



**CLEAN DEVELOPMENT MECHANISM
PROJECT DESIGN DOCUMENT FORM (CDM-PDD)
Version 03 - in effect as of: 28 July 2006**

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**SECTION A. General description of project activity****A.1. Title of the project activity:**

Incheon Metro Line 2
Version 1.2
21/06/2012

A.2. Description of the project activity:

The Seoul National Capital Area (SNCA) is taken as project area. The SNCA is technically distinct from the Seoul Metropolitan Area (SMA), as the former is a fixed entity, while the latter refers to places currently considered under the economic, industrial and cultural influence of Seoul. This corresponds to the Eurostat, the European Union's statistical agency, concept of Larger Urban Zone (LUZ) with a concept known as the "functional urban region." Incheon forms part of SNCA. This is the area in which trip originations and trip destinations are located. SNCA has a population of around 25 million inhabitants¹.

The Mass Rapid Transit System (MRTS) proposed is basically an underground metro of medium capacity (up to 30,000 passengers per hour and direction²) with a length of 29 km and 27 stations expecting to transport in the first operational year around 84 million passengers. Construction of the metro started 09/06/2009 and the operational start is expected for end 2014. The project is the 2nd MRTS line in Incheon. Line 1 started construction July 1993 and was operational by October 1999³.

The owner of the system is the Incheon Metropolitan City. The MRTS is constructed by the Incheon Urban Railroad Construction Headquarters (IURCH) which is specialized in the construction of rail systems in Incheon in accordance with the Urban Railway Act. IURCH is an entity of Incheon Metropolitan City⁴. IURCH has been designated by the Incheon Metropolitan City as the Project Participant. Incheon Metro is the MRTS operator. Incheon Metro is a Public Corporation established by Incheon Metropolitan City⁵.

The geographical boundary of the project is SNCA. Gases included are CO₂ and CH₄.

The **baseline situation** is a continuation of traditional modes of transport including buses, taxis, private cars, motorcycles and bicycles. As of 2010 Incheon metropolitan area had around 720,000 vehicles including around 649,000 passenger cars, 14,000 taxis, 57,000 motorcycles and 2,300 buses⁶. In absence of the project the passengers move from their trip origination to their trip destination by buses, by taxis, by passenger cars, by the existing lines of metro and by NMT (Non Motorized Transport). In the baseline situation these modes of transport would continue to operate and transport passengers from their trip origin to their trip destination. The baseline scenario is comparable to the situation prior to the project. The baseline scenario however incorporates technological advancements

¹ <http://www.city-infos.com/seoul/>

² File 14

³ File 15

⁴ File 16

⁵ File 21

⁶ File 17



in terms of emissions per distance driven of various modes of transport as well as eventual fuel changes of baseline modes of transport during the project activity.

In the **project situation** the metro complements other modes of transport and replaces partially trips made by conventional or traditional means of transit by metro, being a more efficient, faster, safer and more reliable transport means.

Emission reductions are achieved through reducing GHG emissions per passenger-kilometre, comparing conventional modes of transport with metro. The metro has as main environmental aspect that the resource efficiency of transporting passengers in Incheon including the National Capital Area is improved i.e. emissions per passenger kilometre are reduced compared to the situation without project. This is realized through following changes:

- Improved efficiency: metro has lower GHG emissions per passenger-kilometre compared to other modes of transport used in absence of the project.
- Mode switching: The MRTS is more attractive to clients due to reduced transport times, increased safety and reliability. It can thus attract private car, taxi or bus users with higher emission rates to switch to MRTS.
- Load increase or change in occupancy: The MRTS has a centrally managed organisation dispatching trains not available in the current bus based mass transit system. The occupancy rate of vehicles can thus be increased due to organizational measures.

Environmental improvements are achieved through less GHG and other air pollutant emissions, specifically particle matter, SO₂ and NO_x. This is achieved through a more efficient transport system and through using electricity as energy source. See section D for major details.

The **social impact** of metro is basically improved social wellbeing as a result of less time lost in congestion, less respiratory diseases due to less particle matter pollution, and fewer accidents per passenger transported.

Expected is also an improved **economic performance** of the city basically due to less congestion and due to having a modern public transit system with its corresponding positive image. A monetary quantification of these benefits is complex and prone to discussions as developments of the same parameters also take place in absence of the project and a monetization of non-market traded benefits (e.g. estimation of the value of time savings) can lead to differing results depending on the approach used – nevertheless it is clear in a qualitative sense that the project contributes to economic benefits. Latter are basically public goods and cannot be captured effectively by metro through ticket charges as benefits are accrued by users as well as non-users of metro.

The project reduces on average 48,064 tCO₂ per annum in the crediting period.

**A.3. Project participants:**

Name of Party involved (*) ((host) indicates a host Party)	Private and/or public entity(ies) project participants (*) (as applicable)	Kindly indicate if the Party involved wishes to be considered as project participant (Yes/No)
Republic of Korea (host)	Incheon Urban Railroad Construction Headquarters (IURCH) (public entity)	No
Republic of Korea (host)	South Pacific Inc. (private entity)	No
Switzerland	Grütter Consulting AG (private entity)	No

A.4. Technical description of the project activity:**A.4.1. Location of the project activity:****A.4.1.1. Host Party(ies):**

Republic of Korea

A.4.1.2. Region/State/Province etc.:

Incheon

A.4.1.3. City/Town/Community etc.:

Incheon

A.4.1.4. Details of physical location, including information allowing the unique identification of this project activity (maximum one page):

The spatial extent of the project is, according to the methodology, the Larger Urban Zone (LUZ). The Seoul National Capital Area (SNCA) is taken as spatial extent of the project. SNCA refers to places currently considered under the economic, industrial and cultural influence of Seoul. This corresponds to the Eurostat, the European Union's statistical agency, concept of Larger Urban Zone (LUZ) with a concept known as the "functional urban region." Incheon forms part of SNCA.. The spatial area includes the trip origins and destinations of passengers using the MRTS project line. The origins or destinations of these trips are not only located in Incheon Metropolitan City due not least to connecting metro lines with Seoul. The geographical coordinates of Incheon are 37°32' North and 126°40' East equivalent to Latitude 37.53 and Longitude 126.67.

