting of the Board and the task of implementing PoA standards and procedures, as contained in the 2012 workplan of the Meth Panel, inter alia:

- (i) Takes into account the comments received from the call for public inputs;



- (ii) Takes into account the comments made by the Board members during the consideration of the methodology at the sixty-seventh meeting of the Board;
- (iii) Introduces provisions for the use of this methodology in a project activity under a PoA.

The draft revised methodology is contained in annex 11 to the report of the 56<sup>th</sup> meeting of the Meth Panel. An information note, explaining the rationale for the revision and how the comments were considered, is contained in annex 15 of the report of the 56<sup>th</sup> meeting of the Meth Panel;

(i) ACM0014 “Mitigation of greenhouse gas emissions from treatment of industrial wastewater”. The draft revision, prepared in response to the request contained in paragraph 92 of the report of the sixty-fifth meeting of the Board, the task of improving approved methodologies and tools, as contained in the 2012 workplan and the task of implementing PoA standards and procedures, as contained in the 2012 workplan of the Meth Panel, inter alia:

- (i) Broadens the applicability of the methodology to the treatment of any type of wastewater;
- (ii) Introduces provisions for the use of this methodology in a project activity under a PoA.

The draft revised methodology is contained in annex 12 to the report of the 56<sup>th</sup> meeting of the Meth Panel;

(j) Tool to determine project emissions from flaring gases containing methane. The draft revision, prepared in response to the task of improving approved methodologies and tools, as contained in the 2012 workplan of the Meth Panel, inter alia:

- (i) Provides an additional option for determining the methane destruction efficiency of an enclosed flare, using biannual measurements of the efficiency of the flare;
- (ii) Defines low height flares and specifies how the methane destruction efficiency shall be determined for this type of flare.

The draft revised methodology is contained in annex 13 to the report of the 56<sup>th</sup> meeting of the Meth Panel.

*Background:* Information on the revisions to the methodologies is available under the section “Revisions and amendments of approved methodologies and tools” in the report of the 56<sup>th</sup> meeting of the Meth Panel.

75. ► **Action:** The Board may wish to consider launching a call for public inputs on an information note with the view to seeking inputs on potential options to use standardized approaches for the off-grid component of the “Tool to calculate the emission factor for an electricity system”, for a period of 10 days, with the aim of taking the inputs into account when preparing the draft revision of the tool. The information note is contained in annex 16 to the report of the 56<sup>th</sup> meeting of the Meth Panel.

*Background:* In response to the task of using standardized approaches, as contained in the 2012 workplan of the Meth Panel, the Meth Panel is working on a draft revision of the off-grid component of the “Tool to calculate the emission factor for an electricity system”.

76. ► **Action:** The Board may wish to clarify whether project participants may use information available from reputable organizations (e.g. government departments, industry associations and international associations) to demonstrate the requirements set out in paragraph 47 of the “Tool for the demonstration and assessment of additionality”.



*Background:* While considering the request for clarification CLA\_TOOL\_0015, the panel identified an issue with paragraph 47 of the “Tool for the demonstration and assessment of additionality”. In applying the procedure in paragraph 47, project participants are required to identify all plants that have a capacity of  $\pm 50\%$  of the capacity of the proposed project activity and that have started commercial operation before the start date of the project. In many cases, it may be difficult to collect data from every single plant as the data may not be publicly available. The panel also identified other issues related to the barriers of first-of-its-kind and common practice in the tool and requested the secretariat to consider these in preparing the concept note on the matter, as contained in the CDM-MAP for 2012.

77. **► Action:** The Board may wish to consider an information note concerning a policy issue identified while developing guidance on the use of large-scale methodologies under a PoA. The information note is contained in annex 17 to the report of the 56<sup>th</sup> meeting of the Meth Panel.

*Background:* In response to the request contained in paragraph 90 of the report of the sixty-sixth meeting of the Board and the task of implementing PoA standards and procedures, as contained in the 2012 workplan of the Meth Panel, the panel recommended guidance on the use of large-scale methodologies under a PoA for several methodologies. While developing this guidance, the Meth Panel identified a policy issue, which it considers should be clarified by the Board.

### **Guidelines**

78. **► Action:** The Board may wish to consider a concept note on the uncertainty of measurements in baseline and monitoring methodologies, as contained in annex 10 to these annotations.

*Background:* The CMP, at its seventh session, requested the Board, as part of its decision on implementing the concept of materiality, to address the issue of uncertainties of measurements in baseline and monitoring methodologies, so that these types of uncertainties do not need to be considered in addressing materiality.

