

**CDM-EB93-AA**

## Annotated agenda

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# CDM Executive Board ninety-third meeting

Version 01.0

Date of meeting: 20 to 24 February 2017

Place of meeting: Bonn, Germany



**United Nations**  
Framework Convention on  
Climate Change

## Annotations to the proposed agenda

### Agenda item 1. Agenda and meeting organization

#### Agenda item 1.1. Opening

1. ► **Action:** The Secretary to the Executive Board of the clean development mechanism (CDM) (hereinafter referred to as the Board) shall ascertain whether: (a) the members present constitute a quorum; and (b) “proper justification” has been provided for the absence of any member or alternate member.<sup>1</sup>

*Background:* Rule 12 of the Rules of procedure of the Board stipulates that the Secretary of the Board shall preside over the opening of the first Board meeting of a calendar year. In addition, the Rules of procedure of the Board, stipulate that at least two-thirds of the members of the Board, representing a majority of members from Parties included in Annex I to the Convention and a majority of members from Parties not included in Annex I, must be present to constitute a quorum.

#### Agenda item 1.2. Adoption of the agenda

2. ► **Action:** Members may wish to adopt the proposed agenda for the ninety-third meeting.

*Background:* At its previous meeting, the Board agreed on items to be included on the provisional agenda for its ninety-third meeting. The proposed agenda was transmitted to the Board on 30 January 2017, and thereafter posted on the UNFCCC CDM website in accordance with rule 21 of the Rules of procedure of the Board.

### Agenda item 2. Governance and management matters

#### Agenda item 2.1. Membership issues

3. ► **Action:** The Board may wish to welcome the following new members and alternate members of the Board elected by the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol (CMP) at its twelfth session:
  - (a) Mr. Balisi Gopolang (second term) as member and Mr. El Hadji Mbaye Diagne (first term) as alternate member, nominated by the African group;
  - (b) Mr. Muhammad Irfan Tariq (second term) as member and Mr. Daegyun Oh (second term) as alternate member, nominated by the Asia-Pacific regional group;
  - (c) Mr. Arthur Rolle (first term) as member and Mr. Eduardo Calvo (first term) as alternate member, nominated by the Latin America and Caribbean regional group;
  - (d) Mr. Olivier Kassi (first term) as member and Mr. Martin Enderlin (first term) as alternate member, nominated by the Western Europe and Other regional group;

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<sup>1</sup> Rule 28 of the “Rules of procedure of the Executive Board of the clean development mechanism”, decision 4/CMP.1 (FCCC/KP/CMP/2005/8/Add.1).

- (e) Mr. Kazunari Kainou (second term) as member and Mr. Lambert Schneider (second term) as alternate member, nominated by Parties included in Annex I.

*Background:* Rule 4 of the Rules of procedure of the Board stipulates that the term of service of a member, or an alternate member, shall start at the first meeting of the Board in the calendar year following his/her election and shall end immediately before the first meeting of the Board in the calendar year in which the term ends.

4. ► **Action:** The Board may wish to elect its Chair from among the members nominated by Parties included in Annex I, and its Vice-Chair from among the members nominated by Parties not included in Annex I, for the 2017.

*Background:* At the first Board meeting of each calendar year, i.e. at this ninety-third meeting, the Board shall elect a Chair and a Vice-Chair from among its members. Rule 12 of the Rules of procedure of the Board stipulates that the Secretary to the Board shall conduct the election of the new Chair and Vice-Chair. The positions of Chair and Vice-Chair shall alternate annually between a member nominated by a Party included in Annex I and a member nominated by a Party not included in Annex I. As of 20 February 2017, the newly elected Chair shall therefore have been nominated by a Party included in Annex I and the Vice-Chair by a Party not included in Annex I.

5. ► **Action:** The Board may wish to take note of the oaths of service of new members and alternate members of the Board.

*Background:* In accordance with paragraph 8(e) of the CDM modalities and procedures and rule 10 of the Rules of procedure of the Board, members and alternate members are required to take a written oath of service before being able to participate in the work of the Board. The UNFCCC secretariat has received the written oaths from all newly elected members and alternate members.

6. ► **Action:** Members of the Board may wish to provide statements on any conflict of interest concerning the issues on the agenda for the meeting, and take action, as appropriate.

*Background:* In response to the request by the CMP, through its decision 2/CMP.5 that statements on conflicts of interest be published on the UNFCCC CDM website, members and alternate members are requested to disclose whether they have any conflict of interest relating to the work of the Board at each meeting.

## **Agenda item 2.2. Strategic planning and direction**

7. ► **Action:** The Board may wish to consider and take note of the outcomes of CMP 12 and other related decisions adopted at the UNFCCC sessions, and discuss their implications.

