

**CDM-EB70-AA-A08**

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# Forecast submissions by designated operational entities

Version 01.0



**United Nations**  
Framework Convention on  
Climate Change

## **COVER NOTE**

### **1. Procedural background**

1. The clean development mechanism (CDM) Executive Board (hereinafter referred to as the Board), at its sixty-sixth meeting, considered a concept note by the secretariat on an improved system to predict long-term submissions, with a view to ensuring operational capacity and improving efficiency in the operation of the CDM, and agreed to require all designated operational entities (DOEs) to submit reports, at the frequency defined by the Board, with forecasts of submissions of requests for registration and issuance, and to encourage DOEs to improve the accuracy of their forecast submissions. Further, at the same meeting the Board agreed to request the secretariat to regularly report the implementation of this requirement to the Board.

### **2. Purpose**

2. The purpose of this information note is to report to the Board the implementation of the guidelines adopted by the Board at its sixty-sixth meeting with regard to DOE reporting on their forecast submissions of requests for registration, issuance, and PoA.

### **3. Key issues and proposed solutions**

3. The report contains the forecast submissions by DOEs for the period July–December 2012, and their actual submissions in the months of July, August, and September 2012.
4. It is important to note that as only actual submissions up to until the end of September were available at the point of production of this document, the comparison analysis between forecast vs. actual submissions was conducted only for the data for third quarter 2012 (July–September 2012).

### **4. Impacts**

5. As the purpose of this note is only to present the information on the reported forecast submissions by DOEs for period third quarter 2012, no impacts are anticipated to existing accreditation procedures and standards.

### **5. Proposed work and timelines**

6. No further work relates to revision of regulatory documents is envisaged from this report.

### **6. Recommendations to the Board**

7. The secretariat recommends the Board to take note of the information provided.
8. The secretariat recommends that the complete reporting of the DOE forecast/actual submissions be included in its quarterly report to the Board.

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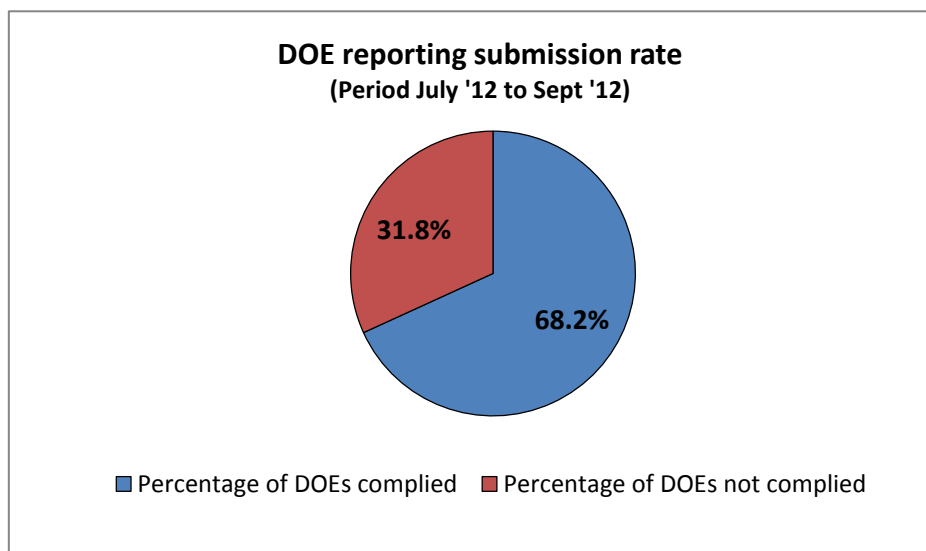
## 1. Background

1. In accordance with the guidance from the Board, on 8 May 2012 the secretariat requested DOEs to submit their forecast submissions for registration, issuance and programme of activities (PoA) for the period of July–December 2012, with a deadline of submitting the report by 8 June 2012. Subsequently the secretariat also monitored the actual monthly submissions by DOEs.

