

Evidences for starting date and CDM consideration

Email Communications

Number(※)	Name of documents	No. of pages
/20a/	E-mail communications record of World Vision Australia (WVA) (Sept. 13, 2004, Sept. 22, 2004, May 23, 2005)	5
/20b/	E-mail communication in World Bank (Oct. 18, 2005, Oct. 24, 2005)	4
/20c/	E-mail communication between WVA and the World Bank (December 1, 2006)	3

E-mail 20a shows the idea of the project initiation among World Vision Australia.

E-mail 20b shows that the project is adopted as World bank project.

E-mail 20c shows the Project start.

(※) Reference number of Validation report

"Paul Dettmann"
<paul.dettmann@bigpond.com>

13/09/2004 08:59 PM

To: "Tony Rinaudo"
<tony.rinaudo@worldvision.com.au>
cc:
Subject: RE: Assessment visit to
Ethiopia.

To: "Tony Rinaudo"
<tony.rinaudo@worldvision.com.au>, "John McKenzie"
<john.mckenzie@worldvision.com.au>
cc:
Subject: RE: Assessment visit to
Ethiopia.

Tony,

Thanks for your note, and the article. John Petheram was a bit of an inspiration to me at College, though he wouldn't know me.

My feeling is that it may be best to aim for next year. This will give us time to assess the issue regarding Kyoto ratification, and get the stuff we need together.

However - on the other hand - the week in October sounds great. I can sometimes be a little too careful and not get on with it. The benefits of going now are we begin the process while the iron is still hot, but the drawback is we may miss some opportunities, or perhaps not get the best value out of the trip. I can certainly make that week work, 1 week will be manageable but 2 weeks may be a little bit harder. When in next year would you envisage visiting?

The issues regarding time frames and community capacity are understandable. As far as I can see, we will assess the project's feasibility, get some reliable data using an approved methodology, generate a reasonable map, etc. We will unearth any real problems if they exist, and generally have a firmer foundation upon which to prepare an application.

I will talk to you soon.

Regards,

Paul

"Paul Dettmann" <paul.dettmann@bigpond.com>

13/09/2004 10:12 AM

Tony and John,

There are a lot of issues in the last few emails, (I have been out of the office for the past few days). From October how long are you overseas Tony?

The current plan is to leave 9th October and return 24th - so two weeks all together. However, I have commitments in Ethiopia the first week and the second week I am in Chad. If it were to work out for you to travel, I

wonder if it wouldn't be better for me to extend for a week and to meet in Ethiopia from the 25th - 30th October?

Do we have to fly via Europe, if so would it also be possible to see Bernhard and Elisabeth? I could possibly make the dates work, though my current project finishes 25th of November, and there is a lot of work to do between now and then.

I go with Emirates via Dubai, so don't go through Europe. If this is too pressed since you are in the middle of a contract, should we aim for next year?

Another issue is the Kyoto ratification. I sent an email to the Ethiopian contact to the IPCC and questioned this, but have not yet received a reply. If there is no chance of ratification (this seems unlikely since the UNFCCC was ratified this year) then it may be work redeveloping the project in another country, and waiting.

If its in another country, another program officer will need to see the potential and run with it. I think I would like to give Ethiopia our best shot right now. However, if that doesn't work out several other POs have volunteered their countries.

In terms of time frames, I've probably dropped hints along the way that we also need to keep the community in mind and get them on board. This can take time. Its not like having a bare paddock to plant out where all you need is a plan, targets, labour, equipment and funds. We are going into long standing and complex socio/political/economic environment. Some government forestry approaches will have to change and people will need to agree to change some practices and adopt others. These things take time.

Paul Woods has done some excellent work for his Phd thesis on creating an 'enabling environment' for adoption of forestry innovations. Basically, if certain criteria are met, forestry initiatives will take off spontaneously. I want to be very careful in applying for these funds to avoid dictating to the communities what they have to do, and I wonder if this flexibility can be built into the proposal? This is important as, if we want to spur a 'people movement' which can spread beyond the project arena, we need to focus on giving people choices and on creating the conditions necessary for them to make decisions and act on them.