*Background:* At its twelfth session, the CMP took note of the annual report (7 October 2015 to 17 September 2016) of the Board as well as the oral report presented by the Vice-Chair, and adopted decision 3/CMP.12, "Guidance relating to the clean development mechanism". All decisions and conclusions relevant for the CDM are available on the UNFCCC website.<sup>2</sup>

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<sup>2</sup> <<http://unfccc.int/2860.php>>

8. ► **Action:** The Board may wish to take note of an update on the implementation of the CMP 12 decision on the CDM Loan Scheme.

*Background:* The CMP, at its twelfth session, decided that the implementing agency of the CDM Loan Scheme, after consultation with the secretariat, may write off loan amounts disbursed to the recipients on a case-by-case basis, and requested the implementing agency and the secretariat to work closely with loan recipients that wish to continue under the CDM Loan Scheme to help them identify ways to progress through the project cycle, including making adjustments to loan agreement terms, if appropriate.

9. ► **Action:** The Board may wish to provide guidance on the concept note on “Share of proceeds to cover administrative expenses”, as contained in annex 1 to these annotations.

*Background:* The Board, at its ninety-second meeting, took note of the pending share of proceeds (SOP) issuance fees and agreed to consider a comprehensive review of the SOP, including the timing of collection of SOP issuance fees, at its first meeting in 2017.

10. ► **Action:** The Board may wish to provide guidance on the concept note on “Increasing the voluntary cancellation of certified emission reductions”, as contained in annex 2 to these annotations.

*Background:* The Board, at its ninety-second meeting, approved the 2017 CDM Management Action Plan (MAP), including resources for activities to increase voluntary cancellation of certified emission reductions (CERs), and requested the secretariat to prepare a concept note elaborating the proposed activities in more detail.

11. ► **Action:** The Board may wish to provide guidance on the concept note on “Technical support for the online platform for voluntary cancellation of certified emission reductions”, as contained in annex 3 to these annotations.

*Background:* The Board, at its eighty-ninth meeting, requested the secretariat to commence work on translating the online platform for voluntary cancellation of CERs into French and Spanish, and to hire a consultant to evaluate the user-friendliness of the platform and report back on the findings.

12. ► **Action:** The Board may wish to provide guidance on the concept note on “Improving the user-friendliness of the UNFCCC CDM public website”, as contained in annex 4 to these annotations.

*Background:* The Board, at its ninety-second meeting, requested the secretariat to prepare a concept note on improving the user-friendliness of the CDM website.

13. ► **Action:** The Board may wish to take note of an update on partnerships and engagement activities

*Background:* The Board, at its ninety-second meeting, requested the secretariat to update the Board on partnerships and engagement activities in the Middle East region.

14. ► **Action:** The Board may wish to provide guidance on the concept note on “Structure of the methodologies-related expert groups under the CDM Executive Board”, as contained in annex 5 to these annotations.

*Background:* The Board, at its ninety-second meeting, requested the secretariat to prepare a concept note on merging of the Methodologies Panel (MP) and Small-Scale Working Group (SSC WG) for cost- and process-efficiency reasons.

### **Agenda item 2.3. Performance management**

15. ► **Action:** The Board may wish to adopt the draft CDM Executive Board workplan 2017, as contained in annex 6 to these annotations. In conjunction with the workplan, the Board may further wish to take note of an update on the CDM MAP.

*Background:* Based on the approved MAP 2017 and the mandates provided by the CMP to the Board at its last session, a draft workplan of the Board has been prepared, which includes the products that the Board would like to consider during 2017.

16. ► **Action:** The Board may wish to adopt the draft workplans of its panels and working groups for 2017, as contained in annex 7 to these annotations.

*Background:* Based on the approved MAP 2017, the draft workplans of the Board's panels and working groups, namely the CDM Accreditation Panel (CDM-AP), MP and SSC WG have been prepared, including products and routine activities that the panels and working groups are requested to consider during 2017.

17. ► **Action:** The Board may wish to provide guidance on the concept note on "Performance assessments and inactive designated operational entities (DOEs)", as contained in annex 8 to these annotations. The Board may further wish to adopt the revised "CDM accreditation procedure", as contained in the same annex.

*Background:* The Board, at its ninety-second meeting, requested the CDM-AP and the secretariat to jointly prepare a concept note on alternative approaches to address performance monitoring of DOEs that have not submitted a request for registration or issuance for more than 20 months.

### **Agenda item 2.4. Matters related to the Board and its support structure**

18. ► **Action:** The Board may wish to appoint members and/or alternate members to serve as chairs and vice-chairs of panels and working groups in 2017.

*Background:* In accordance with the terms of reference of the support structure of the Board, the Board shall elect the chairs and vice-chairs of panels and working groups, and any additional members or alternate members to support them, at the first meeting of each calendar year.