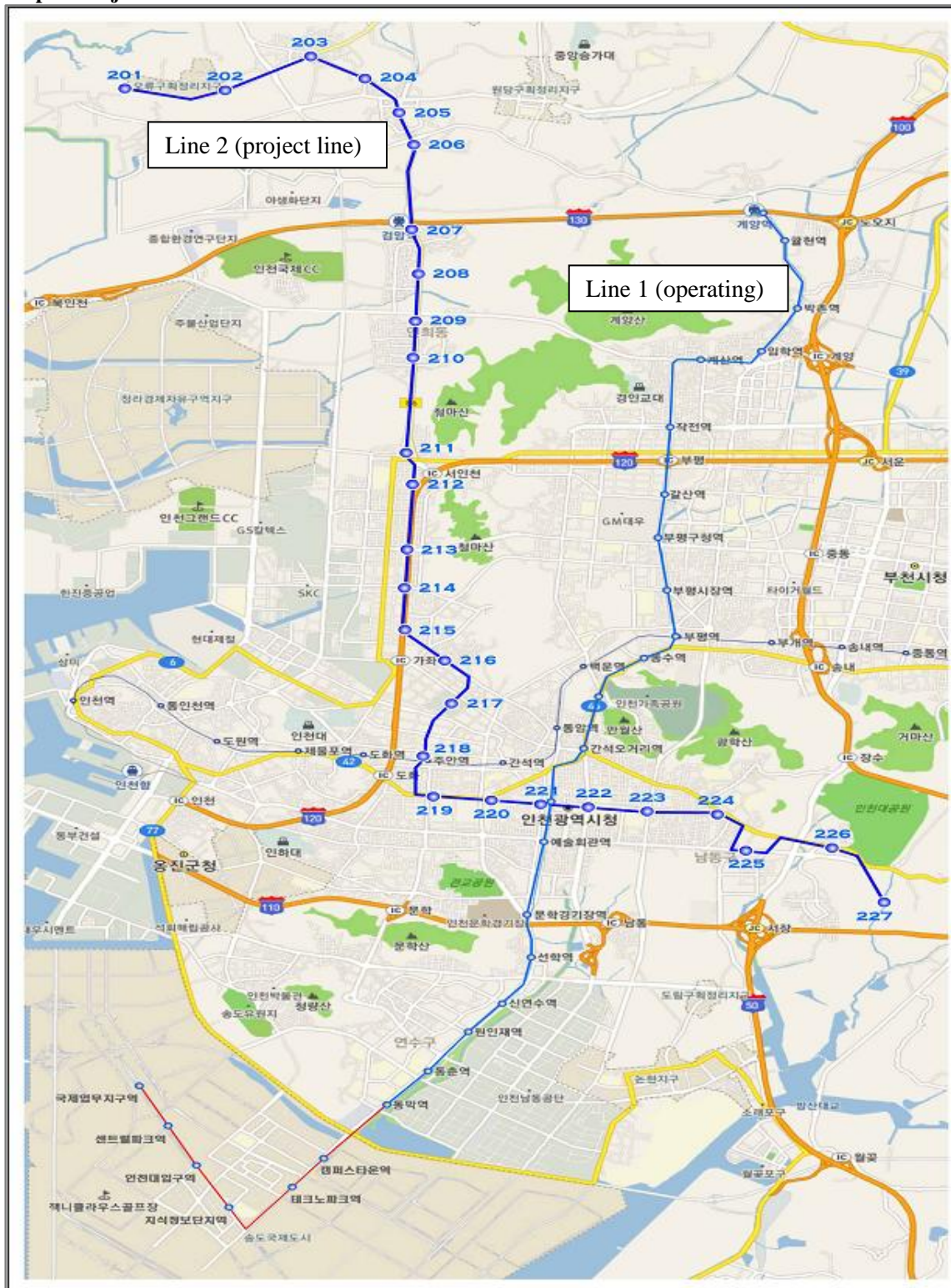


Map 1: Project Location

Source: www.geology.com

Map 2 shows the project metro line.

Map 2: Project MRTS Line



Source: File 18

**A.4.2. Category(ies) of project activity:**

Sectoral scope 7: Transport as listed in the sectoral scopes for accreditation of the operational entities

A.4.3. Technology to be employed by the project activity:

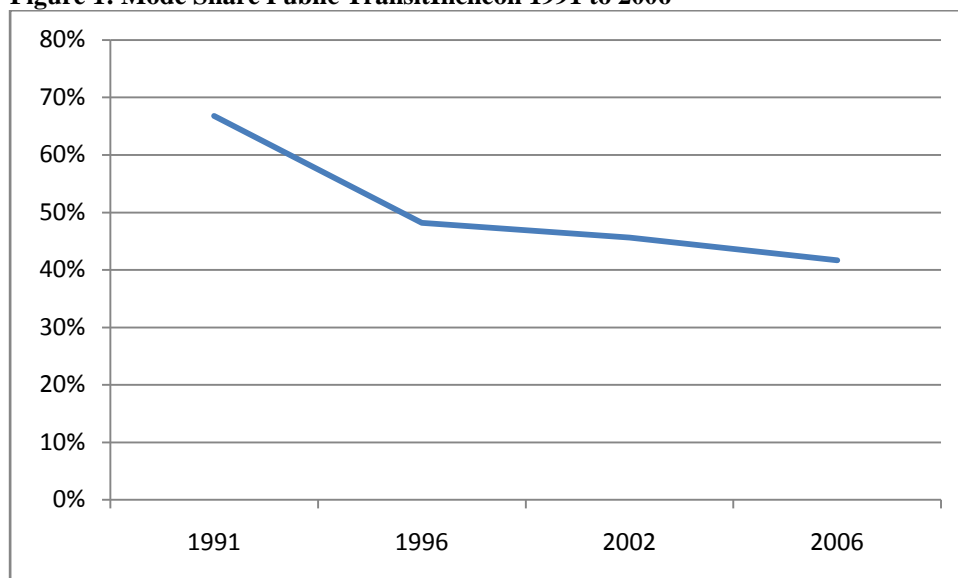
To compare the pre-project with the project situation a description of the pre-project situation as well as of main features of the project is made.

Pre-Project Situation

In absence of the project the passengers move from their trip origination to their trip destination by buses, by taxis, by passenger cars, by motorcycles, by the existing MRTS lines and by NMT (Non Motorized Transport). The CDM project replaces partially these trips by using, at least for part of the trip, a more efficient, faster, safer and more reliable transport means. The baseline scenario is comparable to the situation prior the project. The baseline scenario however incorporates technological advancements in terms of emissions per distance driven of various modes of transport as well as eventual fuel changes of baseline modes of transport during the project activity.

Between 1991 and 2006 last available year) years Incheon has suffered a sharp decrease in the mode share of public transit declining from 67% in 1991 to 42% in 2006 i.e. a drop of 25 percentage points in just 15 years (see figure below). At the same time the passenger car has become the dominant mode of motorized transit with a share of 49% in the year 2006. This is not surprising considering the annual growth rate of cars of nearly 8% between 1995 and the year 2000 and more than 4% since the year 2000⁷.

Figure 1: Mode Share Public Transit Incheon 1991 to 2006⁸



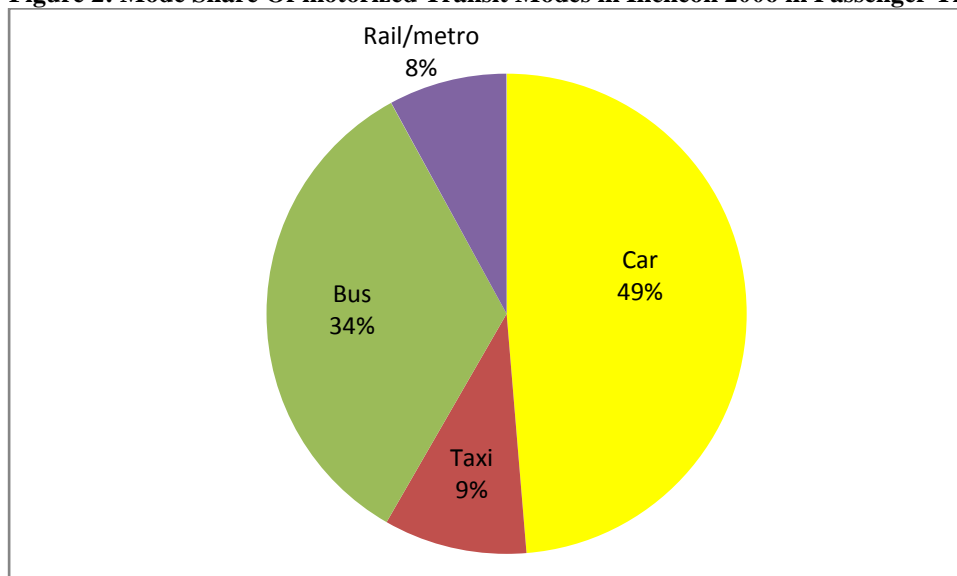
Source: File 19

⁷ File 20, chapter 1.2.3. table 2.5

⁸ excludes non-motorized as well as non-identified other modes

The following figure shows the mode distribution in Incheon as of the year 2006.

Figure 2: Mode Share Of motorized Transit Modes in Incheon 2006 in Passenger Trips⁹



Source: File 19

The dominant mean of public transit remains buses with a mode share of 34% while metro has only a mode share of 8%.

