

CDM-EB80-AA-A05

Transitional provisions for implementation of the revised CDM accreditation standard

Version 04.0

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United Nations
Framework Convention on
Climate Change

COVER NOTE

1. Procedural background

1. At its seventy-ninth meeting, the Executive Board of the clean development mechanism (CDM) (hereinafter referred to as the Board) agreed to extend the deadline for all designated operational entities (DOEs) to comply with the accreditation standard (version 05.2) from 1 July 2014 to 1 January 2015, based on the recommendation from the CDM Accreditation Panel (CDM-AP) at its 68th meeting, taking into account input from the DOE/AIE Coordination Forum.

2. Purpose

2. The revised transitional provisions for the implementation of the revised CDM accreditation standard allows the Board to reflect its decision to extend the deadline for all DOEs to comply with the accreditation standard (version 05.2) from 1 July 2014 to 1 January 2015.

3. Key issues and proposed solutions

3. The transitional provisions provide the rules regarding the implementation of the CDM accreditation standard (version 05.2). Changes to the previous version are highlighted and the rationale for changes is also provided in the document. The highlights indicate the dates revised in the document to appropriately reflect the decision by the Board, at its seventy-ninth meeting, to enable DOEs to choose whether to be assessed in accordance with version 4.0 or 5.2 of the accreditation standard until 1 January 2015.
4. Minor editorial amendments are also highlighted in the document.

4. Impacts

5. The revised transitional provisions will enable a consistent, transparent, effective and efficient implementation process of the revised accreditation standard.

5. Recommendations to the Board

6. The secretariat recommends that the Board adopt the revised transitional provisions for the implementation of the revised CDM accreditation standard (version 05.2).

6. References

7. This concept note is related to, and hence should be read in conjunction with the CDM accreditation standard (version 05.2).

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1. Transitional provisions for implementation of the revised CDM accreditation standard

1. The Executive Board (hereinafter referred to as the Board) of the clean development mechanism (CDM) of the clean development mechanism (the Board) adopted the "CDM accreditation standard", version 05.42 (hereinafter referred to as the standard), as contained in annex 2 to the report of the seventy-fifth meeting of the Board.
2. The Board further agreed to the transitional provisions for the standard set out below, with the following dates:
 - (a) The CDM accreditation standard (version 05.42) shall be effective as of 1 March 2014;
 - (b) All designated operational entities (DOEs) shall comply with the CDM accreditation standard (version 05.42) as of 1 January 2014.
3. The Board also agreed on the following further transitional measures regarding the applicability of version 04.0 and version 05.42 of the CDM accreditation standard for accreditation assessments:
 - (a) Accreditation assessments initiated between 4 October 2013 and 28 February 2014 shall be conducted against version 04.0 of the standard, except for initial accreditation assessments, for which special provisions may be decided by the CDM Accreditation Panel (CDM-AP);
 - (b) Accreditation assessments initiated between 1 March 2014 and 31 December 2014 shall be conducted according to one of the following options, to be chosen by the DOE under assessment:
 - (i) Option 1: assessment against version 04.0 of the standard, with a gap analysis for compliance with version 05.24 of the standard. Non-conformities against version 05.24 of the standard shall be raised as observations;
 - (ii) Option 2: assessment against version 05.24 of the standard;
 - (c) From 1 March 2014, all new initial accreditation assessments shall be conducted against version 05.1 of the standard;
 - (d) Accreditation assessments initiated on or after 1 January 2014 shall be conducted against version 05.42 of the standard;
 - (e) Accreditation assessments to demonstrate the compliance of DOEs with version 05.24 of the standard shall be completed by 31 December 2015 in accordance with the CDM accreditation procedure. The demonstration of compliance is to be done via regular and additional assessments, as recommended by the CDM-AP.
4. The Board also agreed on the following transitional measures regarding the demonstration of competence of the validation or verification/certification personnel qualified under version 04.0 of the CDM accreditation standard before 4 October 2013:

- (a) For validators, verifiers, team leaders, technical experts or technical reviewers qualified as per version 04.0 of the standard before 4 October 2013, the requirement of paragraph 96 of the standard may be considered fulfilled, for specific functions, if the person has successfully conducted, within the three years prior to the adoption of version 05.24 of the standard and in his/her capacity of validator, verifier, team leader, technical expert or technical reviewer, at least three validations or verifications/certifications of CDM project activities or programmes of activities (PoAs), including participation in the associated site visits if applicable, and such validations or verifications resulted in the successful registration of the project activities or PoAs, or issuance of certified emission reductions (CERs), before 4 October 2013;
 - (b) For persons qualified in a technical area as per version 04.0 of the standard before 4 October 2013, the requirement of paragraph 96 of the standard may be considered fulfilled, for the corresponding specific technical areas in accordance with the correspondence presented Table 1 below, if the person has successfully conducted, within the three years prior to the adoption of version 05.42 of the standard and in his/her capacity as a qualified expert in the technical area (of version 04.0), at least five validations or verifications/certifications of CDM project activities or PoAs classified in the technical area, including participation in the associated site visits, and such validations or verifications resulted in the successful registration of the project activities or PoAs, or issuance of CERs before 4 October 2013.
- 5. Notwithstanding paragraph 4 above, only for the validation or verification contracts with project participants signed by 31 December June 2014, the DOE personnel who have been qualified as validator, verifier, team leader, technical expert or technical reviewer, and in the corresponding technical areas in accordance with version 04.0 of the CDM accreditation standard prior to 4 October 2013 are considered to be in compliance with the requirements in paragraph 96(a) and 96(b) of version 05.24 of the standard.
- 6. The Board also agreed on the following transitional measures regarding the accreditation status of existing DOEs under version 05.24 of the CDM accreditation standard:
 - (a) Additional sectoral scopes shall be granted to DOEs based on the revision of technical areas as follows:
 - (i) A DOE accredited in sectoral scope 2 shall be deemed accredited also in sectoral scope 1;
 - (ii) A DOE accredited in sectoral scope 4 shall be deemed accredited also in sectoral scopes 9 and 10;
 - (iii) A DOE accredited in sectoral scope 10 shall be deemed accredited also in sectoral scope 8, and vice versa;
 - (iv) A DOE accredited in sectoral scope 15 shall be deemed accredited also in sectoral scope 13;
 - (b) A DOE accredited in one or more sectoral scopes as per version 04.0 of the standard and as per subparagraph (a) above shall notify the Board of which sectoral scope(s) it wishes to remain accredited for no later than 1 March 2014.

