

**CDM-EB70-AA-A03**

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# CDM Executive Board workplan 2012

Version 04.0



**United Nations**  
Framework Convention on  
Climate Change

## **COVER NOTE**

### **1. Procedural background**

1. At its seventh session, the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol (CMP) requested the CDM Executive Board (hereinafter referred to as the Board) to continue its work to further improve the consistency, efficiency and transparency of its decision-making. The Board at its sixty-seventh meeting considered an update on the status of the work of the Board in 2012 and requested the secretariat to provide an update on the status of work at each meeting.

### **2. Purpose**

2. The workplan of the Board for 2012 provides an overview of the issues to be considered by the Board at each meeting. This allows planning the workload of the Board for each Board meeting and ensures efficient use of meeting time. The document indicates the work planned for the Board at the meetings in 2012, based on the agreed CDM management plan (CDM-MAP) 2012. The workplan also provides information on the products which will undergo panel or working group consultation as well as calls for public input.

### **3. Key issues and proposed solutions**

3. It needs to be highlighted that for the work after 2012, only matters related to the approved CDM-MAP 2012 have been included for meetings in 2013. Work arising from the draft CDM-MAP 2013 is not included in this workplan.
4. Changes to the previous version of the workplan are highlighted and the rationale for changes is also provided in the document.

### **4. Impacts**

5. The updated workplan will ensure efficiency and transparency of the decision-making process and facilitate the Board's management of its workload.

### **5. Proposed work and timelines**

6. The workplan of the Board for 2013 will be presented at the seventy-first meeting of the Board and will include those issues arising from the CDM-MAP 2013.

### **6. Recommendations to the Board**

7. The secretariat recommends the Board to take note of the updated workplan and to provide comments, as appropriate.

## 1. Introduction

1. The below table provides the overview of the workplan of the Board.

**Table 1. CDM Executive Board workplan 2012**

Project		Product	EB66	EB67	EB68	EB69	EB70	EB71	EB72	Rationale for change
Governance and management matters										
CS007	Development and approval of the 2013 Management Plan and 2 year Business Plan	Business Plan, Management Plan				Concept	Final			
		EB strategy planning			Concept					
CS001	Supporting the efficient operation of the Executive Board	Selection of Chair/Vice Chair for EB, panels and working groups (WGs)	Final	Final						
		Selection of panels members		Final (preceded by call)	Final (preceded by call)					
		EB report to CMP			Concept	Final				
		Calendar of meetings 2012	Final			Final				

Project		Product	EB66	EB67	EB68	EB69	EB70	EB71	EB72	Rationale for change
CS002	Supporting the efficient operations of panels and WGs	2012 work programmes of panels and WGs	Final							
		Mid-year progress review on implementation of 2012 panels and WG work programmes			Final					
		Final report on implementation of 2012 panels and WG work programmes workplans					Final			Adjustment of title to better define the product.
		Panel and working group reports	Final	Final	Final	Final	Final			
150	Governance management related to the CDM Executive Board	Executive Board work programme workplan 2012	Info	Info	Info	Info	Info			Adjustment of title to better define the product.
		Improve use of meeting time	Info			Info				
		Measures to accelerate				Info				

Project		Product	EB66	EB67	EB68	EB69	EB70	EB71	EB72	Rationale for change
		consensus building								
		Management of the regulatory framework			Concept		Final	Final		Moved due to load of EB70 agenda.
		Review of the code of conduct		Concept	Info	Draft				
		Revised terms of reference of the support structure of the CDM Executive Board		Final (to include CCS WG)				Draft		
119	CDM Policy Dialogue	Updates and final report	Info	Info	Info	Info				
124	Carbon market reports	Market and policy update	Info	Info	Info	Info	Info			Provided in quarterly report due to load of EB70 agenda.
109	CDM communication and outreach 2012	Executive Board Communication and outreach workplan	Final							
		Revised Executive Board communication			Final					

Project		Product	EB66	EB67	EB68	EB69	EB70	EB71	EB72	Rationale for change
		strategy								
112	Management of operational capacity to deal with expected increase in submissions	Improved system to predict the amount of submissions in the longer term	Concept				Concept			
		Incentives and measures to promote DOE compliance with the forecast requirement			Concept					
		Reporting on DOE compliance with the forecast requirements					Concept			Mandate of EB66.
CS015	Implement DOE performance monitoring system	DOE performance reports	Info		Info	Info	Info			Presenting results of quarterly DOE performance monitoring reports.
		Synthesis report					Final			