I think natural regeneration has a good chance of taking off, but it could be that people want to put some of their energy into planting eucalyptus - these trees are very profitable and popular and the technology is known / or they may want to do something else quite different. All I am saying is that we need to be able to listen and respond and we may not have as much control over the end result as we think we should have. We should pick this up over the phone.

On the issue of insurance, I don't have all those policies - I can investigate, but got a quote for PI insurance earlier this year and it was \$6500/annum.

Thanks,

Paul.

-----Original Message-----

From: John McKenzie [mailto:john.mckenzie@worldvision.com.au]
Sent: Thursday, 9 September 2004 3:34 PM
To: Tony Rinaudo
Cc: paul.dettmann@bigpond.com
Subject: Re: Assessment visit to Ethiopia.

Paul / Tony,
Yes WVA travel insurance would cover Paul, to assist this it would be preferable if the ticket purchase was done by the WV Travel agent. Another feature of the contract is requirement ...

for contractor to acknowledges his/her responsibility to take out, keep current and allow WVA to inspect, on request, the following insurances:
(i) 'Personal Disability and Accident' insurance in an adequate amount according to industry practice; and
(ii) 'Workers Compensation (Work Cover) insurance where required by any applicable law;
(iii) Professional Indemnity Insurance

This clause often causes difficulty, often a person we want to employ is not a formal consultant, does not have all these insurances in place, if this is the case with you Paul, we should talk and see how we can resolve it. I'm sure we'll have some ideas.

all the best John

Tony Rinaudo

To:

[<paul.dettmann@bigpond.com>](mailto:paul.dettmann@bigpond.com)

09/09/2004 02:14 cc: John

McKenzie/AUSO/WorldVision@WorldVision

PM

Subject: Assessment visit to Ethiopia.

Dear Paul,
just a quick note to update you.

1. there is a good possibility that WVA could fund your visit to Ethiopia to assess the local situation and to answer the questions I sent you

earlier.

2. I am planning to go to Ethiopia on the 9th October. Next week I will be talking to my team leader about what I hope to achieve and if I can fit in going to Humbo with you as well with you. Should this work out, would you be available then? I'm thinking it may be necessary to even leave a few days earlier to fit everything in.

3. I am working on a TOR / contract, but just to give you warning, you would need to provide a police check to comply with WVs child protection policy. In terms of insurance, I think once I have a contract you would be covered by WV - is this correct John?

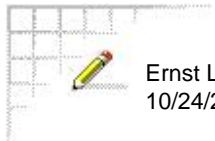
On a different note, I'm concerned that we follow a consultative, development model. This means, even though we are supplying a PIN to Austria, we need to walk through this with the community, WVE and the authorities and listen to them before we accept any funding. While I'm excited about natural regeneration - we need to get the communities and forestry depts. sign off. They may have very different and equally valid ideas. At the least, they need time to internalize natural regeneration as something they want - it can't be dictated by us or by a very wealthy donor.

Also, the thought of so much money potentially flooding into the community scares me. I would like to have agreed on guidelines with WVE, community and local government on how funds are spent before we go too far. I am particularly keen that the actual forestry work not be directly paid for, as the trees themselves can provide much financial gain and also I am concerned about inhibiting the spontaneous spread of natural regeneration, which in reality doesn't need outside funding to any large degree. As already stated, I would like to see the bulk of the funds benefiting the community as a whole with things the community or government can't do themselves - eg. schools, clinics, supports for food security, especially training in sustainable organic farming methods and market development etc. But these things, good as they are need to be done in a consultative and participative manner.

Regards,

Tony Rinaudo,
Program Officer,
Africa, Middle East and Eastern Europe team.
World Vision Australia.
Phone: 613 9287 2309
Fax: 613 9287 2377.
Note new email address: tony.rinaudo@worldvision.com.au

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Ernst Lutz
10/24/2005 09:28 AM

Extn: 31043 AFTS2
Subject: Peer Review of PCN for Ethiopia Reforestation Carbon Project
File to IRIS Status: Submitted for processing into IRIS

Dear Guiseppe:

The Carbon Committee has given a green light to preparation of this Carbon Project.

I know that for standard PCN reviews the peer reviewers must be from outside the Department. But for a small, novel operation like this one, the requirements are less strict, I believe.