79. **► Action:** The Board may wish to consider the draft guidelines on standardized approaches for determining baselines for measure(s), as contained in annex 11 to these annotations.

*Background:* The Board, at its sixty-fifth meeting, considered a note on “Elements for the development of guidelines for baseline determination”. The Board agreed that such guidelines may allow for further enhancing consistency, objectivity and predictability in baseline determination and requested the secretariat to develop draft “Guidelines for baseline determination” based on ideas presented in the note.

### **(d) Methodological standards for small-scale CDM project activities**

80. **► Action:** Taking into consideration the inputs from experts (desk reviewers) and the public, the Board may wish to consider the recommendation to approve the proposed new methodologies:

(a) SSC-III.BC “Emission reductions through improved efficiency of vehicle fleets”, as contained in annex 1 to the report of the 37<sup>th</sup> meeting of the SSC WG;

(b) SSC-III.BD “GHG emissions reduction due to supply to molten metal instead of ingots for aluminium castings”, as contained in annex 2 to the report of the 37<sup>th</sup> meeting of the SSC WG;

(c) SSC-II.P “Energy efficient pump-set for agriculture use”, as contained in annex 3 of the report of the 37<sup>th</sup> meeting of the SSC WG. The development of the draft methodology also benefitted from work undertaken by the SSC WG on the top-down development of a methodology for efficient pumping and/or irrigation, indicated in the SSC WG workplan for 2012, in particular the inclusion of options to determine the operating hours of the pump sets.

*Background:* The recommendations agreed by the SSC WG are contained in paragraphs 4, 5 and 7 of the report to the 37<sup>th</sup> meeting of the SSC WG available on the UNFCCC CDM website at: [http://cdm.unfccc.int/Panels/ssc\\_wg/index.html](http://cdm.unfccc.int/Panels/ssc_wg/index.html).

81. ► **Action:** Taking into consideration the inputs from experts (desk reviewers) and the public, the Board may wish to consider the recommendation to approve the draft new top-down methodology: SSC-II.Q “Energy efficiency and/or energy supply projects in commercial buildings”, related to energy supply and energy efficiency measures in buildings using computer simulation, taking into account inputs received in response to the call for public inputs launched at the sixty-sixth meeting of the Board. The draft new methodology and the response to public comments on the proposed new small-scale methodology are contained in annexes 4 and 5 to the report of the 37<sup>th</sup> meeting of the SSC WG.

*Background:* The recommendation agreed by the SSC WG is contained in paragraph 11 of the report of the 37<sup>th</sup> meeting of the SSC WG available on the UNFCCC CDM website at: [http://cdm.unfccc.int/Panels/ssc\\_wg/index.html](http://cdm.unfccc.int/Panels/ssc_wg/index.html).

82. ► **Note:** The Board may wish to take note that the proposed new small-scale methodology SSC-NM083 “Urea yield boosting of conventional ammonia-urea production facilities” was deemed not suitable for recommendation to the Board by the SSC WG.

### **Revisions to approved methodologies**

83. ► **Action:** The Board may wish to approve the revision to the following approved methodologies:

(a) AMS-III.AR “Substituting fossil fuel based lighting with LED/CFL lighting systems”. The draft revision excludes the battery certification requirement for systems charged by renewable energy sources. The revision also takes into consideration the response to the submission SSC\_609, requesting clarification regarding the use of sampling to estimate the date of distribution of project lamps, provisions to account for suppressed demand in accordance with the suppressed demand guidelines and considerations from the submission SSC\_620. The recommended draft revised methodology AMS-III.AR and the information note on the rationale for the default factor which accounts for suppressed demand are contained in annexes 6 and 7 to the report of the 37<sup>th</sup> meeting of the SSC WG;

(b) AMS-II.C “Demand-side energy efficiency activities for specific technologies”, taking into account the public input received in response to the call for public input launched at the sixty-sixth meeting of the Board. The revision of the methodology AMS-II.C is included in the 2012 workplan for the SSC WG and comprises the expansion of the methodology to cover replacement of multiple chillers with a high efficiency centralized system. Submissions SSC\_510, SSC\_539, SSC\_540 and SSC\_546 were taken into account in proposing the revision. The recommended draft revised methodology AMS-II.C and the response to the public comments received on the call for input are contained in annexes 8 and 9 to the report of the 37<sup>th</sup> meeting of the SSC WG;