Project		Product	EB66	EB67	EB68	EB69	EB70	EB71	EB72	Rationale for change
121	Benefits of the CDM	Report on the benefits of the CDM				Info				
148	Improvement of CDM documentation	Revision to CDM Executive Board Decision Framework		Final			Draft	Draft		Moved due to load of EB70 agenda.
56	Improve coordination of activities related to regional distribution, including through the Nairobi Framework partnership	Nairobi Framework workplan	Info							
		Secretariat activities relating to regional distribution					Info			
		Workplan for future secretariat activities					Info		Info	Required data from the Nairobi Framework partners are available early 2013
122	Operationalize the CDM loan scheme	Finalized Memorandum of Understanding with UN Office for Project Services	Info							

Project		Product	EB66	EB67	EB68	EB69	EB70	EB71	EB72	Rationale for change
		Report on implementation		Info		Info				
128	Implementa- tion of a feedback mechanism in the communicati on procedure	Status report on overall work					Info		Info	Moved due to other priorities in MAP 2013
105 106 69 95 28	Renewal of the SDM information system, digitalization of project information, project information portal, quality management and business intelligence system	Status report on overall work				Concept	Info	Info		Moved due to load of EB70 agenda. EB71 is to consider an overall status of work undertaken by the secretariat.
137	Database for managing contact data	Status report on overall work					Info			
140	Improve process support of the CDM	Enhance interaction RIT and Board in assessment of		Concept						



Project		Product	EB66	EB67	EB68	EB69	EB70	EB71	EB72	Rationale for change
		projects								
Rulings (case-specific matters)										
CS006	Assessment of compliance to CDM requirements relating to project and entity submissions	Consideration of review cases for issuance, registration, PoAs and other project related submissions	Final	Final	Final	Final	Final			
Regulatory matters										
163	Improve the performance and role-definition of operational entities within the mechanism, including through revised standards and procedures	Revised CDM accreditation standard - Phase I Revision		Final (preceded by call and Panel/WG input)						
		Analysis and proposals for further strengthening the accreditation system			Concept					
		Revised CDM accreditation procedure					Draft Concept (Panel/ WG input)			Based on comments received from the AP and the DOE
		Revision of the					Draft			

Project		Product	EB66	EB67	EB68	EB69	EB70	EB71	EB72	Rationale for change
		procedure on DOE performance monitoring					Concept (Panel/ WG input)			Forum, further direction by the Board is required.
143	Guidelines for deciding on CDM Accreditation Panel recommendations	CDM-AP decision-making guidelines	Info (Panel/ WG input)			Final				
		Compendium of decision making practices				Info				
CS012	Assessment of compliance to CDM requirements relating to methodology submissions	Consideration of methodology submissions	Final	Final	Final	Final	Final			
134	Development of a consolidated procedure for the methodology development process	Consolidated procedure for the methodology development/ revision/ clarification process			Concept	Draft	Final (preceded by call)			

Project		Product	EB66	EB67	EB68	EB69	EB70	EB71	EB72	Rationale for change
110	Standardized Baselines	Annex to the guidelines defining options for the setting and approval of values of the relevant thresholds and analysis of the implications of these options for the sectors covered				Draft (preceded by call and Panel/WG input)	Final (preceded by call and Panel/WG input)		Final (preceded by call and Panel/WG input)	Moved to EB72, since more time is required for testing after stakeholder consultation.
		Guidelines and/or software for the determination of sector wide baseline emission factors in consultation with practitioners					Concept		Concept	Moved to EB72, since relevant practitioners require more time to consider the concept note.
		Proposal on managing data on cost and efficiency of technologies for selected sectors			Concept (Panel/WG input)					
		Guidelines with		Info	Draft		Final			

Project		Product	EB66	EB67	EB68	EB69	EB70	EB71	EB72	Rationale for change
		expanded applicability to afforestation and reforestation projects			(preceded by call and Panel/WG input)					
		Guidelines with expanded applicability to transport sector projects				Draft (preceded by call and Panel/WG input)	Final		Concept	EB69 requested further work and a concept for a future meeting.
		Quality assurance / quality control guidelines for the quality assessment of the data required to develop the standardized baselines	Final							
		Revision to the procedures for standardized baselines, incl. modalities for support to			Final					

Project		Product	EB66	EB67	EB68	EB69	EB70	EB71	EB72	Rationale for change
		countries with 10 or fewer registered CDM projects in developing assessment reports and sector-specific data templates for proposing standardized baselines								
		Revised CDM PCP, PS, VVS, guidelines, checklists, forms and other secondary regulatory documents as a result of standardized baselines Package of regulatory documents and a concept note relating to standardized baselines			Concept	Draft	Final (preceded by call)			Title change to better define the product. Inclusion of call following mandate of EB69.

