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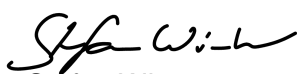
**Response to Incompleteness Notification regarding the Request for period renewal of programme of activities "MicroEnergy Credits – Microfinance for Clean Energy Product Lines – India" (UNFCCC Ref. no. PoA 9181), notification received 17<sup>th</sup> February 2021**

Dear CDM team,

Please find below the response of the TÜV NORD JI/CDM Certification Program to the request for period renewal incomplete for the above mentioned project.

With regard to this response, we would kindly request you to continue with the request for issuance process. If you have any questions do not hesitate to contact us.

Yours sincerely,



Stefan Winter  
Head of TÜV NORD JI/CDM Certification Program

<b>Request for Registration/Issuance Incomplete Reason (1)</b>	
<b>Scope and Issue raised by the UNFCCC Secretariat:</b>	The coordinating/managing entity did not use the latest version at the time of the submission of the request for renewal of PoA period of the methodologies and methodological tools applied in the registered PoA-DD. Please refer to the paragraph 284(a) of the PS-PoA version 2.0. Specifically, the two generic components make reference to the "Guideline on the Demonstration of Additionality of Small-Scale Project Activities Version 09, EB 68 Annex 27", which is no longer valid.
<b>Response by DOE:</b>	<p>The DOE has validated the revised PoA-DD. Several sections including section C and section K have been updated. The CME has opted to apply the Tool 21: Demonstration of additionality of small scale project activity, Version 13.1 at the CPA Level, the additionality will be demonstrated following the requirements of para 10 of the Tool 21.</p> <p>The coordinating/managing entity applied the latest versions of the methodologies and methodological tools for the request for renewal of PoA period.</p>
<b>Request for Registration/Issuance Incomplete Reason (2)</b>	
<b>Scope and Issue raised by the UNFCCC Secretariat:</b>	<p>The CME did not describe correctly how the project boundary of each of the corresponding CPAs was defined. Please refer to paragraph 100 of the PS-PoA version 2.0</p> <p>The boundaries of the CPAs defined in the generic components are defined as the geographical boundary of India, which is not consistent with the definition of project boundary contained in the applied methodologies.</p>
<b>Response by DOE:</b>	<p>The CME updated the PoA-DD. The generic CPA sections are reflecting the project boundaries in line with the applicable methodologies.</p> <p><b>Solar lighting:</b></p> <p>The Project Boundary with regards to solar lighting technology is now assessed to be updated appropriately following AMS-III.A.R., version 06. The project boundary includes the project lamps powered the solar energy based charging systems. The reporting is inline with the para 17 of applied methodology.</p> <p><b>Improved Cook stoves:</b></p> <p>The Project Boundary pertaining to ICS technology is appropriately updated following AMS-II.G., version 11.1. The project boundary includes the physical, geographical site of the efficient devices that utilize biomass. The reporting is inline with the para 15 of applied methodology.</p> <p><b>Water Purifiers:</b></p> <p>The project boundary includes the physical, geographical sites of the low greenhouse gas emitting technologies for water purification installed by the project activity and the household/institutional buildings where the consumers of safe water provided by the systems are located. The reporting is inline with the para 17 of applied methodology, AMS-III.AV. version 08.0.</p>
<b>Request for Registration/Issuance Incomplete Reason (3)</b>	
<b>Scope and Issue raised by the</b>	The coordinating/managing entity did not justify the choices of different options and/or default values from applied methodologies, the applied standardized