The loss of mode share of public transit shows that the baseline development and common practice in how transit trips are made in Incheon is clearly the private car with an increasing trend. This provokes increasing emissions from traffic. The project activity tries to offer more attractive public transit services to stop or even reverse this trend. The goal is thereby that metro achieves 45% of public transit once the 3 lines are established (a 3rd line is planned for the future)¹⁰.

Project System

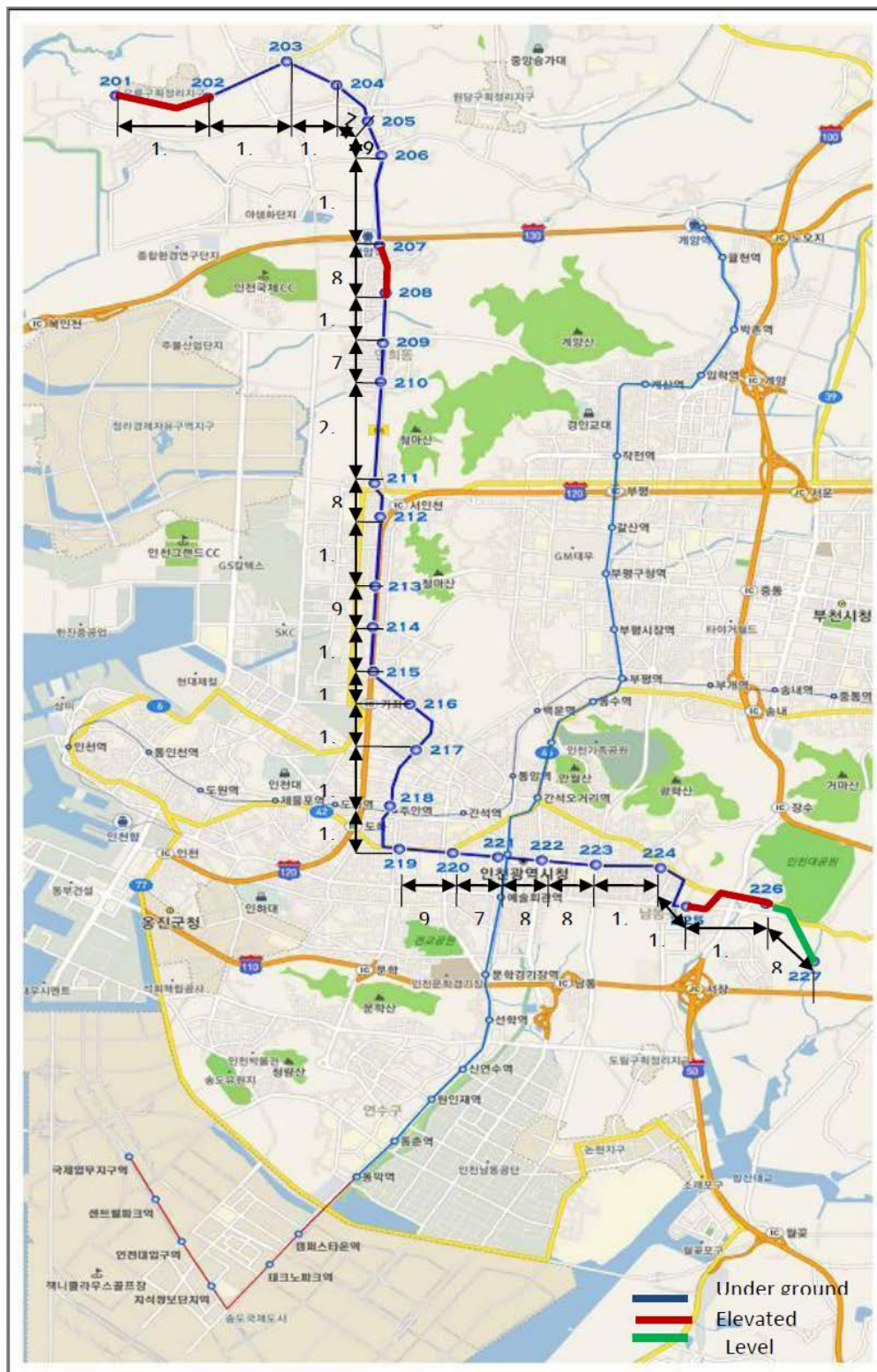
The initial length of the project metro track is 29 km, with 27 stations. The metro is 22.4 km with 21 stations underground, 5.5 km with 5 stations elevated and 0.8 km with 1 station at level (see following map)¹¹.

⁹ Excludes non-motorized as well as non-identified other modes

¹⁰ See <http://www.incheonmetro.co.kr/eng/irtc/info.asp>; The future Line 3 is planned to be a circular line with a length of around 39km of the Incheon Subway. Detailed planning has not yet started.

¹¹ File 44

Map 3: Metro Line 2



Source: File 44 and Incheon Transport Corporation

Metro Line 2 has a passenger transport capacity of up to 30,000 phd (passenger per hour and direction)¹². The train arrangement is 2 carriages and the project has ordered 74 carriages with a maximum operating speed of 80km/h. Trains have regenerative braking systems installed¹³. The following table shows some salient features of the metro.

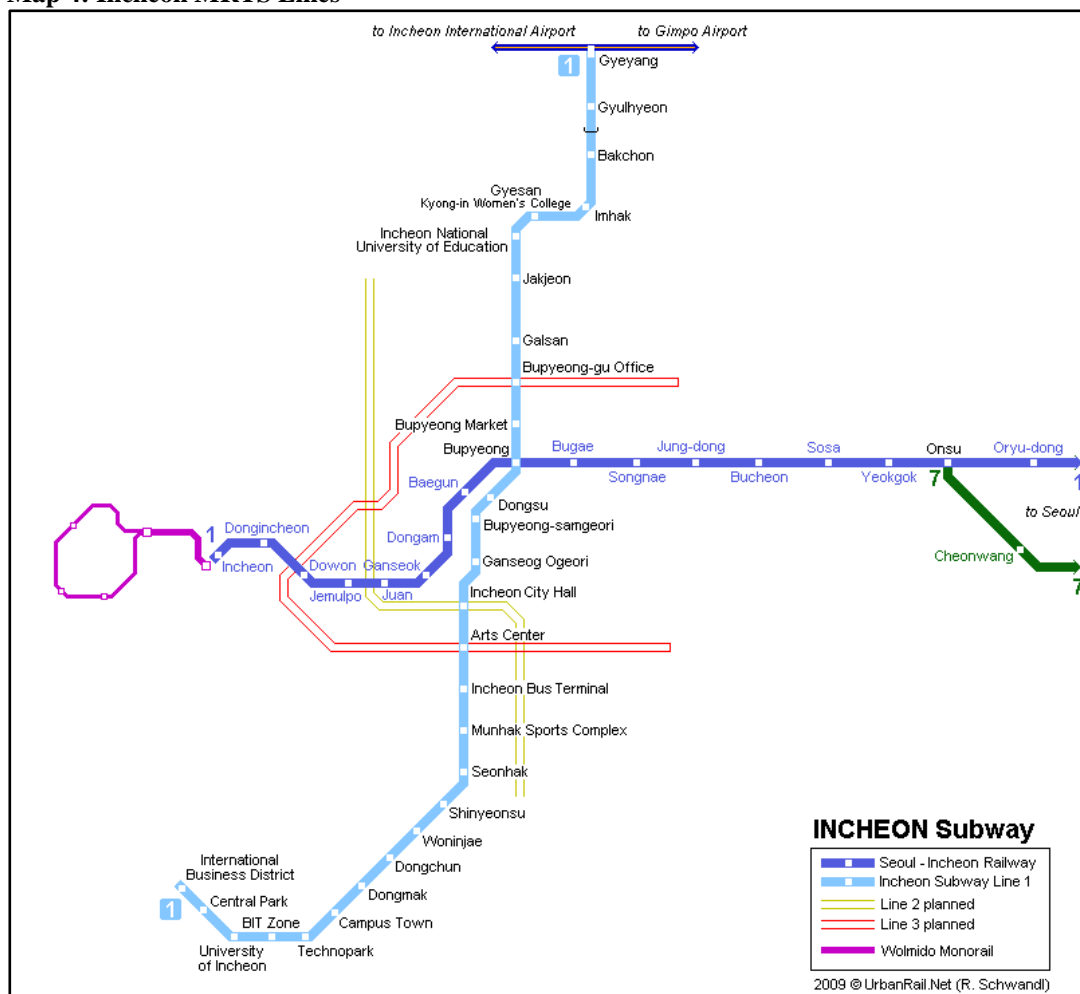
Table 1: Features of Metro

Feature	Data
Main line Tracks	1,435 mm
Train capacity	100-130 passengers per carriage
Headway	Minimum 2.8 minutes
Maximum operational speed	80 km/h

Source: File 45

Map 2 in section A.4.1.4.shows the existing metro line and the project line 2. The map below shows all metropolitan metro lines connected or in the area of the project line.