19. ► **Action:** The Board may wish to appoint members and/or alternate members to serve as members of the Executive Board Finance Committee (EBFC) and co-chairs in 2017.

*Background:* In accordance with the terms of reference of the EBFC, the Board shall appoint the members of the committee and select two co-chairs for a term of one year.

## **Agenda item 2.5. Operations of panels and working groups**

20. ► **Action:** The Board may wish to consider the report of the 77<sup>th</sup> meeting of the CDM-AP.<sup>3</sup>

*Background:* The CDM-AP will hold its 77<sup>th</sup> meeting from 7 to 9 February 2017 in Bonn. The report will be available prior to the ninety-third meeting of the Board.

## **Agenda item 3. Rulings (case-specific)**

### **Agenda item 3.1. Accreditation**

21. ► **Action:** The Board may wish to consider recommendations and take note of notifications from the 77<sup>th</sup> meeting of the CDM-AP.

*Background:* In accordance with the accreditation procedure the Board shall consider accreditation-related recommendations of the CDM-AP. The 77<sup>th</sup> meeting of the CDM-AP will take place after the publication of these annotations and the supporting documents will be sent to the Board a week prior to the ninety-third meeting of the Board.

### **Agenda item 3.2. Registration**

22. ► **Action:** The Board may wish to take stock of the progress of registration of project activities and programmes of activities (PoAs).

*Background:* The information available on the UNFCCC CDM website<sup>4</sup> indicates the status of project activities submitted for registration. The information available in the section on “CDM Programmes of Activities” on the UNFCCC CDM website<sup>5</sup> indicates the status of PoAs submitted for registration.

### **Agenda item 3.3. Issuance**

23. ► **Action:** The Board may wish to take stock of the progress of issuance for project activities, PoAs and the operation of the CDM registry.

*Background:* The information available in the section “Issuance Certified Emission Reduction” on the UNFCCC CDM website indicates the status of requests for issuance of project activities and PoAs.<sup>6</sup>

24. ► **Action:** The Board shall consider the documentation for one request for issuance as listed in table 1 below, for which a review was commenced.

*Background:* Three or more members of the Board or a Party involved requested a review of the request for issuance listed in table 1 below. The subsequent assessments by the secretariat and the Registration and Issuance Team (RIT) contained different proposed decisions (i.e. one to approve and the other to reject), or the same proposed decisions

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<sup>3</sup> <<https://cdm.unfccc.int/Panels/accreditation/index.html>>.

<sup>4</sup> <<http://cdm.unfccc.int/Projects/projsearch.html>>.

<sup>5</sup> <<http://cdm.unfccc.int/ProgrammeOfActivities/index.html>>.

<sup>6</sup> <[http://cdm.unfccc.int/Issuance/cers\\_iss.html](http://cdm.unfccc.int/Issuance/cers_iss.html)>.

(both to approve or to reject), but an objection was received from a member of the Board. In accordance with the CDM PCP, the Board shall decide whether to approve or to reject the request for issuance.

**Table 1 Request for issuance review case for which assessments of the secretariat and the RIT contain different proposed decisions or an objection by a member of the Board was received**

Ref. no.	Title	Monitoring Period	No. of CERs
1568	6 MW Biomass residue based cogeneration unit by MPML at Village Heti (Surla), District Nagpur in Maharashtra, India	1 Dec 2014 – 31 Oct 2015	46,606

#### **Agenda item 3.4. Other rulings**

25. ► **Action:** The Board shall consider the documentation for two requests for authorization from DOEs to perform a verification activity for the same PoA for which it has performed the validation, as listed in table 2 below.

*Background:* In accordance with the PCP, the secretariat prepared and sent to the Board the documentation received from the DOE on the requests for authorization to perform verification activities for the same project activity or PoA for which it has performed validation.

**Table 2 Requests for authorization for the DOE to perform a verification activity for the same PoA for which it has performed the validation**

Ref. no.	Title
PoA 9672	Paradigm Sub Saharan Africa Cook Stove Programme
PoA 10182	Biomass Energy Conservation Programme

26. ► **Action:** The Board shall consider the documentation for one request for post-registration changes, as listed in table 3 below.

*Background:* In accordance with the PCP, the secretariat prepared and sent to the Board a summary note on the request for approval of changes including a notification that the case will be placed on the agenda of the next Board meeting.

**Table 3 Request for post-registration changes for which the secretariat sent to the Board a summary note including a notification that the case will be placed on the agenda of the next Board meeting**

Ref no.	Title
PoA 9626	DelAgua Public Health Program in Eastern Africa

## Agenda item 4. Regulatory matters

### Agenda item 4.1. Standards/tools

#### (a). Standards for CDM project activities and programmes of activities

27. ► **Action:** The Board may wish to adopt the draft regulatory documents in “Revision of CDM project standard, validation and verification standard, and project cycle procedure”, the revised standard “Sampling and surveys for CDM project activities and programmes of activities”, and the revised “Glossary: CDM terms”, as contained in annexes 9, 10 and 11, respectively, to these annotations.