(c) AMS-I.E “Switch from non-renewable biomass for thermal applications by the user” to include a reference to the available country-specific default values for the fraction of non-renewable biomass (fNRB) and taking into account the feedback from the call for public input on the information note on the “Top-down development of standardized approaches for rural energy supply (biogas)”. The revision is part of the top-down development of standardized approaches for rural energy supply (biomass and biogas) as included in the 2012 workplan for the SSC WG. The recommended draft revised methodology AMS-I.E is contained in annex 15 to the report of the 37<sup>th</sup> meeting of the SSC WG;

(d) AMS-II.G to include a reference to the available country-specific default values for fNRB. The revision is part of the top-down development of standardized approaches for rural energy supply (biogas) as included in the 2012 workplan for the SSC WG. The recommended draft revised methodology AMS-II.G is contained in annex 16 to the report of the 37<sup>th</sup> meeting of the SSC WG;

(e) AMS-III.AU “Methane emission reduction by adjusted water management practice in rice cultivation”, taking into account the feedback from the call for public input on the information note on the “Top-down development of standardized approaches for determining methane emissions in rice field under AMS-III.AU”, contained in annex 9 to the report of the 36<sup>th</sup> meeting of the SSC WG. The revision is part of the top-down development of standardized approaches for agricultural sector activities as included in the 2012 workplan for the SSC WG. The recommended draft revised methodology AMS-III.AU and the response to the public comments received on the call for input are contained in annexes 17 and 18 to the report of the 37<sup>th</sup> meeting of the SSC WG;

(f) AMS-I.I “Biogas/biomass thermal applications for households/small users”, taking into account the feedback from the call for public input on the information note on the “Top-down development of standardized approaches for rural energy supply (biogas)”. The revision is part of the top-down development of standardized approaches for rural energy supply (biogas) as included in the 2012 workplan for the SSC WG. The recommended draft revised methodology AMS-I.I and the response to public comments on the top-down development of standardized approaches for rural energy supply (biogas) are contained in annexes 19 and 20 to the report of the 37<sup>th</sup> meeting of the SSC WG.

*Background:* Information on the revisions to the methodologies is available on the UNFCCC CDM website at: <<http://cdm.unfccc.int/methodologies/SSCmethodologies/clarifications>>.

84. ► **Action:** As per the procedures for the revision of an approved small-scale methodology, the Board may wish to take note that the revision to the approved methodology AMS-III.AQ “Introduction of Bio-CNG in transportation applications”, based on the submission SSC\_625, was deemed not suitable for recommendation to the Board.

*Background:* The information and rationale are contained in paragraph 14 of the report to the 37<sup>th</sup> meeting of the SSC WG.

85. ► **Action:** The Board may wish to consider launching two calls for public inputs on the following:

- (a) Draft revision of the methodology AMS-II.E “Energy efficiency and fuel switching measures for buildings”, as contained in annex 10 to the report of the 37<sup>th</sup> meeting of the SSC WG. The top-down revision of AMS-II.E is included in the SSC WG 2012 workplan and comprises the inclusion of thermal applications and other technologies and measures currently not covered and takes into account the submissions SSC\_418, SSC\_434 and SSC\_495. Questions on specific issues of the call for public input on the draft methodology AMS-II.E are contained in annex 11 to the report of the 37<sup>th</sup> meeting of the SSC WG;
- (a) Draft new methodology SSC-III.BE “Substitution of virgin raw materials and fuels by secondary materials recovered from scrap tyres”, as contained in annex 23 of the report of the 37<sup>th</sup> meeting of the SSC WG. The SSC WG continued the top-down work on a draft new methodology related to activities for the recovery and mechanical processing of scrap tyres to produce secondary materials that save energy and reduce greenhouse gas emissions. The SSC WG provided feedback in the information note prepared by the secretariat on a proposed approach for a new methodology for the recycling of scrap tyres as contained in annex 24 to the report of the 37<sup>th</sup> meeting of the SSC WG. Questions for the call for public input on specific issues of the approach are contained in paragraph 5 of information note.

*Background:* Information on the revisions to the methodologies is available on the UNFCCC CDM website at: <<http://cdm.unfccc.int/methodologies/SSCmethodologies/clarifications>>.

86. ► **Action:** The Board may wish to consider approving default country-specific values for the fNRB for Parties with 10 or fewer registered CDM project activities, as of 31 December 2010, as contained in annex 14 to the report of the 37<sup>th</sup> meeting of the SSC WG.



