


CDM-PRC-FORM



Post-registration changes form
(Version 05.0)

1: General Information

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| Name of the designated operational entity (DOE) | ERM-CVS |
| Reference number/Title of the CDM project activity/Programme of activities (PoA) | 4118 Asahan 1 Hydroelectric Power Plant 2 x 90 MW |
| Are the requested changes for a PoA? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |
| The DOE conducted validation of the changes: | <input type="checkbox"/> Prior to commencement of a verification for the project activity or PoA stated above (Prior-approval track). <input checked="" type="checkbox"/> When performing a verification for the project activity or PoA stated above (Issuance-track). If this request is submitted through the issuance-track, are all types of post-registration changes in this submission allowed to be done so in accordance with the CDM project standard and CDM project cycle procedure? <input checked="" type="checkbox"/> Yes |
| We herewith submit the request for approval of post-registration changes | Date: <u>27/01/2017</u> DD/YY/YYYY Print name of DOE representative: _____ Signature:  |

2: Types of changes

Please check all the types of changes that are being requested in this submission and fill in the corresponding sections

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| <input checked="" type="checkbox"/> | A. Temporary deviations from the monitoring plan as described in the registered PDD or the monitoring methodology | | |
| | Applicable period for proposed deviations (inclusive) | 2012/06/01 (start date of the earliest included deviation) | to 2015/04/01 (end date of the latest included deviation) |
| <input type="checkbox"/> | B. Corrections that do not affect project design | | |
| <input type="checkbox"/> | C. Change to the start date of the crediting period | | |
| | Is the original start date prior to the date of registration of the project activity or PoA? | <input type="checkbox"/> Yes <input type="checkbox"/> No | |
| | Is the change more than two years (four years for a least developed country) from the original start date? | <input type="checkbox"/> Yes <input type="checkbox"/> No | |
| | Does the change to the start date of the crediting period in this request require prior | <input type="checkbox"/> Yes <input type="checkbox"/> No | |

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| | approval as specified in the Clean development mechanism project standard? | |
| | Proposed start date of crediting period | |
| <input type="checkbox"/> | D. Inclusion of a monitoring plan in the registered PDD, PoA-DD or CPA-DD, if a monitoring plan was not included at the time of their registration | |
| | Timing of the request for approval of changes | <input type="checkbox"/> Prior to the submission of request for issuance for the first monitoring period <input type="checkbox"/> Together with the submission of request for issuance for the first monitoring period |
| <input type="checkbox"/> | E. Permanent changes to the monitoring plan as described in the registered PDD, PoA-DD, CPA-DD, or the applied baseline and monitoring methodology or standardized baseline (including changes to apply the provisions of the most recent version of the "Standard for sampling and surveys for CDM project activities and programme of activities)" | |
| | Do the proposed changes refer to a later version of the applied methodology? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |
| <input type="checkbox"/> | F. Changes to the project or programme design as described in the registered PDD or PoA-DD | |
| | (a) In the case of a project activity, do the changes have an impact on: | <input type="checkbox"/> Changes to effective output capacity <input type="checkbox"/> Addition of component or extension of technology <input type="checkbox"/> Removal or addition of sites <input type="checkbox"/> Actual operational parameters differ from the expected parameters <input type="checkbox"/> Any consequential changes to baseline and monitoring methodology and/or standardized baseline <input type="checkbox"/> None of the above |
| | (b) In the case of a PoA, do the changes relate to: | <input type="checkbox"/> Changes to programme boundary to: <input type="checkbox"/> Expand geographical coverage <input type="checkbox"/> Include additional host Parties <input type="checkbox"/> Revisions to the eligibility criteria due to: <input type="checkbox"/> Version of baseline and monitoring methodologies applied by the PoA is revised or replaced subsequent to being placed on hold <input type="checkbox"/> Revision of the eligibility criteria of a registered PoA is initiated by the Board at any time during the lifetime of the PoA if an issue related to environmental integrity is identified <input type="checkbox"/> Use of positive lists is introduced based on the guidelines from the Board pertaining to demonstration of additionality of small-scale/micro-scale project activities <input type="checkbox"/> Removal of methodologies and/or standardized baselines from the registered PoA <input type="checkbox"/> Addition or change of technologies/measures with or without addition or change of applied methodologies |

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| <p>(c) For both a registered CDM project activity and PoA, do the changes necessitate to change the applied methodology or standardized baseline?</p> | <p>in the registered PoA-DD as follows:</p> <p><input type="checkbox"/> Changes that allow a shift to more efficient, less GHG-intensive or at least equivalent technologies/ measures</p> <p><input type="checkbox"/> Changes that introduce complementary measures/ technologies involving mass and/or energy transfer to/from the originally registered technology/measure</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> To the latest version of the methodology or standardized baseline</p> <p><input type="checkbox"/> To another methodology or standardized baseline</p> <p><input checked="" type="checkbox"/> No</p> |
| <p><input type="checkbox"/> G. Changes to the project design as described in the registered generic CPA-DD or specific-case CPA-DD</p> | |
| <p><input type="checkbox"/> H. Changes specific to afforestation or reforestation project activities</p> | |
| <p><input type="checkbox"/> I. Addition of specific case CPA DDs corresponding to generic CPA DDs for which a specific case CPA DD has not been submitted at the time of request for registration of the PoA (for PoAs with more than one generic CPA DD)</p> | |
| <p align="center">3: Documents submitted</p> | |
| <p>For sub-sections A.-H. in section 2 above, confirm the following documents are attached:</p> <p>(a) A completed validation report form for post-registration changes covering all changes;</p> <p>(b) Clean version of one revised PDD, PoA DD including its generic CPA DD, or specific-case CPA-DD(s), as appropriate;</p> <p>(c) Track-change version of that PDD, PoA DD including its generic CPA DD, or specific-case CPA-DD(s), as appropriate.</p> | <p><input checked="" type="checkbox"/> Yes</p> |
| <p>For sub-section I. in section 2 above, confirm the following documents are attached:</p> <p>(a) A completed specific-case CPA-DD;</p> <p>(b) A validation report form for inclusion of the specific-case CPA.</p> | <p><input checked="" type="checkbox"/> Yes</p> |
| <p>Are supplemental documents attached?</p> | <p><input type="checkbox"/> Yes</p> <p><input checked="" type="checkbox"/> No</p> <p>If yes, please provide titles of all documents and ensure that all documents listed are submitted or links are provided:</p> <p>For temporary deviations from the monitoring plan as described in the registered PDD or the monitoring methodology:</p> <p>For a change to the start date of the crediting period:</p> |

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| | <p>For corrections that do not affect the project design, permanent changes from the monitoring plan as described in the registered PDD or the monitoring methodology, changes to the project or programme design of a registered project activity or PoA and/or changes specific to afforestation or reforestation project activities:</p> <p>For an addition of specific case CPA DDs corresponding to generic CPA DDs:</p> |
| 4: Assessment opinion confirmation | |
| <p>Do you confirm that the requested changes are in accordance with the post-registration change requirements in the Clean development mechanism project standard and the Clean development mechanism validation and verification standard?</p> | <p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p> |