Map 4: Incheon MRTS Lines



Source: UrbanRail.net

¹² File 14

¹³File 21



Incheon Metro has put up bicycle racks close to subway stations. The bicycle paths lead to the station to make it easy to get to work or go home avoiding traffic jams¹⁴.

The Total Traffic Control (TTC) Centre is the quintessence of command and control for the safe, fast, and punctual operation of trains. It integrates control of train operation, signal and communications systems, SCADA(Supervisory Control and Data Acquisition) system, machinery and facilities, as well as passengers on platforms.

If the TTC detects any malfunction via one of the CCTVs monitoring key points on the line, it can stop trains immediately. In constant communication with engineer, station, repair and signal personnel, the TTC provides for optimal operation of trains with maximum passenger safety. Incheon Metro's system is constantly sending information about track conditions, next station, destination and others related to safe train operation to prevent a train operator from making mistake by misjudge. The signal system is performing this role, sending data only when two of three outputs match.

A 22.9KV line from Korea Electric Power Corporation's substation is transmitted to the Incheon Metro substations. In case of a breakdown at one of Incheon Metros substations, nearby substations supply power so that operations are not halted. All substations are watched by the TTC through remote-controlled SCADA, enabling safe and fast control of power. As a further precaution the UPS installed in every station allows for enough emergency lighting for passengers to escape to safety in case of a breakdown.

Incheon Metro has adopted full automatic train operation (ATO). All information involved in train operation is transmitted to the train computer, which determines the optimal speed and distance. As this secures safety even with a short distance between the trains, trains can be run at short intervals during rush hours. The Automatic Train Protection Device controls train operation directly like train speed, stop, device control and auto control. This ensures that trains keep certain distance among them and issue alarm when two trains approach abnormally close or even when trivial operational failure occurs.

The network of the communications system plays the part of the central nervous system. All digital data about electricity, signal and security devices, control system, and other facilities are delivered by Digital optical fibre Transmission System. Wireless devices connect the TTC and trains, train drivers to train drivers, and wireless stations to wired phone subscribers. In an emergency, the system enables direct contact between the command operator in the TTC and the train driver and passengers.

Automatic Station Managing Facilities consists of Automatic Ticker Vending Machine, from which passengers purchase tickets with coins and bills, and Ticket Office Machines that sell various tickets by the station staff. Passengers who either purchase the ticket from the automatic ticket vending machine or from the Ticket Office Machine or possess an RF card may move forward after striking a balance for the destination at the Automatic Gate Machine. All data related to ticket and RF card sales, balance, and recharge is relayed to the station monitoring system, so as to be monitored and controlled. Also the system delivers the necessary data (accounting data and malfunction information) to the managed station computer and central computer, which in turn, enables the automatic station management facilities to function efficiently.

The project does not involve technology transfer.

¹⁴See for this and the following paragraphs <http://www.incheonmetro.co.kr/eng/irtc/info.asp>

**A.4.4. Estimated amount of emission reductions over the chosen crediting period:**

Years	Annual estimation of emission reductions in tCO _{2eq}
2015	45,219
2016	47,618
2017	49,944
2018	49,367
2019	48,706
2020	48,129
2021	47,467
Total estimated reductions 1st crediting period (tonnes of CO_{2eq})	336,450
Total number of crediting years (1 st crediting period)	7
Annual average over the crediting period of estimated reductions (tCO_{2eq})	48,064

A.4.5. Public funding of the project activity:

The Project activity is privately financed. There is no Official Development Assistance in this project and the project will not receive any public funding from Parties included in Annex I.

SECTION B. Application of a baseline and monitoring methodology**B.1. Title and reference of the approved baseline and monitoring methodology applied to the project activity:**

ACM0016: Baseline Methodology for Mass Rapid Transit Projects; Version 2.0

This methodology also refers to the latest approved version of the following tools:

- “Tool for the demonstration and assessment of additionality”, Version 05.2.1
- “Tool to calculate baseline, project and/or leakage emissions from electricity consumption”, Version 01

B.2. Justification of the choice of the methodology and why it is applicable to the project activity:

This methodology applies to project activities that establish and operate a Mass Rapid Transit System.

Table 2 relates the specific baseline methodology applicability conditions with the proposed project.

Table 2: Applicability Conditions

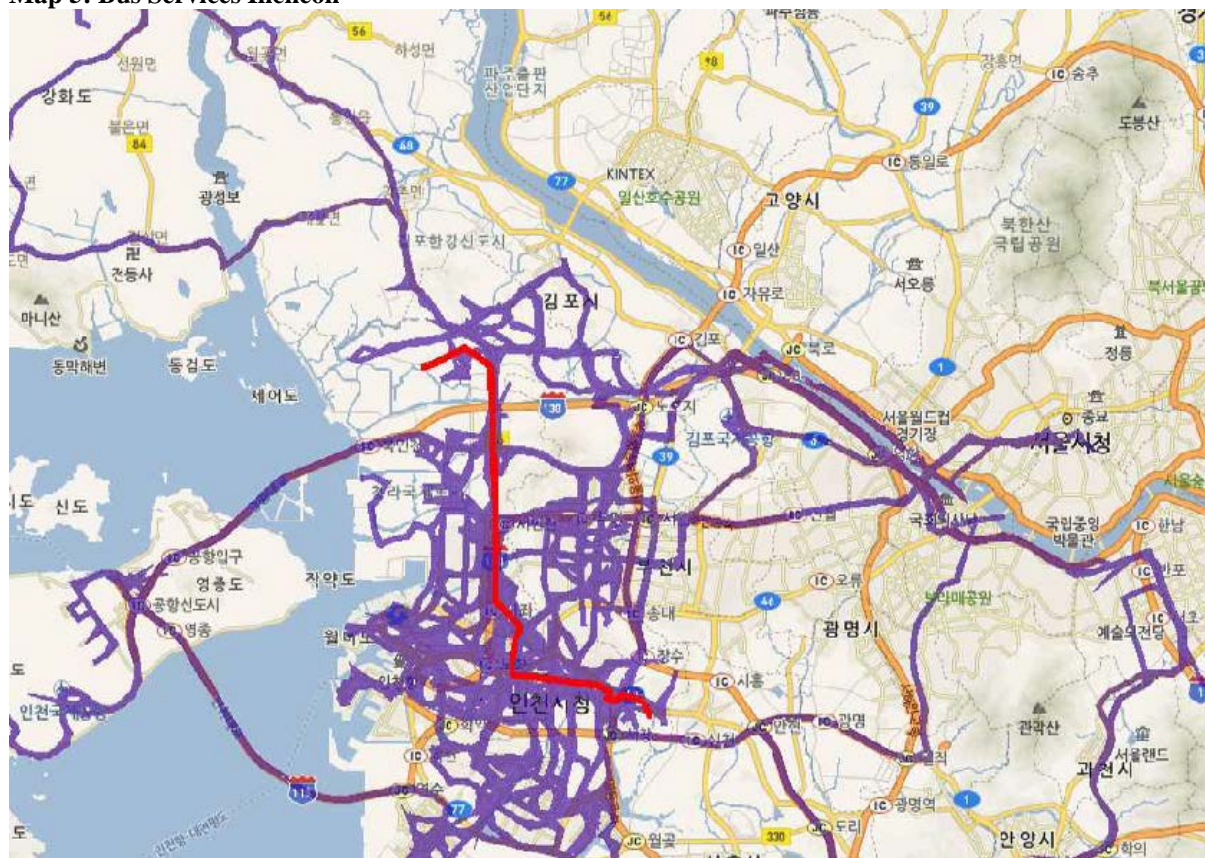
Applicability condition	Project situation
The project constructs a new rail-based infrastructure or segregated bus lanes. In the case of rail systems the project needs to provide new infrastructure (new rail lines). The segregated bus lanes or the rail-based MRTS replace existing bus routes operating under mixed traffic conditions.	The MRTS is new rail-based mass transit system. The rail infrastructure is new. The bus system is re-structured once the metro is operational. Re-structuring during construction and years prior operation makes no sense as bus routes change over time. Bus routes will be changed and re-designed and