*Background:* The Board at its sixty-seventh meeting requested the SSC WG, in consultation with the secretariat, to continue to determine fNRB factors for Parties with 10 or fewer registered CDM project activities as of 31 December 2010 for application as default factors in these countries.

### **Guidelines**

87. ► **Action:** The Board may wish to consider the draft revised guidelines on demonstration of additionality of micro-scale project activities, as contained in annex 12 to the report of the 37<sup>th</sup> meeting of the SSC WG.

*Background:* The Board at its sixty-sixth meeting requested the SSC WG to recommend a revised definition of the underdeveloped zones taking into account public inputs received. A summary of the public inputs received on this matter is included in annex 13 to the report of the 37<sup>th</sup> meeting of the SSC WG.

In addition, the Board at its sixty-seventh meeting, on the basis of the concept note on the revision of the guidelines for demonstrating additionality of micro-scale project activities, especially to the definition of the “special underdeveloped zones”, requested the secretariat to propose options and implications to define special underdeveloped zones in a host country, taking into account the guidance and inputs provided by the Board. For ease of reference and in order to streamline the documentation to be considered by the Board, the inputs from the secretariat and the SSC WG have been consolidated into annex 12 and annex 13 of the SSC WG 37 report.

88. ► **Action:** The Board may wish to consider the draft guidelines on demonstration of additionality of small-scale project activities and the information note on the extension of simplified modalities for the demonstration of additionality of small-scale CDM project activities, as contained in annexes 12 and 13 to these annotations.

*Background:* The Board, through its CDM-MAP for 2012, decided to revise attachment A of appendix B of the simplified modalities and procedures for small-scale CDM project activities. At its sixty-seventh meeting, the Board considered a concept note on the extension of simplified modalities for the demonstration of additionality of small-scale CDM project activities and requested the secretariat to recommend a positive list of off-grid renewable electricity generation technologies and other isolated units/technologies of very small size in distributed location for automatic additionality.

89. ► **Action:** The Board may wish to clarify the applicability of the “Guidelines on additionality of first-of-its-kind project activities” (FoiK) to SSC project activities and SSC component project activities with reference to the request for clarification SSC\_631.

*Background:* The SSC WG agreed to ask for guidance from the Board regarding the applicability of the FoiK with reference to the request for clarification SSC\_631. The SSC WG is of the opinion that the application of the guidelines on FoiK is not mandatory even if the FoiK concept is used to demonstrate a prevailing practice barrier in the context of a small-scale project.

### **(e) Methodological standards for afforestation and reforestation CDM project activities**

#### **Revisions to approved methodologies and tools**

90. ► **Action:** The Board may wish to approve the revision/amendment to the following small-scale A/R CDM methodologies:

(a) AR-AMS0007 “Simplified baseline and monitoring methodology for small-scale A/R CDM project activities implemented on grasslands or croplands” as contained in annex 1 to the report of the 36<sup>th</sup> meeting of the A/R WG. The draft revision, inter alia:



- (i) Incorporates the use of approved A/R methodological tools to allow project participants the full range of options to account for carbon stock changes in all the five carbon pools;
- (ii) Consolidates five other small-scale A/R methodologies into this methodology and makes the methodology modular in its structure, thus enhancing its usability;
- (iii) Changes the title to “Afforestation and reforestation simplified baseline and monitoring methodology for small-scale A/R CDM project activities implemented on lands other than wetlands” in order to reflect the expanded scope of its applicability.

(b) AR-AMS0003 “Simplified baseline and monitoring methodology for small-scale CDM afforestation and reforestation project activities implemented on wetlands” as contained in annex 2 to the report of the 36<sup>th</sup> meeting of the A/R WG. The draft revision:

- (i) Incorporates the use of approved A/R methodological tools to allow project participants the full range of options to account for carbon stock changes in all the five carbon pools;
- (ii) Makes the methodology modular in its structure, thus enhancing its usability.

*Background:* The draft revised methodologies have been revised in response to the task of improving and simplifying methodological standards as contained in the 2012 workplan of the A/R WG. Information on the revisions to the methodologies is available on the UNFCCC CDM website at:  
<<http://cdm.unfccc.int/methodologies/ARmethodologies/revisions>>.