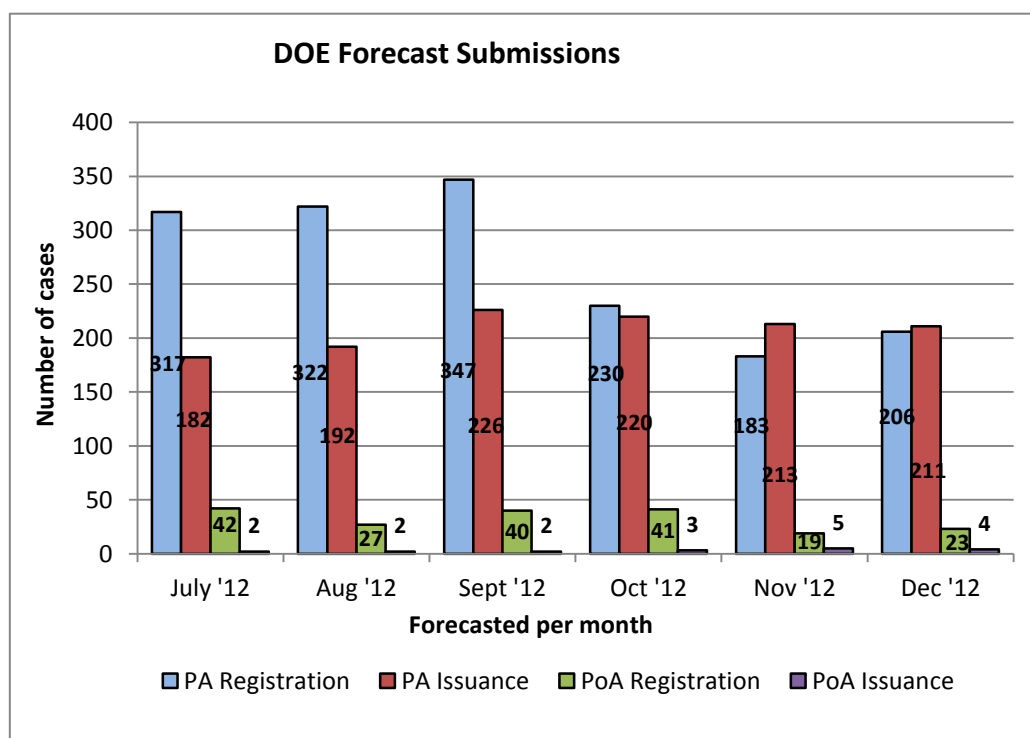
## 2. Summary of the report by DOEs

2. For this reporting period it was observed that 68 per cent accredited DOEs (33 of 44 ) submitted the requested information to the secretariat (see Figure 1). This represents a slight improvement compared to an average of 60 per cent (submission rate from 2010–2011) which was reported to the Board at its sixty-sixth meeting. The overall forecast of submissions for period July–December 2012 is presented in Figure 2.