Withdrawals of accreditation in one or more sectoral scopes thereafter shall be submitted in accordance with the "CDM accreditation procedure";

- (c) In accordance with paragraph 3(b) above, upon compliance with the standard version 5.2 between 1 March 2014 and 1 January 2015, DOEs shall submit a declaration of compliance with all requirements in version 05.42 of the standard, including all competence requirements, taking into consideration the transitional provisions in paragraphs 2, 3, 4 and 5 above.

7. In addition, the Board agreed on the following measures regarding the classification of CDM baseline and monitoring methodologies in sectoral scopes under version 05.42 of the CDM accreditation standard:

- (a) The revision of the list of technical areas requires a revision of the classification of certain CDM baseline and monitoring methodologies against sectoral scopes;
- (b) DOEs shall select validation and verification/certification personnel relevant to the revised technical area under a different sectoral scope, as appropriate, until the process referred to in subparagraph (a) above is concluded.

Table 1. Technical areas: correspondence between version 04.0 and 05.42

	CDM accreditation standard v.04.0		CDM accreditation standard v.05.42
1.1	Thermal energy generation from fossil fuels and biomass including thermal electricity from solar	1.1	Thermal energy generation
1.2	Energy generation from renewable energy sources	1.2	Renewables
2.1	Electricity distribution	2.1	Energy distribution
2.2	Heat distribution	1.1	Thermal energy generation
3.1	Energy demand	3.1	Energy demand
4.1	Cement sector	4.1	Cement and lime production
4.2	Aluminum	9.1	Aluminium production
4.3	Iron and steel	9.3	Steel and iron production
4.4	Refinery	10.1	Fugitive emissions from fuels (oil and gas)
5.1	Chemical process industries	5.1	Chemical process industries
		5.2	Caprolactam, nitric acid, adipic acid
6.1	Construction	6.1	Construction
7.1	Transport	7.1	Transport
8.1	Mining and mineral processes, excluding those included in TA 8.2 below	8.1	Mining/mineral production
8.2	Oil and gas industry, coal mine methane recovery and use	10.1	Fugitive emissions from fuels (oil and gas)
9.1	Metal production	9.1	Aluminium and magnesium production

	CDM accreditation standard v.04.0		CDM accreditation standard v.05.24
		9.2	Iron, steel and Ferro-alloy production
10.1	Mining and mineral processes, excluding those included in TA 10.2 below	8.1	Mining/mineral production
10.2	Oil and gas industry, coal mine methane recovery and use	10.1	Fugitive emissions from fuels (oil and gas)
11.1	Chemical process industries	11.1	Emissions of fluorinated gases
11.2	GHG capture and destruction	11.2	Refrigerant gas production
12.1	Chemical process industries	12.1	Chemical process industries
13.1	Waste handling and disposal	13.1	Waste handling and disposal
13.2	Animal waste management	13.2	Animal waste management
14.1	Forestry	14.1	Forestry
15.1	Agriculture	15.1	Agriculture
15.2	Animal waste management	13.2	Animal waste management
16.1	Carbon capture and storage of CO ₂ in geological formations	16.1	Carbon capture and storage

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Document information

Version	Date	Description
04.0	30 June 2014	Published as an annex to the annotated agenda of EB80. Revision to reflect the decision by the Board at EB79, paragraph 22 to postpone the mandatory deadline for all DOEs to comply with the accreditation standard (version 05.2) from 1 July 2014 up to 1 January 2015.
03.0	4 April 2014	EB 78, Annex 2 This revision allows that the requirement of paragraph 96(b) of the revised accreditation standard may be considered fulfilled in 4 (a) and (b) above.
02.0	8 November 2013	EB 76, Annex 13 Revision to exempt the application of the competence requirements in version 05.1 of the accreditation standard (CDM-EB46-A02-STAN) for validation or verification contracts signed by 30 June 2014.
01.1	16 October 2013	Editorial revision to correct the references in paragraphs 4(a), 4(b) and the technical areas 9.1 and 9.2 in Table 1 and to correct references to the revised accreditation standard - i.e. now version 05.1 (CDM-EB46-A02-STAN).

01.0	4 October 2013	EB 75, Annex 3
		Initial adoption.

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