<b>UNFCCC Secretariat:</b>	<p>baselines or other applied methodological regulatory documents. Please refer to paragraph 109 of the PS-PoA version 2.0</p> <p>The generic component for water and solar states that the parameter QPW (total quantity of water purified by the project in year y) will be determined based on Option 1 of AMS-III.AV (paragraph 16(a)), i.e. directly monitored. However, the monitoring plan contains the monitoring provisions of the parameters used to determine QPW based on Option 2.1 (paragraph 17(a)) and Option 2.2 (paragraph 17(b)).</p>
<b>Response by DOE:</b>	<p>Based on assessment of revised PoA DD it can be concluded that the CME will monitor parameter QPW<sub>y</sub> (total quantity of water purified by the project in year y) following option 1 of the applied methodology. This is consistently applied in the POA-DD. The PoA-DD has been appropriately updated. The POA-DD is in line with para 16 a of the applied methodology.</p> <p>For further details, please see point 4 below.</p>
<b>Request for Registration/Issuance Incomplete Reason (4)</b>	
<b>Scope and Issue raised by the UNFCCC Secretariat:</b>	<p>The CME did not describe how to develop a monitoring plan for each of the corresponding CPAs in accordance with the applied methodologies. Please refer to paragraph 115 of the PS-PoA version 2.0.</p> <p>The generic component for water and solar contains the monitoring provisions of the parameters needed to determine QPW (total quantity of water purified by the project in year y) from Option 1 (paragraph 16(a) of the methodology), Option 2.1 (paragraph 17(a)) and Option 2.2 (paragraph 17(b)). However, the section I.6.1 states only that QPW will be determined based on Option 1 of AMS-III.AV. (paragraph 16(a)), i.e. directly monitored.</p>
<b>Response by DOE:</b>	<p>The CME will monitor parameter QPW<sub>y</sub> (total quantity of water purified by the project in year y) following option 1 of the applied methodology, AMS-III.AV. The PoA-DD is updated to follow the requirements of para 16 (a) of the methodology, i.e. option1, which avails direct monitoring of the parameter QPW<sub>y</sub> (total quantity of water purified by the project in year y). This information is consistently applied in the POA-DD, relevant sections have been appropriately updated.</p> <ol style="list-style-type: none"> <li>1. parameter QPW<sub>pp</sub> has been removed from the ex-ante table, as the CPA is no longer taking this option</li> <li>2. parameter t from ex-post MP has been removed</li> <li>3. reference of parameter t has been removed in the sampling section.</li> <li>4. sampling section, I.7.2 with regards to the parameter QPW<sub>y</sub>, has been updated.</li> </ol> <p>The DOE checked the updated POA-DD and confirms that the monitoring plan is in line with the applied methodologies and applicable tools.</p>
<b>Request for Registration/Issuance Incomplete Reason (5)</b>	
<b>Scope and Issue raised by the UNFCCC Secretariat:</b>	<p>The updated eligibility criteria for inclusion of CPAs in the PoA does not reflect possible version update or change of applied methodologies or the other applied methodological regulatory documents. Please refer to paragraph 284 of the PS-PoA version 2.0.</p> <p>For both generic components, the additionality of CPAs (eligibility criteria 10) will be demonstrated based on paragraph 2(c) of the "Guideline on the Demonstration of Additionality of Small-Scale Project Activities", version 9.0, i.e. that a barriers analysis is not required to document additionality for projects that are solely</p>

	composed of isolated units where the users of the technology/measure are households or communities or Small and Medium Enterprises (SMEs) and where the size of each unit is no larger than 5 per cent of the small-scale CDM thresholds. However, this guideline is no longer valid. When demonstrating additionality of CPAs, the CME shall refer and apply the requirements from the valid methodological tools (including its version) and to the other valid methodological regulatory documents.
<b>Response by DOE:</b>	<p>The DOE has validated the revised PoA-DD. Several sections including C and section. The CME has opted to apply the latest version of Tool 21: Demonstration of additionality of small scale project activity, Version 13.1.</p> <p>EC 10 now clearly states that the additionality will be demonstrated following the para 10 of the Tool 21 by at least one of the barriers (Investment, Technological barrier, Barrier due to prevailing practices and Other barriers). The EC for both the combinations "Cookstove and Solar" and "Water and Solar" are verified and confirmed to be appropriate.</p> <p>Thus, it is concluded that the latest version of the Additionality tool is correctly applied for the additionality demonstration and thus, the POA-DD is in accordance with paragraph 284 of the PS-PoA version 2.0.</p> <p>The coordinating/managing entity applied the latest versions of the methodologies and methodological tools for the request for renewal of PoA period.</p>
<b>Request for Registration/Issuance Incomplete Reason (6)</b>	
<b>Scope and Issue raised by the UNFCCC Secretariat:</b>	<p>The DOE did not assess whether the updated PoA-DD complies with all the requirements of the selected methodology, methodological tool and/or standardized baseline except for additionality demonstration. Please refer to paragraph 385 of the VVS-PoA version 2.0</p> <p>The DOE validated that additionality of the CPAs will be assessed at the time of inclusion by applying paragraph 2(c) of the "Guideline on the Demonstration of Additionality of Small-Scale Project Activities", version 09. However, this guideline is no longer valid and the DOE shall assess the eligibility criteria do demonstrate additionality based on the requirements from the valid methodological tools (including its version) and to the other valid methodological regulatory documents.</p>
<b>Response by DOE:</b>	<p>The FVR has been updated. The Assessment now includes that the latest versions of the methodologies and methodological tools for the request for renewal of PoA period have been applied. CAR 14 was raised and successfully closed.</p>
<b>Request for Registration/Issuance Incomplete Reason (7)</b>	
<b>Scope and Issue raised by the UNFCCC Secretariat:</b>	<p>The DOE did not validate whether the modalities for developing the monitoring plan with the applicable requirements in the valid version of the methodologies. The DOE validated that the generic component for water and solar contains the monitoring provisions of the parameters needed to determine QPW (total quantity of water purified by the project in year y) from Option 1 (paragraph 16(a) of the methodology), Option 2.1 (paragraph 17(a)) and Option 2.2 (paragraph 17(b)). However, section I.6.1 of the CPA-DD states only that QPW will be determined based on Option 1 of AMS-III.AV (paragraph 16(a)), i.e. directly monitored.</p>
<b>Response by DOE:</b>	<p>The FVR has been updated. The Assessment now includes that the monitoring plan, including information on parameter QPW<sub>y</sub>, is in line with the applied methodology and that the information in the POA-DD is consistent.</p>