	ways to improve intra-bus occupation rate will be identified. A study to define metro-bus connections, changes of bus routes and re-design of affected bus routes is planned within 6 months of project operational start ¹⁵ .
The methodology is not applicable for operational improvements (e.g. new or larger buses) of an already existing and operating bus lane or rail-based MRTS.	The MRTS is a new metro with new infrastructure.
The methodology is not applicable for bus lanes replacing an existing rail-based system.	The MRTS is rail-based and not a bus lane.
The methodology is applicable for passenger transport only.	The MRTS is a passenger transport system
Any fuels including electricity, (liquefied) natural gas and biofuel blends can be used in the baseline or project case. <ul style="list-style-type: none"> In case of natural gas, the methodology is applicable if equal or more natural gas is used in the baseline than in the project case. The methodology is not applicable in its current form if more natural gas is used in the project compared to the baseline case. In the case of biofuels, project buses must use the same biofuel blend (same percentage of biofuel) as commonly used by conventional comparable urban buses in the country. 	Baseline transport fuels are diesel, gasoline and gaseous fuels. No bio-fuels are used in the baseline or project case ¹⁶ . The project uses electricity. Thus more natural gas is used in the baseline than in the project case as passengers switch partially from buses and taxis which use partially gaseous fuels to metro.
The methodology is not applicable for the implementation of air and water-based transport systems.	No air or water-based transport system is implemented. The MRTS is rail based.
The project system partially replaces a traditional public transport system in a given city. The methodology cannot be used in areas where currently no public transport is available.	The MRTS replaces partially traditional bus trips. Public transport is currently available in the project area. Bus routes are existent in the area where the metro line will be established (see map below). Their replacement is discussed in point 1 of the applicability conditions.
The methodology is applicable for urban or suburban trips. It is not applicable for inter-urban transport.	The MRTS is for urban or suburban trips.
The methodology is only applicable if the application of the procedure to identify the baseline scenario results in that a continuation of the current public transport system is the most plausible baseline scenario.	The identified baseline is a continuation of the current urban transit system (see section B.4).

¹⁵ File 22¹⁶ Korea has regulations which promote the usage of biofuels specifically B20 (File 27 and 28) for diesel but on a voluntary base and the market is not (yet) selling this product (File 29). The public transportation system currently uses no biofuel – see confirmation letter File 62.

Map 5: Bus Services Incheon



Source: Incheon Metropolitan City; red marked Project Metro Line

All applicability conditions for using the methodology are thus fulfilled.

B.3. Description of the sources and gases included in the project boundary:

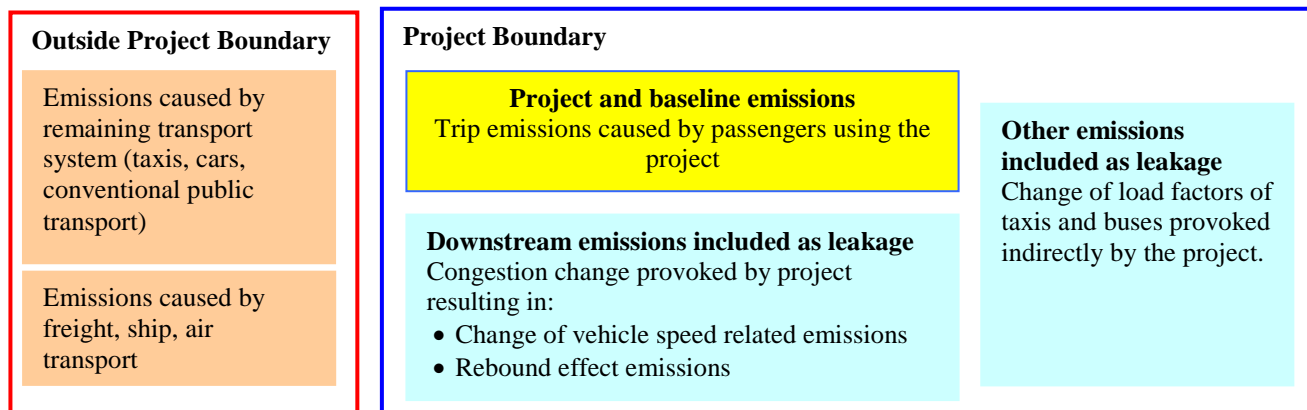
The spatial extent of the project is, according to the methodology, the SNCA. The spatial area includes the trip origins and destinations of passengers using the MRTS project line. The origins or destinations of these trips are not only located in Incheon Metropolitan City due not least to connecting metro lines with Seoul. Therefore the larger spatial extent of the SNCA is taken.

For a map of the metro line see Map 2. The metro will have 27 stations. Station names have not yet been determined.

The project boundary also includes the power plants connected physically to the electricity system that supply power to the project. Please refer to Tool to calculate baseline, project and/or leakage emissions from electricity consumption.

The conceptual project boundary is outlined in Figure 3.

Figure 3: Conceptual Project Boundary



The greenhouse gases included in or excluded from the project boundary are shown in Table 3.

Table 3: Emissions Sources Included in or Excluded from the Project Boundary

Table 3: Emissions Sources Included in or Excluded from the Project Boundary				
Source		Gas	Included?	Justification / Explanation
Baseline	Mobile source emissions of different modes of transport for passengers using MRTS	CO ₂	Yes	Major emission source
		CH ₄	Yes	Included for gaseous fuels used. For liquid fuels vehicle tailpipe CH ₄ emissions are excluded. Combined CH ₄ and N ₂ O emissions make in diesel/gasoline vehicles less than 2% of total CO _{2eq} emissions. Its omission in baseline as well as project emissions is conservative as fuel consumption and thus also CH ₄ emissions are reduced through the project.
		N ₂ O	No	Combined CH ₄ and N ₂ O emissions make in diesel/gasoline vehicles less than 2% of total CO _{2eq} emissions. Its omission in baseline as well as project emissions is conservative as fuel consumption and thus also N ₂ O emissions are reduced through the project
Project activity	Project transport system (MRTS)	CO ₂	Yes	Major source
		CH ₄	No	Not included as MRTS does not use gaseous fuels.
		N ₂ O	No	See argument above.
	Mobile source emissions of different modes of transport for passengers using MRTS from trip origin to MRTS and from MRTS to trip destination	CO ₂	Yes	Major source
		CH ₄	Yes	Included for gaseous fuels used. See argument above.
		N ₂ O	No	See argument above.
Leakage	Emissions due to changes of the	CO ₂	Yes	Major emission source
		CH ₄	Yes	Included for gaseous fuels used. See argument above.



	load factors of taxis and conventional buses; and due to Congestion change (incl. change of vehicle speed and induced traffic (rebound effect))	N ₂ O	No	See argument above.
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B.4. Description of how the baseline scenario is identified and description of the identified baseline scenario:**BASELINE IDENTIFICATION****Step 1: Identification of alternative scenarios to the proposed CDM project activity that are consistent with current laws and regulations**

All options are identified that meet the same requirement as the proposed project activity. Alternatives assessed are public transport systems which are complemented with other modes of transport such as passenger cars, taxis, motorcycles, and non-motorized transport:

- The establishment of a BRT (Bus Rapid Transit);
- The establishment of a different rail-based MRTS;
- The continuation of the current public and individual transport systems, including (future) investments in road based infrastructure if applicable;
- The proposed project activity being implemented at a later date in the future, without being registered as a CDM project activity;
- The project proposal not implemented as a CDM project activity.