Project		Product	EB66	EB67	EB68	EB69	EB70	EB71	EB72	Rationale for change
		Impact of updated standardized baselines on CDM project activities					Concept (preceded by call)			Additional product and inclusion of call following mandate of EB69.
		Grid emission factor for the Southern African power pool					Final			DNA submission received in August based on decision 3/CMP.6 related to stand-ardized baselines.
135	Review and upgrade of the CDM registry	Cancellation mechanism for units issued			Concept	Draft				
CR44	Recording of host Party for projects and PoAs	Concept of re-cording of host Party for projects and PoAs					Concept			

Project		Product	EB66	EB67	EB68	EB69	EB70	EB71	EB72	Rationale for change
129	Improvement to the guidelines on suppressed demand	Revised guidelines on suppressed demand			Final					
		Concept note on the treatment of suppressed demand in approved methodologies		Concept (Panel/ WG input)						
		Revised methodologies to address suppressed demand		Final (Panel/ WG input)	Final (Panel/ WG input)	Final (Panel/ WG input)	Final (Panel/ WG input)			Concluded at EB69.
125	Revised microscale additionality guidelines and extension of simplified modalities for additionality demonstration	Revised guidelines on demonstration of additionality of micro scale project activities		Concept (Panel/ WG input)	Final (Panel/ WG input)					
		Revised attachment A of appendix B of simplified modalities and procedures for small scale CDM project activities		Concept (Panel/ WG input)	Final (Panel /WG input)					

Project		Product	EB66	EB67	EB68	EB69	EB70	EB71	EB72	Rationale for change
		Procedure for submission and consideration of microscale renewable energy technologies for automatic additionality					Final			Inclusion of product due to mandate of EB68.
164	Improvements in the demonstration of additionality	Concept note on improvements in the demonstration of additionality			Concept (Panel/ WG input)					
		Work programme for improvements in the demonstration of additionality					Final			Discontinued due to other priorities in MAP 2013.
		Concept note on three issues in the demonstration of additionality				Concept				
158	Accounting for uncertainties in measure-	Concept note on the development of guidance			Concept (Panel/ WG input)					



Project		Product	EB66	EB67	EB68	EB69	EB70	EB71	EB72	Rationale for change
	ment in methodologies	Amended PS and VVS or draft guidance text to be incorporated in the PS and VVS				Draft	<del>Final Draft</del> (preceded by call and Panel/WG input)		Draft	Work on the uncertainties required more time than expected.
120	Guidelines on standardized approaches for determining baselines	Guidelines on standardized approaches for determining baselines			Draft (Panel/ WG input)	Final (preceded by call and Panel/WG input)				
146	Top-down large-scale methodologies using standardized approaches	Methodology for country-specific emission factors for off-grid regions				Final Draft (preceded by call and Panel/WG input)	Final (Panel/ WG input)			Additional input from DNA sought via questionnaire.
		Methodology using standardized approaches for energy efficiency in building					Concept (Panel/ WG input)			
108	Top down small scale methodology	Rural energy supply (non-renewable)		Draft (Panel/ WG input)						

Project		Product	EB66	EB67	EB68	EB69	EB70	EB71	EB72	Rationale for change
	es using standardized approaches	biomass)								
		Rural energy supply (biogas)			Final (preceded by call and Panel/WG input)					
		Agriculture			Final (preceded by call and Panel/WG input)					
		Transport				Final (preceded by call and Panel/WG input)				
130	Top-down development and revisions of small-scale methodologies	Efficient pumping and/or irrigation			Final (Panel/ WG input)					
		Energy efficiency measures in buildings			Final (Panel/ WG input)					
		Solar cooking applications for households	Final (Panel/ WG input)							
		Energy efficient lighting in	Final (Panel/							

Project		Product	EB66	EB67	EB68	EB69	EB70	EB71	EB72	Rationale for change
		commercial buildings	WG input)							
		Revised methodology AMS II.C demand-side energy efficiency			Final (Panel/ WG input)					
		Top-down development of a new methodology for recycling and recovery of materials (scrap tyres)			Draft		Final (preceded by call and Panel/ WG input)			SSC WG39 has not finalized the revision.
		Revised methodology AMS III.AE energy efficiency and renewable energy measures in residential buildings					Final (Panel/ WG input)			Assessment of SSC WG 39 concluded not to revise this methodology.
		Revised methodology AMS II.E Energy			Draft		Final (preceded by call and Panel/ WG input)			Assessment of SSC WG 39

Project		Product	EB66	EB67	EB68	EB69	EB70	EB71	EB72	Rationale for change
		efficiency and fuel switching measures for buildings					input)			concluded not to revise this methodology.
159	Improve guidelines on first-of-its-kind and the assessment of common practice	Revised “Combined tool to identify the baseline scenario and demonstrate additionality”	Final				Final (Panel/ WG input)			
		Revised guidelines on first-of-its-kind and the assessment of common practice				Final				
		Revised “Tool for the demonstration and assessment of additionality”					Final (Panel/ WG input)			
160	Carbon Capture and Storage (CCS) Operationalizing	Revision of relevant procedures, forms, and terms of reference to		Final						