91. ► **Action:** The Board may wish to consider withdrawing the following approved methodologies:

- (a) AR-AMS0001 “Simplified baseline and monitoring methodologies for small-scale A/R CDM project activities implemented on grasslands or croplands with limited displacement of pre-project activities”;
- (b) AR-AMS0002 “Simplified baseline and monitoring methodologies for small-scale afforestation and reforestation project activities under the CDM implemented on settlements”;
- (c) AR-AMS0004 “Approved simplified baseline and monitoring methodology for small-scale agroforestry - afforestation and reforestation project activities under the clean development mechanism”;
- (d) AR-AMS0005 “Approved simplified baseline and monitoring methodology for small-scale afforestation and reforestation project activities under the clean development mechanism implemented on lands having low inherent potential to support living biomass”;
- (e) AR-AMS0006 “Approved simplified baseline and monitoring methodology for small-scale silvopastoral - afforestation and reforestation project activities under the clean development mechanism”.

*Background:* The A/R WG recommended the withdrawal of the above small-scale A/R methodologies as they will be superseded by the revised methodology AR-AMS0007, as explained in paragraph 7 of the report of the 36<sup>th</sup> meeting of the A/R WG.

92. ► **Action:** The Board may wish to consider withdrawing the approved “Tool for testing significance of GHG emissions in A/R CDM project activities”.

*Background:* The A/R WG recommended the withdrawal of the tool as it is no longer required as a result of recent improvements in methodological standards approved by the Board and as explained in paragraphs 10 and 11 of the report of the 36<sup>th</sup> meeting of the A/R WG. Consequences of the withdrawal will be simplification of the A/R standards.

93. ► **Action:** The Board may wish to launch a call for public input to the draft revised A/R methodological tool “Estimation of carbon stocks and change in carbon stocks of trees and shrubs in A/R CDM project activities” as contained in annex 3 to the report of the 36<sup>th</sup> meeting of the A/R WG, and addressing the questions as outlined in annex 4.

*Background:* The revision is being considered in response to the task of improving and simplifying methodological standards as contained in the 2012 workplan of the A/R WG.

#### **Guidelines**

94. ► **Action:** The Board may wish to consider the draft amended “Guidelines on application of specified versions of A/R CDM methodologies in verification of registered A/R CDM project activities”, as contained in annex 5 to the report of the 36<sup>th</sup> meeting of the A/R WG.

*Background:* The amended guidelines specify that the provisions of Table 1 in the guidelines apply to any version of any methodology, which was used by a registered A/R CDM project activity that was in the process of registration by the end of the grace period applicable to that version of the methodology. The guidelines have been amended to reflect the response to the request for clarification AR\_AMCLA\_18.

95. ► **Action:** The Board may wish to consider the revised draft guidelines for establishment of standardized baselines for afforestation and reforestation project activities under the CDM, as contained in annex 14 to these annotations.

*Background:* The Board, at its sixty-seventh meeting, considered the draft guidelines for establishment of standardized baselines for afforestation and reforestation project activities under the CDM and requested the secretariat to prepare a revised draft, taking into account the inputs received from the call for public inputs.

#### **4.2. Procedures**

96. ► **Action:** The Board may wish to consider a concept note for the development of a consolidated procedure for the development, revision and clarification of baseline and monitoring methodologies and methodological tools, as contained in annex 15 to these annotations.

*Background:* The Board, through the CDM-MAP for 2012, requested the secretariat to develop a consolidated procedure for the methodology development process.

97. ► **Action:** The Board may wish to consider a concept note on the revision of the CDM project standard, CDM validation and verification standard, and proposed new methodology guidelines as a result of standardized baselines, as contained in annex 16 to these annotations.

*Background:* The CMP, at its seventh session, requested the Board to assess the implications of the withdrawal or suspension of the letters of approval and make recommendations to be considered at the eighth session.

98. ► **Action:** The Board may wish to consider the draft procedure for the submission and consideration of standardized baselines, as contained in annex 17 to these annotations.

*Background:* Following the adoption of the “Procedure for submission and consideration of standardized baselines”, the Board agreed through the CDM-MAP for 2012 to develop modalities for support to countries with fewer than 10 CDM projects in developing assessment report of standardized baselines.

99. ► **Action:** The Board may wish to consider a concept note on the withdrawal and suspension of letters of approval, as contained in annex 18 to these annotations.



*Background:* The CMP, at its seventh session, requested the Board to assess the implications of the withdrawal or suspension of letters of approval and make recommendations to be considered at the eighth session of the CMP.

100. ► **Action:** The Board may wish to consider a concept note: draft recommendations in relation to draft procedure for addressing significant deficiencies in past validation, verification and certification reports, as contained in annex 19 to these annotations.