Step 2: Assessment of Options

The analysis of options identified in Step 1 is based on the “Tool for the demonstration and assessment of additionality”. All options are consistent with national laws and regulations which do not prohibit a specific form of public transit.

ALTERNATIVE 1: ESTABLISHMENT OF A BRT

Incheon is in the process of establishing a BRT line. The BRT is planned from Incheon Cheongna to Seoul Gangseo¹⁷. This line was selected in the year 2005 as metropolitan BRT business model and shall be completed in the year 2015.

BRTs due to their limited phd capacity are also basically used for secondary lines in large metropolitan cities in which a rail-based MRTS have already been established. Also with Incheon already having a metro line the connectivity is easier if the same system can be used and buses are thereafter used for secondary routes. For the area in which the metro is planned a BRT system is also considered as non-optimal basically due to the required passenger per hour capacity. BRT or bus lane systems have typical carrying capacities of less than 10,000 passengers per hour per direction (phd) –

¹⁷See http://mta.go.kr/english/brt/cg_brt.jsp



see following table for the carrying capacity of numerous BRTs. The median value for all included BRTs is 7,000 phd which gives an indication that BRT are basically used for secondary lines in large metropolitan cities and as main lines in smaller and medium sized cities.

Table 4: BRT Capacity Passenger-Hour Direction¹⁸

BRT Project	Phd
Ahmedabad, India	1,000
Amsterdam, Netherlands	1,000
Bangkok, Thailand	1,000
Beijing, China	4,000
Bogota, Colombia	42,000
Brisbane, Australia	7,000
Bucaramanga, Colombia	10,000
Cali, Colombia	12,000
Cartagena, Colombia	14,000
Changzhou, China	7,000
Dalian, China	6,000
Guadalajara, Mexico	9,000
Guatemala City, Guatemala	12,000
Guangzhou, China	27,000
Hangzhou, China	7,000
Hefei, China	3,000
Jakarta, Indonesia	4,000
Jinan, China	3,000
Kunming, China	4,000
Medellin, Colombia	7,000
Mexico City, Mexico	6,000-15,000 (according to line)
Nagoya, Japan	1,000
Nantes, France	1,000
Pereira, Colombia	7,000
Quito, Ecuador	6,000
Seoul, Korea	7,000
Xiamen, China	8,000
Zaozhuang, China	1,000
Zhengzhou, China	6,000
Median	7,000
Range	1,000 – 42,000

Source: Colombian BRTs based on File 30, charts 3.78 (p. 224); 3.80 (p. 230); 3.92 (p. 237); 3.99 (p. 244); 3.105 (p. 249); 3.112 (p. 258); 3.121 (p. 265); BRT Guadalajara based on File 31; BRT Guatemala based on File 32; BRTs Mexico City based on PDD Metro Line 12, Mexico City table 4¹⁹; all others based on ITDP, 2011, see <http://www.chinabrt.org/>

Also the only operational Korean BRT in Seoul has a capacity of 7,000 passengers per hour and direction only. The capacity of the proposed metro line is 30,000 passengers per hour per direction²⁰. This value is far more than what BRT systems carry. The alternative of a BRT is therefore not considered a feasible alternative basically due to limited passenger carrying capacity compared to project requirements.

¹⁸Rounded to thousands; based on the BRT line with the highest phd if various lines operate

¹⁹<http://cdm.unfccc.int/Projects/Validation/DB/L6XH6IVX9T82VDCYLXU0VM9ST9PGEJ/view.html>

²⁰ File 14

ALTERNATIVE 2: ESTABLISHMENT OF OTHER RAIL-BASED MRTS

Light rail transit (LRT) includes also trams and monorails. LRTs operate as a single rail car or as a short train of cars typically on exclusive right-of-way lanes at surface levels²¹. This alternative faces similar if not more severe constraints than a BRT. LRTs typically have a capacity of 10-20,000 phd. Also they reach only about half the average speed of metro (this is also true of normal BRT systems) thus not offering the same level of convenience as metro. Based on above consideration a LRT is not considered as a technically viable solution due to the passenger demand on the corridors on which the metro is built.

Table 5 shows differences between BRTs/Bus Lane systems, LRTs and metros. The table clearly shows that the options of BRT and LRT are not adequate for the expected passenger demand and the space conditions along the metro line proposed.

Table 5: Comparison BRTs, LRTs and Metros

Characteristic	BRT / Bus lane	LRT / Tram / Monorail	Metro
Segregation	Mostly at-grade	Mostly at-grade	Mostly elevated or underground
Passenger carrying capacity (phd)	15-35,000	10-20,000	up to 60,000
Average operating speed (km/h)	15-25	15-25	30-40
Initial cost (million USD/km)	1-8	10-30	30-75 elevated
Space requirement	2-4 lanes taken away from existing road space	2-3 lanes taken away from existing road space	Little impact on existing road

Sources: IEA, Bus Systems for the Future, 2002, Table 2.1. (File 34)

The metro of the project can also be considered a grade separated LRT. No clear technical distinction between a LRT and a metro is available. Important is the fact that the system chosen is a grade separated (elevated) rail-based MRTS.

ALTERNATIVE 3: CONTINUATION OF THE CURRENT SYSTEM INCL. FUTURE INVESTMENTS

A continuation of the current transport system complies with all applicable legal and regulatory requirements. A continuation of the current system has various advantages compared to all other options:

- No large-scale public investment requiring additional income/tax sources.
- Lowest technical and financial risk of all options.

The carrying capacity of the current public transport system is in line with the actual transport demand. Increasing passenger demand can be accommodated through the establishment of new routes using also alternate roads, which might imply potentially longer travel distances complying however with the purpose of transporting passengers from their trip origin to their trip destination. Also under business as usual the trend of decreasing mode share of public transit and increasing share of private

²¹ If elevated or underground, the carrying capacities of LRTs can be increased however investment costs will also increase strongly. The LRT thereafter resembles basically a metro.



transit would continue as through economic development more people have the means to acquire and maintain a vehicle and would also use their private vehicle if no modern mass transit system with the required level of convenience, speed and comfort is available. Additional transit demand might also lead to increased trip times due to increased congestion.

Incheon has realized heavy investment in road infrastructure in the last few years increasing urban highway roads by 68% between 1999 and 2006 and overall urban road infrastructure in the same period by 14%²². The road expansion has coped with the increase of cars and car trips²³ as is reflected by even slightly increasing average speed in rush as well as non-rush hours between 2002 and 2006²⁴. Future heavy investment in roads is also planned in the National Capital Area of the project²⁵. This shows clearly that investment in road-based transit is significant thus allowing for traffic accommodation also in absence of any new MRTS without major difficulties.