*Background:* The Board, at its ninety-second meeting, provided guidance on the draft CDM regulatory documents, the CDM project standard, CDM validation and verification standard and PCP applicable for project activities, and the equivalent three documents applicable for PoAs, and requested the secretariat to reflect its guidance in the final draft of these documents.

28. ► **Action:** The Board may wish to continue its consideration of streamlining the registration and issuance processes, based on the information note of the secretariat, as contained in annex 8 of the annotated agenda of the ninety-first meeting of the Board.

*Background:* The Board, at its ninety-first meeting, agreed to further consider the information note on streamlining the registration and issuance processes at the first meeting of the Board in 2017.

### Agenda item 4.2. Procedures

29. ► **Action:** The Board may wish to take note that relevant procedures will be considered at this meeting under agenda items 2.3. (Performance management) and 4.1. (Regulatory matters, Standards/tools).

### Agenda item 4.3. Policy issues

30. ► **Action:** The Board may wish to consider any policy issues triggered by the consideration of registration and issuance cases.

*Background:* Following a request by the Board, policy issues that come up from the discussions during this meeting may be included for consideration, including policy issues encountered through the assessment of cases.

## Agenda item 5. Relations with forums and other stakeholders

31. ► **Action:** The Board may wish to take note of external inputs to the annotated agenda of this Board meeting.

*Background:* Following the “Procedure: Direct communication with stakeholders”, stakeholders have the opportunity to provide comments on the annotated agenda. Comments received will be posted on the UNFCCC CDM website.



32. ► **Action:** The Board may wish to interact with the DOE/accredited independent entity (AIE) Coordination Forum Chair.

*Background:* The “Procedure: Direct communication with stakeholders” provides for the DOE/AIE Coordination Forum Chair to interact with the Board at each meeting.

33. ► **Action:** The Board may wish to take note of activities undertaken with regard to stakeholders, designated national authorities (DNAs), applicant entities (AEs) and DOEs.

*Background:* Following the “Procedure: Direct communication with stakeholders”, the Board and its support structure are to provide avenues for interaction with stakeholders, such as DNAs, AEs and DOEs, as well as to provide them with information and capacity building.

34. ► **Action:** The Board may wish to consider the following submissions received from stakeholders and agree on any actions, as appropriate:

(a) INQ-05573;

(b) INQ-05652;

(c) INQ-05653.

*Background:* Following the “Procedure: Direct communication with stakeholders”, the Board and its support structure are required to consult with stakeholders on policy matters that have a significant impact on them. The secretariat, in consultation with the Chair, shall bring to the attention of the Board any communications on policy, methodological issues or administrative issues when they require the attention or further guidance of the Board.

35. ► **Action:** The Board may wish to interact with the registered observers.

*Background:* In accordance with the “Procedure: Direct communication with stakeholders”, the Board shall allocate a time slot for interaction with registered observers to the meeting. Such interactions should be limited to discussion on policy and general issues, and not on case-specific matters relating to the agenda items of that meeting.

## Agenda item 6. Other matters

36. ► **Action:** The Board may wish to agree on its final calendar of meetings for 2017.

*Background:* The Board, at its ninety-second meeting, agreed to its tentative calendar of meetings for 2017.

37. ► **Action:** The Board may wish to consider any other business it deems necessary.

## Agenda item 7. Conclusion of the meeting

38. ► **Action:** The Board may wish to approve the report of the ninety-third meeting.

*Background:* The Chair will summarize the meeting and adopt the report, including references to any decisions taken.

## Annexes to the annotations

- Annex 1 - Concept note: Share of proceeds to cover administrative expenses
- Annex 2 - Concept note: Increasing the voluntary cancellation of certified emission reductions
- Annex 3 - Concept note: Technical support for the online platform for voluntary cancellation of certified emission reductions
- Annex 4 - Concept note: Improving the user-friendliness of the UNFCCC CDM public website
- Annex 5 - Concept note: Structure of the methodologies-related expert groups under the CDM Executive Board
- Annex 6 - Draft CDM Executive Board workplan 2017
- Annex 7 - Draft workplans for panels and working groups 2017
- Annex 8 - Concept note: Performance assessments and inactive DOEs
- Annex 9 - Revision of CDM project standard, validation and verification standard, and project cycle procedure
- Annex 10 - Draft Standard: Sampling and surveys for CDM project activities and programmes of activities
- Annex 11 - Draft Glossary: CDM terms

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### Document information

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