*Background:* The Board, at its sixty-seventh meeting, considered the scope of a consultation with DOEs in relation to insurance and liability management issues arising from the draft “Procedure to address significant deficiencies in past validation, verification or certification reports” and approved the terms of reference for the DOE consultation on 7 June 2012 in Bonn, Germany.

101. ► **Action:** The Board may wish to consider a status report on the implementation of the CDM project standard (PS), the validation and verification standard (VVS), and the project cycle procedure (PCP).

*Background:* The Board, at its sixty-fourth meeting, considered the proposed implementation plan for the PS and the VVS and requested the secretariat to commence the work on revising and developing relevant documents. At its sixty-sixth meeting, the Board adopted and revised a number of documents in order to consolidate and develop relevant documents to operationalize the PS, VVS and PCP.

#### 4.3. Policy issues

102. ► **Action:** The Board may wish to consider a concept note on the analysis for strengthening , as contained in annex 20 to these annotations.

*Background:* The Board, in its CDM-MAP for 2012, decided to further strengthen its accreditation system.

103. ► **Action:** The Board may wish to consider a concept note on improving PoA standards and guidelines, as contained in annex 21 to these annotations.

*Background:* The Board, at its sixty-fifth meeting, adopted the “Standard for demonstration of additionality, development of eligibility criteria and application of multiple methodologies for programme of activities”, and noted that the stakeholders provided useful practitioners’ perspectives to further improve the PoA standards as part of the consultation process in developing the standard for PoAs.

104. ► **Action:** The Board may wish to consider the proposed voluntary tool (<[https://www.research.net/s/SD\\_tool\\_vers5](https://www.research.net/s/SD_tool_vers5)>) for highlighting sustainable development co-benefits of CDM project activities and programmes of activities and its manual, as contained in annex 22 to these annotations.

*Background:* Following the request to the Board by the CMP at its seventh session, to develop appropriate voluntary measures to highlight the co-benefits brought about by CDM project activities and PoAs, the Board, at its sixty-seventh meeting, considered an analysis and a proposal for voluntary measures to highlight the sustainable development co-benefits of CDM project activities and PoAs and requested the secretariat to develop a voluntary tool.

105. ► **Action:** The Board may wish to consider the request by a DOE to carry out the verification activity for a PoA validated by the same DOE.

*Background:* According to the VVS, paragraph 292, footnote 43, a DOE that has performed validation/inclusion/renewal of crediting period activities for the PoA, may also undertake the verification if this has been approved in advance by the Board.

106. ► **Action:** The Board may wish to revisit the “Guidelines on the assessment of investment analysis”.





*Background:* In accordance with rule 21 of the Rules of procedure of the Board, members and alternate members may request additions to the provisional agenda.

## **5. Relations with forums and other stakeholders**

### **5.1. Designated national authorities**

107. ► **Action:** The Board may wish to take note of the activities and submissions by **designated national authorities (DNAs) and/or governments** since the last meeting and agree on any actions, as appropriate.

*Background:* Following the “Modalities and procedures for direct communication with stakeholders”, DNAs may make a non-case-specific request for clarification or guidance, or feedback to the Board through a dedicated interface on the DNA Forum extranet provided by the secretariat.

### **5.2. Designated operational entities**

108. ► **Action:** The Board may wish to take note of activities and communications by the **CDM DOE/AIE Coordination Forum** since the last meeting and agree on any actions, as appropriate.

*Background:* Following the “Modalities and procedures for direct communication with stakeholders”, an applicant entity and a DOE may make a non-case-specific request for clarification or guidance, or provide feedback to the Board through a dedicated interface on the extranet for operational entities of the UNFCCC website.

### **5.3. Interaction with registered observers**

109. ► **Action:** The Board may wish to: (a) agree to make itself available for informal briefings with registered observers in the afternoon of the last day of the meeting; and (b) invite members and alternates to share information on events in which they participated.

*Background:* On the occasion of the previous meeting of the Board, the Chair and other members of the Board met with registered observers for an informal briefing and agreed to continue with such meetings in the afternoon of the last day of its future meetings, unless otherwise indicated.

## **6. Other matters**

110. ► **Action:** The Board may wish to consider any other business it deems necessary.

## **7. Conclusion of the meeting**

111. ► **Action:** The Board may wish to approve the report of the sixty-eighth meeting.

*Background:* The Chair will summarize the meeting and adopt the report, including references to any decisions taken.

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