**Figure 1. DOE reporting submission rate**



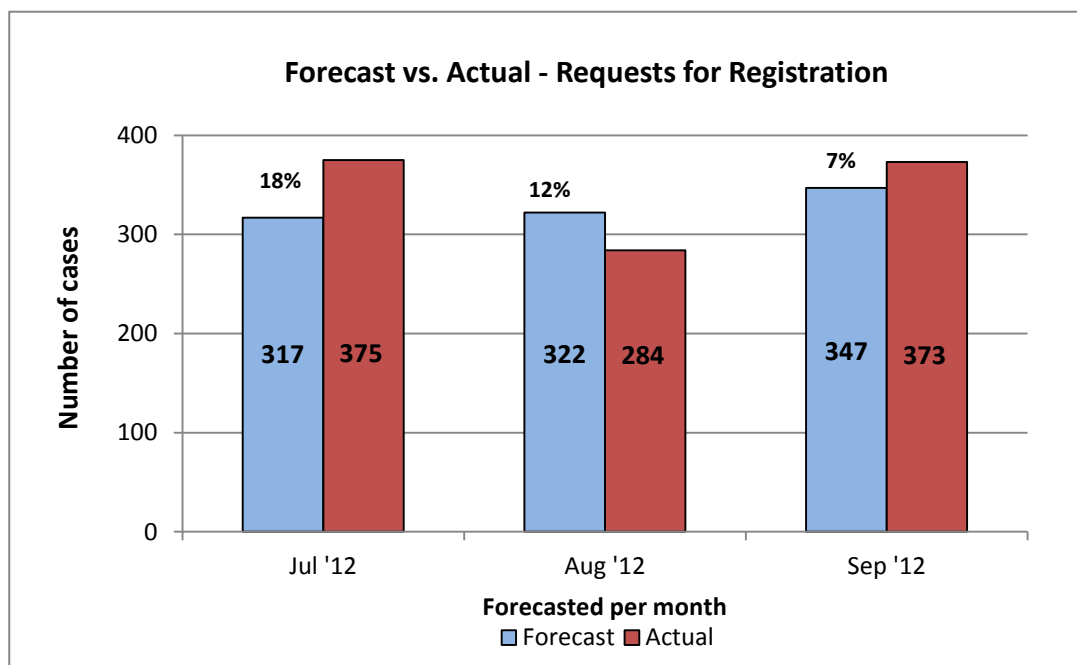
**Figure 2. DOE forecast submissions**



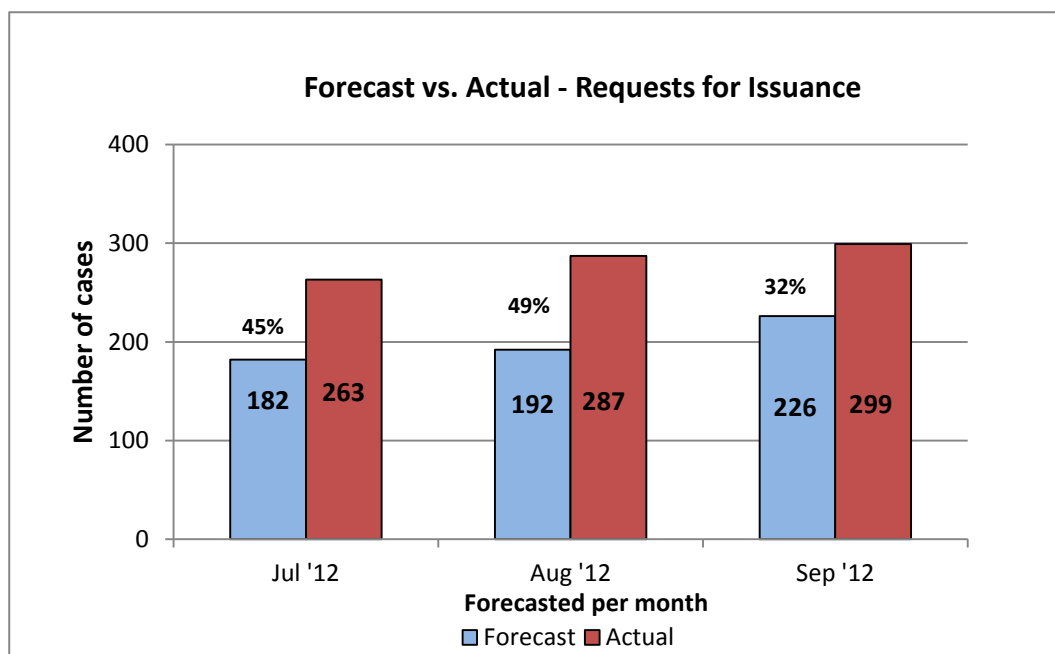
3. The secretariat further compared forecasted number of submissions based on DOEs who responded and the actual submissions for the corresponding DOEs. Figures 3 and 4 present the comparison for requests for registration and requests for issuance, respectively.
4. It can be seen from Figure 3 that in the third quarter of 2012, the average variation between forecasted and actual submissions of requests for registration is around 13 per cent, a slight increase from 10 per cent observed in 2010–2011. The average number of requests for registration actually submitted per quarter has significantly increased from 229 per quarter in 2010 to 1032 in the third quarter of 2012.

For requests for issuance, the same trend as in 2010–2011 was observed, where in general the actual submissions were higher than forecasted submissions. In the third quarter of 2012, the difference between forecasted and actual submissions varies from 32 per cent to 49 per cent, an improvement from 2010–2011 where the variation between forecasted and actual values varied was observed from three per cent to 165 per cent. The average number of requests for issuance actually submitted per quarter has significantly increased from 183 per quarter in 2010 to 849 in the third quarter of 2012.