Project		Product	EB66	EB67	EB68	EB69	EB70	EB71	EB72	Rationale for change
	Carbon Capture and Storage (CCS)	enable submission of proposed new methodologies for CCS project activities								
		<p>Concept note on the amendment of the PS, VVS and potentially the PCP to incorporate requirements for CCS project activities</p> <p>Concept note on the development of CCS requirements for the CDM project standard, validation and verification standard and project cycle procedure</p>					Final			Adjustment of title to better define the product.
127	Implementation of the PoA	Annexes to the sampling standard to	Draft (Panel/ WG	Final (preceded by call)						

Project		Product	EB66	EB67	EB68	EB69	EB70	EB71	EB72	Rationale for change
	standards and procedures	provide best practice examples	input)							
		Annexes to the sampling standard to provide best practice examples (further revision)				Final (preceded by call and Panel/WG input)				
		Assessment report on cross effects when applying multiple methodologies to PoA and possible development of guidance		Concept (Panel/ WG input)	Final					
		Revised large scale methodologies to include PoA provisions		Final (Panel/ WG input)	Final (Panel/ WG input)	Final (Panel/ WG input)				
		Assessment report of stakeholder inputs and possible			Draft	Final	Final			EB69 did not approve the product and requested

Project		Product	EB66	EB67	EB68	EB69	EB70	EB71	EB72	Rationale for change
		revision of PoA guidance (including work programme) Revision of regulatory documents due to introduction or changes to provisions related to PoA								further work. Adjustment of title to better define the product.
161	Withdrawal and suspension of letters of approval	Concept note and CMP recommendations			Final					
162	Development of procedures to address significant deficiencies	Concept note (including study report)		Concept						
		Revised draft procedure and CMP recommendations (including document describing mandate)			Concept	Final (preceded by call)				
155	Materiality	Guidance on the application of materiality		Concept	Draft	Final (preceded by call)				

Project		Product	EB66	EB67	EB68	EB69	EB70	EB71	EB72	Rationale for change
132	Implementa- tion of the PS, VVS, PCP	Revised, new and consolidated documents	Final							
		Staged withdrawal of various standards, procedures, guidelines and forms	Info							
		Status report on overall work			Info					
118	Standardized forms and guidelines for completing validation and verification reports	Standardized forms and guidelines for the validation and verification of project activities, PoAs and CPAs							Draft	
100	Risk-based approach for assessing project submissions	Concept note on operational processes - Issuance	Concept							
		Operational procedures - Issuance	Draft							
		Concept note on			Concept					



Project		Product	EB66	EB67	EB68	EB69	EB70	EB71	EB72	Rationale for change
		operational processes - Registration								
		Operational procedures - Registration						Draft		
152	Plant load factors of registered wind power projects	Concept note on the determination of PLFs for wind power projects					Concept			
138	System support to PoAs	Rule for host party identifier for the issuance of CERs for PoAs	Final							
136	Support of issuance at the end of the commitment period	Status report on overall work					Info			
CS006	Assessment of compliance to CDM requirements relating to project	Policy issues related to cases	Final	Final	Final	Final	Final			

Project		Product	EB66	EB67	EB68	EB69	EB70	EB71	EB72	Rationale for change
	submissions									
149	Sustainability benefits of the CDM	Analysis and proposal for voluntary measures to highlight the co-benefits of CDM projects and POAs		Concept						
		Draft regulatory documents on voluntary measures to highlight the co-benefits of CDM projects and POAs			Draft	Final (preceded by call)	Final			EB69 did not approve the product and requested further work.
151	Improve the stakeholders' consultation process	Final draft of revised standards and/or procedures				Concept	Concept			EB69 mandate to continue considering the matter at EB70.

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### Document information

<i>Version</i>	<i>Date</i>	<i>Description</i>
04.0	5 November 2012	Published as an annex to the annotated agenda of EB70. Revision includes the mandates from the last meeting (EB69) and updated status of work.
03.0	27 August 2012	Published as an annex to the annotated agenda of EB69. Revision includes the mandates from the last meeting (EB68) and updated status of work.
02.0	2 July 2012	Published as an annex to the annotated agenda of EB68, as requested by the Board at its sixty-seventh meeting. Revision includes the mandates from the last meeting (EB67) and updated status of work.
01.0	11 May 2012	EB 67 report, Annex 1 Published as an annex to the report of EB67. Initial adoption.
Decision Class: Operational Document Type: Information note Business Function: Governance Keywords: work programme, EB		

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