ALTERNATIVE 4: THE PROJECT BEING REALIZED IN A LATER DATE WITHOUT CDM

No national or local policy mandates the implementation of a MRTS. The obstacles faced today of a new metro line, being basically of financial nature, would be the same or even worse in the future. If no MRTS is established now inhabitants of Incheon would continue investing in private means of transit like in the past where the number of registered cars is increasing rapidly (see above) resulting in a strong increase of the number of trips realized by private cars and a corresponding strong decrease in the mode share of public transit as experienced between 1991 and 2006 where the mode share of public transit declined from 67% in 1991 to 42% in 2006 i.e. a drop of 25 percentage points in just 15 years. At the same time the passenger car has become the dominant mode of motorized transit with a share of 49% in the year 2006. This is not surprising considering the annual growth rate of cars of nearly 8% between 1995 and the year 2000 and more than 4% since the year 2000²⁶. This trend away from public towards private means of transit would continue. As shown above also more road infrastructure is built and the more people own cars the more difficult it becomes to get them back on public transit and to achieve high passenger demand corridors. The trend in cities, including Incheon, has been towards decreasing shares of public transit²⁷ thus making new MRTS not easier but more complex due to lack of passenger demand.

No regulations, laws or requirements exist that in the future MRTS need to be built beyond general policy declarations which are however non-binding. A project realization in the future without carbon finance is thus not planned.

Implementing the metro in absence of carbon finance is also studied in Alternative 5.

²² File 20, chapter 2.2. table 2.8

²³ The annual growth rate of cars of nearly 8% between 1995 and the year 2000 and more than 4% since the year 2000 (File 20, chapter 1.2.3. table 2.5)

²⁴ File 20, chapter 2.2. table 2.12

²⁵ See File 23

²⁶ File 20, chapter 1.2.3. table 2.5

²⁷ see File 24, table 12, p.28



ALTERNATIVE 5: THE PROJECT WITHOUT CDM

The implementation of the proposed project activity in absence of the CDM is considered as non-feasible due to financial reasons. The details are given in chapter B5 and are not repeated here to avoid duplication.

Step 2 thus identifies next to the baseline 2 alternatives: the project with and without CDM. These two alternatives are addressed in chapter B5. All other alternatives are not feasible.

Step3: Determination of the baseline scenario

If Step 2 results in more than one possible alternative baseline scenario, the most likely baseline scenario is the scenario with the lowest baseline emissions. Alternatives 1 (BRT), 2 (LRT), 4 (Future metro in absence of the CDM) and 5 (project without CDM) are not feasible. The most probable alternative in the future in absence of the project is therefore a continuation of the current transport system. This is thus the baseline for this project.

Baseline Scenario

Baseline emissions include the emissions that would have happened due to the transportation of the passengers who use the project activity, had the project activity not been implemented. This is differentiated according to the modes of transport (relevant vehicle categories) that the passengers would have used in the absence of the project. The baseline is a continuation of the current transport system consisting of various transport modes between which the population chooses:

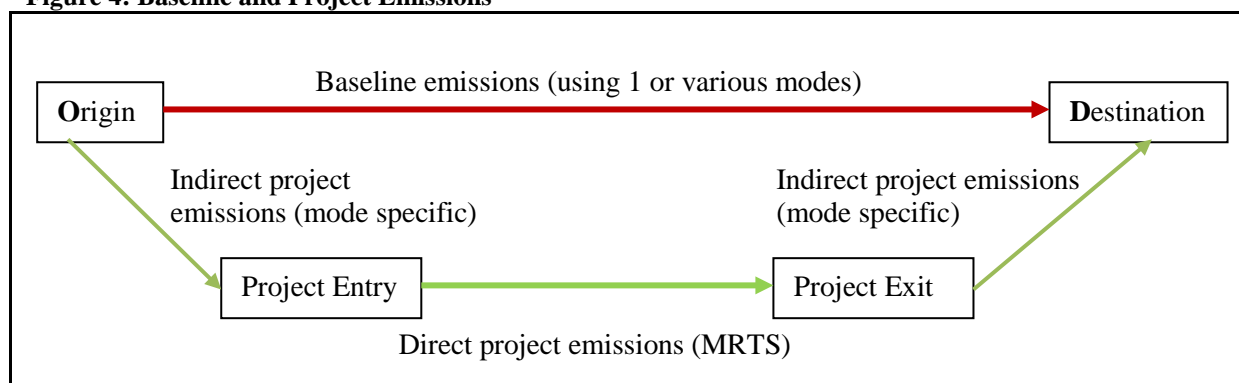
- NMT (Non-Motorized Traffic) with bikes and per foot;
- Private passenger car;
- Taxis;
- Motorcycles (two-wheelers);
- Buses;
- Existing metro network

The existing metro network does not compete with the proposed metro as it does not offer similar route destinations²⁸. The project metro line is complementary to the existing metro lines. Users of the metro may potentially realize in the baseline or in the project case part of their trip on the existing metro lines. This mode is thus included as a mode of transport. For all above listed transport modes the emissions per passenger kilometre (PKM) are calculated. To adjust for emission improvement under BAU, a technology improvement factor is applied to all road-based modes.

Baseline emissions are calculated per passenger surveyed. For each passenger surveyed the individual baseline emissions are calculated and multiplied with the individual expansion factor thus getting the baseline emissions of all passengers of the specific week surveyed.

Figure 4 gives an overview of baseline and project emissions, latter being differentiated in indirect and direct project emissions.

²⁸ See Map 3

Figure 4: Baseline and Project Emissions

For formulas applied see section B.6.

B.5. Description of how the anthropogenic emissions of GHG by sources are reduced below those that would have occurred in the absence of the registered CDM project activity (assessment and demonstration of additionality):

The project starting date is defined in accordance with EB 41 Paragraph 67. The project starting date is the signature of the first construction contract being 09/06/2009²⁹. Based on EB 62 Annex 13 “Guidelines on the demonstration and assessment of prior consideration of the CDM (version 4)” this is a new project activity as the project starting date is after August 2nd 2008 (chapter B guidelines). To demonstrate prior consideration the DNA and the UNFCCC must be informed within 6 months of project starting date of the intention to seek CDM status. The UNFCCC and the Korean DNA were informed as of 03/12/2009³⁰ i.e. the project has made prior consideration in accordance with the EB guidance.

The additionality of the project is determined using the “Tool for the demonstration and assessment of additionality”.

STEP 1. IDENTIFICATION OF ALTERNATIVES TO THE PROJECT ACTIVITY CONSISTENT WITH CURRENT LAWS AND REGULATIONS

Sub-step 1a: Define alternatives to the project activity

All options are identified that meet the same requirement as the proposed project activity. Alternatives assessed are public transport systems which are complemented with other modes of transport such as passenger cars, taxis, motorcycles, and non-motorized transport:

1. The establishment of a BRT (Bus Rapid Transit);
2. The establishment of another rail-based MRTS;
3. The continuation of the current public and individual transport systems, including (future) investments in road based infrastructure if applicable;
4. The proposed project activity being implemented at a later date in the future, without being registered as a CDM project activity;

²⁹ File 15

³⁰ File 25, see <http://cdm.unfccc.int/Projects/PriorCDM/notifications/index.html> and File 63



5. The project proposal not implemented as a CDM project activity.

Alternatives 1 and 2 have been assessed in chapter B.4. and have been discarded. Alternative 3 is the baseline situation. Alternative 4 has been discarded in chapter B4 respectively is identical with the alternative 5.

Following alternatives are thus credible, realistic and comparable:

1. Continuation of the current situation
2. Project without CDM

The options BRT, other rail-systems and the option project in the future without CDM are not considered credible, realistic or comparable alternatives as outlined in chapter B.4. They are thus eliminated in Step 1 and not further considered. Step 2 conducts an investment comparison analysis for all alternatives that are **remaining** after Step 1 in accordance with page 6 of ACM0016.