Would you, by chance, have time to undertake a review, e.g. by November 4. We gladly would offer up to 8 hours of charge time from the Carbon Fund if that helped in any way [CN-P098428-LEN-BBCBF].

If it was not possible for you to do a review, we would still very much welcome your advice on:

1. Whether regeneration (by keeping cattle from entering the area) can be expected to be sufficient, or whether enrichment planting should be envisaged from the outset.
2. For any enrichment planting should one stick with local varieties or may others (e.g. eucalyptus) be used?
3. Would you have CVs or contact info of any Ethiopian foresters that we could consider as consultants.

Thanks for your consideration, and best regards,

Ernst

The draft PCN and ISDS as well as the proposal by the project proponent are attached.



PCN Humbo Reforestation Carbon Project (Oct.20, 2005).doc

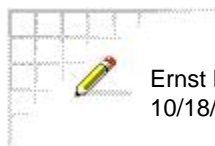


Humbo ISDS PCN Stage 200ct05-clean.doc



CFD Humbo Ethiopia revised 14-10-05.pdf

To: Giuseppe Topa
cc: Christian Albert Peter
Andre Aasrud



Ernst Lutz
10/18/2005 11:08 AM

Extn: 31043 AFTS2

Subject: Confirmation of Agreement to include Proposed Carbon Finance (CF) Operation Ethiopia: Humbo Assisted Regeneration in the WPA between the Carbon Finance Business (CFB) and the Regional Managing Unit

File to IRIS Status: Submitted for processing into IRIS

FYI.

----- Forwarded by Andre Aasrud/Person/World Bank on 09/20/2005 09:16 AM -----

Ishac Diwan

09/17/2005 08:25 AM
5358+301 AFC06

To Odin K. Knudsen
cc Andre Aasrud, Benoit Bosquet, Chandra Shekhar Sinha, Christophe Crepin, ENVCF Management Team, James Warren Evans, Jill Armstrong, John McIntire, Karen Mcconnell Brooks, Konstantinos A. Krouskas, Laura Bruce, Mahmood A. Ayub, Maria-Isabel Alegre, Nils O. Tcheyan, Nuyi Tao, Perpetual A. Boateng, Rajani Padmanabhan, Thomas A. Duvall, Yoshitaka Hagiwara
Subject Re: Confirmation of Agreement to include Proposed Carbon Finance (CF) Operation Ethiopia: Humbo Assisted Regeneration in the WPA between the Carbon Finance Business (CFB) and the Regional Managing Unit 📎

Odin
I concurr, gladly
thanks
ishac

Ishac Diwan, Country Director for Ethiopia & Sudan
Tel: (251-1) 17 60 01; Dama: 5358+301; Fax: (251-1) 627717
Cell: (Addis) - (251-9) 20 15 27; Cell (International) - 00 44 7709 415 219
<http://www.worldbank.org/ethiopia> <http://www.worldbank.org/sudan>
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OFFICE MEMORANDUM

DATE: 09/13/2005 12:10 AM
FROM: Odin K. Knudsen, ENVCF
EXTN: 85118
Sent by: Perpetual A. Boateng
TO: Ishac Diwan, Karen Mcconnell Brooks
SUBJECT: Confirmation of Agreement to include Proposed Carbon Finance (CF) Operation Ethiopia: Humbo Assisted Regeneration in the WPA between the Carbon Finance Business (CFB) and the Regional Managing Unit

I propose that ***Ethiopia: Humbo Assisted Regeneration*** be included as a potential project for the BioCarbon Fund (BioCF) portfolio. The project proposal meets the carbon finance suitability requirements mandated by the Bank and the selection criteria of the BioCF. I am attaching for your review the Carbon Finance Document (CFD) that has been submitted to the Carbon Finance Business

(CFB). Following your review of the proposed operation, please confirm your agreement to include this CF operation as a stand alone project in the Work Program Agreement between the CFB and your unit.