**Figure 3. Forecast against actual submission for request for registration**



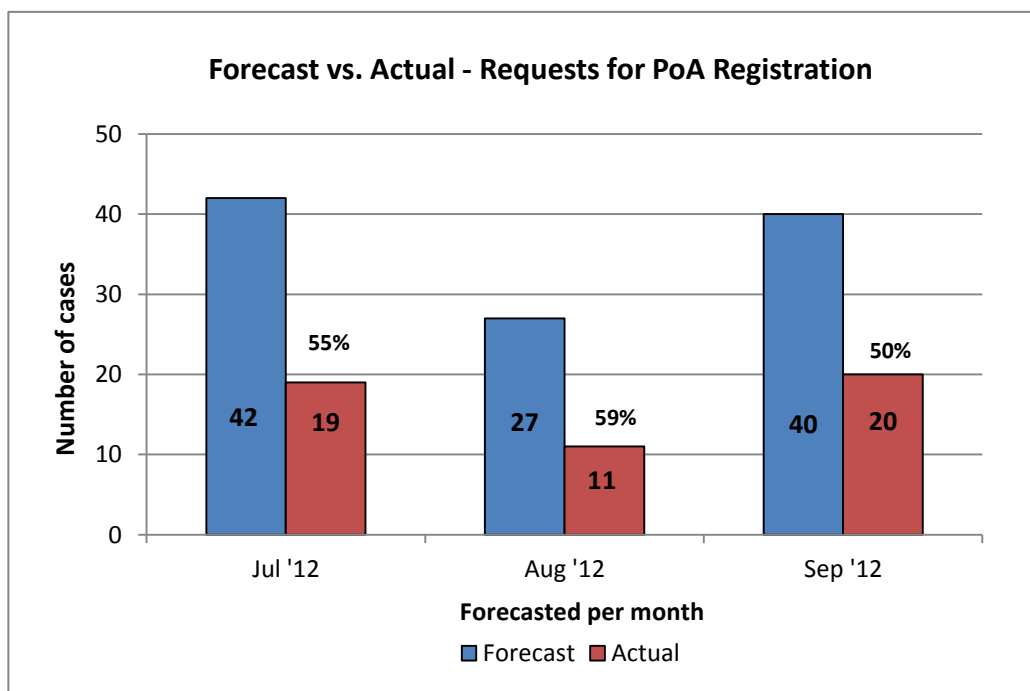
**Figure 4. Forecast against actual submission for request for issuance**



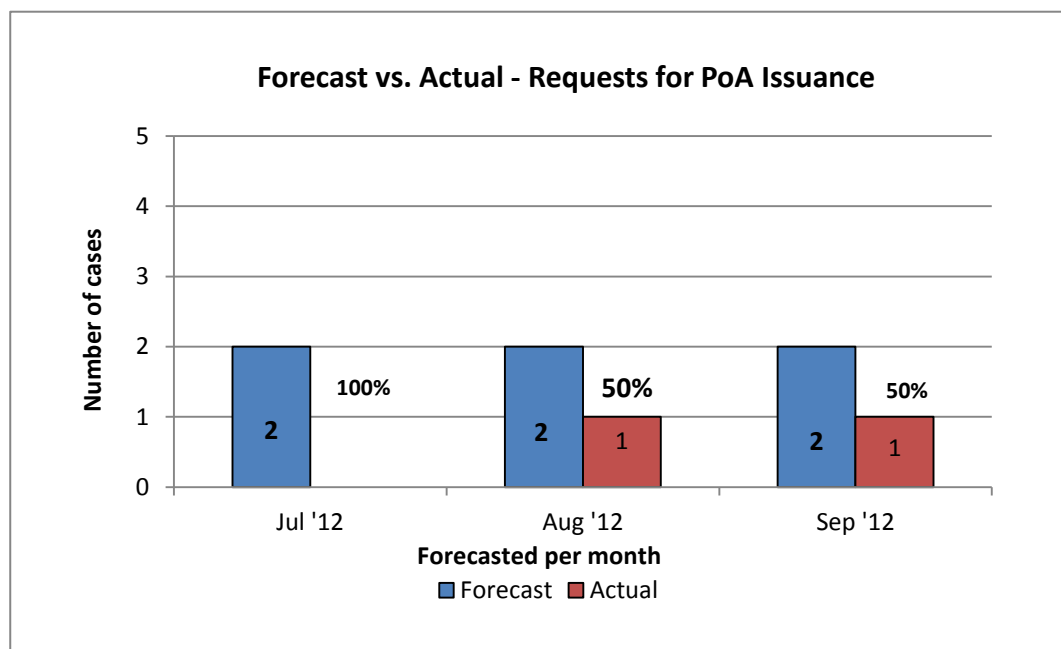
5. As part of the improvements on the DOE reporting on forecast submissions, a separate set of information is also requested to DOEs with regard to PoA submissions. The corresponding figures for PoA submissions are presented in Figures 5 and 6.

6. Year 2012 sees a significant increase in terms of number of PoA submitted for registration. More than 100 requests for registration from PoA are expected to be submitted in the third quarter of 2012 (Figure 5), however, only 50 per cent was actually submitted during this quarter. Nevertheless, this still represents a strong growth for PoA submissions as compared to those in 2010 and 2011.
7. On the contrary to request for registration from PoA, requests for issuance from PoA were not expected to experience a significant increase in this year (Figure 6).

**Figure 5. Forecast against actual submission for request for registration PoA**



**Figure 6. Forecast against actual submission for request for issuance PoA**



### Document information

Version	Date	Description
01.0	5 November 2012	Initial publication as an annex to the annotated agenda of EB70.
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