Upon your agreement, we will submit the CFD to the Fund Management Committee and the Participants of the BioCarbon Fund for further examination. The Participants will then decide whether or not to include the project in their fund's portfolio. Once the Participants approve the project, we will start discussions with the Task Team Leader (TTL), designated by you, on the estimated budget requirements for this project, and the TTL will make the Activity Initiation Summary (AIS) in SAP to obtain project preparation financing if the AIS has not been made yet. The TTL will inform the Project Sponsor that the project has been approved for further preparation, and ensure that the proposed CF operation is in full compliance with relevant Bank policies and guidelines, particularly the Environmental/Social Safeguards and Disclosure policies. The TTL will prepare the required Bank project documentation and organize internal Bank reviews and clearances.

The CFB Deal Manager for this operation is Andre Aasrud. The Deal Manager will work with the designated TTL and the Legal Counsel as part of an integrated team to deliver a signed Emission Reductions Purchase Agreement as soon as feasible. The Deal Manager will take the lead in preparing the project documentation required by the rules governing the creation of compliance-grade carbon assets in the framework of the Clean Development Mechanism of the Kyoto Protocol.

Please indicate your concurrence with the inclusion of the project in the WPA between the CFB and your unit by approving this Memorandum (as indicated below) and returning it to me by **COB September 26, 2011 concurr, 05**.

We look forward to working with you on this project.

Approved by:

Ishac Diwan, Country Director

Karen Mcconnell Brooks, Sector Manager

Task Team Leader:

Date of Approval:



CFD Humbo Ethiopia 07-12-05.doc

CC: jill armstrong
john mcintire
nils o. tcheyan
mahmood a. ayub
james warren evans
thomas a. duvall
christophe crepin
chandra shekhar sinha
benoit bosquet
andre aasrud
nuyi tao
yoshitaka hagiwara
laura bruce
maria-isabel alegre
rajani padmanabhan
konstantinos a. krouskas
envcf management team

To: Ernst Lutz

12/01/2006
To 02:04 PM Assefa_Tofu@wvi.org
85051 ENVCF
cc KWaffo@wvi.org,
paul.dettmann@bigpond.com, Ernst Lutz
Subject Re: Commencing implementation of
Andre Humbo/Sodo FMNR (Document link:
Aasrud)

Dear Assefa,

Yes, I can confirm that project implementation can commence. This is of course under the assumption that we will be able to finalize the PDD and sign an Emission Reductions Purchase Agreement (ERPA), which we feel confident about at this stage, and that the baseline data collection has now been finalized and is acceptable per Paul's requirements/comments.

Please share with us the a copy of the document providing user rights for the demarcated areas to be provided to the cooperatives as soon as this is available, even if the document does not have the signature of the regional authority yet.

Kind regards,
Andre

Andre Aasrud
Carbon Finance Unit
The World Bank, Washington DC
Tel: +(1) 202-458-5051
www.carbonfinance.org

Assefa_Tofu
12/01/2006
To 03:55 AM paul.dettmann@bigpond.com,
aaasrud@worldbank.org
cc

KWaffo@wvi.org

Subject

Commencing implementation of

Humbo/Sodo

FMNR

Dear carbon project team,

Respectful and heartily greetings.

As you all know we all have been steadily processing to finalize the PDD and subsequent agreement so as to commence implementation of FMNR project.

Yesterday we got good news from Mr. Tony Rinaudo which was "Paul spoke to Andre - good news. FMNR can begin! I am very excited about this as now the communities will really engage and see just how fast they will see tangible benefits. You will also see how quickly the areas will no longer need to be enclosed!". The news is really good news for us because of many concerns such as meeting the community & local government urgency to start, reducing cost of implementation and shorten the anticipated benefit acquiring duration. Likewise we need to quickly catch up the upcoming rainy season to run nurseries for refill planting and it would be excellent if we begin closing project sites. This is because if we escape this season, we will be forced to wait another year for full fledged commencement.

However, we still fill to get the go ahead from our partner, WB so as to make sure we all are in the same page. This don't mean not trusting Tony; rather looking to reconfirm. In fact from WVE side we almost through with so far required. The case of user right is already obtained from respective woreds and we are looking to get the regional authority for his signature; and the base line biomass estimation is over and sent to Paul except some additional data required.

Finally, Dr. Andre, would you confirm the commencement? If so, there wouldn't be any reverify the base line data, though we are technically very confident in

reference to Source Book.

Bless you all