Step 2. Investment analysis

The investment analysis is realized by making the complete investment analysis as prescribed in ACM0016 based on the information as available for the project proponent prior project starting date.

ACM0016 p.6 states:” Conduct an investment comparison analysis for all alternatives that are remaining after Step 1. Use the NPV as indicator.” The remaining alternatives are a continuation of the current baseline transport system and the metro in absence of the CDM. A continuation of the current baseline system involves a multitude of actors and modes of transport with private passenger cars, taxis, motorcycles, bikes and bus operators. While these realize investments in their modes of transport it is not only for the trips made by metro passengers. The project owner would not have to make specific investments in the baseline situation thus no comparable investment for the baseline alternative can be identified. The project alternative is a clear investment and the NPV with and without CDM is therefore calculated.

Based on the approved methodology following elements are taken into consideration when applying the investment analysis:

- The investment analysis is undertaken from the perspective of the operator of the public transportation system i.e. of Incheon Metropolitan City, reflecting the costs and revenues from the perspective of the operator.
- If the project is subsidized through public authorities (e.g. the central government), e.g. through grants which do not need to be repaid, the financial assessment is made including as investment the total system costs minus any such public subsidies. The central government subsidizes the investment cost by 60%³¹.
- In applying the investment comparison analysis, cost overruns of former investments in MRTS or reduced revenues of former MRTS investments compared to original projections, which make new investments less viable and riskier are considered in the investment analysis.

Korea has experience with various metros in various cities. The following table shows the relation between expected and actual passenger numbers.

³¹Files 35 and 36

**Table 6: Relation Expected to Actual Passenger Numbers of Korean Metros (1,000 passenger/day)**

City/Line		Expected	Actual	%
Seoul	Line 5	2,410(2001)	827(2001)	34.3
	Line 6	1,319(2001)	284(2001)	21.5
	Line 7	2,363(2001)	703(2001)	29.8
	Line 8	557(2001)	222(2001)	39.9
	Total	6,649	2,036	
Busan	Line 1	1,932(2001)	544(2001)	28.2
	Line 2	1,782(2006)	230(2006)	12.9
	Line 3	276(2006)	65(2006)	23.6
	Total	3,990	839	
Daegu	Line 1	347 (2001)	138 (2001)	39.8
	Line 2	912 (2006)	125 (2006)	13.7
	Total	1,259	263	
Incheon		1,441(2003)	204(2003)	14.2
Gwangju		187(2004)	305(2004)	16.3
Daegjeon		91(2006)	42(2006)	46.2
Average relation actual to projected passenger numbers				27 %
Median relation actual to projected passenger numbers				26 %

Source: All cities/lines except Daegu Line 2 based on Inha University, 2006, table 17 (File 37); Daegu Line 2 for expected passenger number based on Daegu Metropolitan City Railroad Construction Headquarters and Daegu Metropolitan Transit Corporation for actual passenger numbers (File 40); median and average of all lines calculated by Grütter Consulting

Overall Korean metros only have on average 27% of expected passengers. This situation is idem for even worse for Incheon line 1 which has only 14% of expected passengers. Also we cannot identify a trend towards improving projections e.g. Daegu metro line 2 has performed significantly worse i.e. the projection has been more off-track, than Daegu metro line 1 and the same holds true for Busan Line 2 and 3 compared to Line 1.

The experience of Korean metros with lower than expected passenger numbers is by no way singular: it's in fact the "normal" case in most metros worldwide. GTZ/ITDP (Institute for Transportation and Development Policy) states: „Higher cost options such as rail-technologies, also tend to demonstrate greater disparity between projected and actual costs“ (p. 16) and also identify reasons for such cost overruns being economic self-interest, technological complexity and psychological factors. These factors are especially relevant for „projects that require tunneling, elevated structures, and advanced technology probably“ (p.17)³². Similar results are obtained by other international studies in a recent report of Flyvberg looking at 22 urban rail projects where actual passenger demand is 51% lower than forecast with European projects having better forecasts than those of other world regions³³. Only 2 out of 22 projects achieved the forecasted values. 75% of projects had 40% less passengers than forecasted. 25% of projects had at least 68% less passengers than forecasted. Flyvberg states: “In sum, for urban rail projects forecast ridership is routinely far from achieved. Low actual ridership combined with a high standard deviation show that uncertainty and risk are very high for ridership forecasts for urban rail. To the extent that ridership is the basis for revenues, which is almost always the case, then the high risk regarding ridership translates into an equally high economic risk. The figures show this risk should be taken very seriously in urban rail projects and should occupy a central place in preparing,

³² File 38

³³ File 39 table 4, p.16



deciding, and operating such projects.”³⁴ and “The analysis of construction costs show that urban rail projects on average turn out substantially more costly than forecast. At the same time the analysis of ridership show urban rail to achieve considerably fewer passengers than forecast and thus lower revenues. Urban rail is therefore economically risky on two fronts, both as regards costs and as regards revenues. Urban rail is doubly risky and the possibilities for financing cost escalations incurred during construction through increased revenues from more passengers during operations will often be limited”³⁵. The risk of having thus projections which are far off the reality is real and has been experienced by numerous comparable projects not only in Korea.

The guidelines for the investment analysis Version 5EB 62 Annex 5 are followed. The financial / economic indicator chosen is the NPV in accordance with the methodology. The principles used for all calculations and their compliance with EB guidance is shown in the following table.

Table 7: Investment Principles and EB Guidelines

EB Guideline	Project
Points 1 and 2: General introduction of Guidance	
Point 3: Period of assessment	The period of assessment taken is 30 years of operation plus the construction period in line with the assessment made in the FSR by KDI, 2008 (File 56). This is a longer period than the maximum period suggested in the guidelines and thus conservative.
Point 4: Salvage value	No salvage value for equipment is included as this is considered the technical life span of the rolling stock as well as communication and energy system in line with other metros ³⁶ . Construction and stations can have a longer time period but also need repairs and overhauls. The full value for land cost has been included s salvage value. Also the project is only including 40% of total investment as 60% is treated as subsidy from the Central Government.
Point 5: Depreciation and other non-cash items	The NPV is based on cash flow and does not include depreciation or other non-cash items.
Point 6: Time of assessment	All calculations are based on the FSR report realized by Korea Development Institute, 2008 (File 20) in 8/2008 prior to the investment decision 05/2009 which again is prior to the project starting date of 09/06/2009 (File 15)
Point 7: Cessation of implementation	Not relevant for project
Point 8: Provision of spreadsheet	Spreadsheet is provided (File 41)
Point 9: Finance expenditures	Financing expenditures are not included when calculating the NPV.
Point 10: Equity IRR	Not used by project as ACM0016 requires the usage of NPV.
Point 11: Pre-tax benchmark	The project uses NPV with a benchmark of 0% which is lower than the benchmark used by KDI, 2008, chapter VIII.4 table 8.3 (File 20) being 5.5% due to the fact that no profit is targeted.
Point 12-18: Selection of benchmark	ACM0016 explicitly asks for a NPV. The financial/economic indicator chosen is thus the NPV in accordance with the methodology. The discount rate taken is 0% and thus very conservative.
Point 19: Benchmark analysis	Analysis is made based on NPV as required by ACM0016
Points 20 and 21: Sensitivity analysis	Sensitivity analysis is made assuming following changes: <ul style="list-style-type: none"> 10% lower investment costs

³⁴File 39, p.18

³⁵ File 39, p.18/19

³⁶See e.g. FSR of Rites Ltd. For DMRC, Delhi point 9.4.6. (File 51)

	<ul style="list-style-type: none"> • 10% lower operational cost • 10% increase in fare box revenues • Break-even point (0 NPV) with changing risk parameter <p>These are all important cost/revenue variables and all variables which constitute more than 20% of cost respectively revenue.</p>
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Table 8 shows the major parameters used for the financial assessment.

Table 8: Major Parameters for Financial Assessment

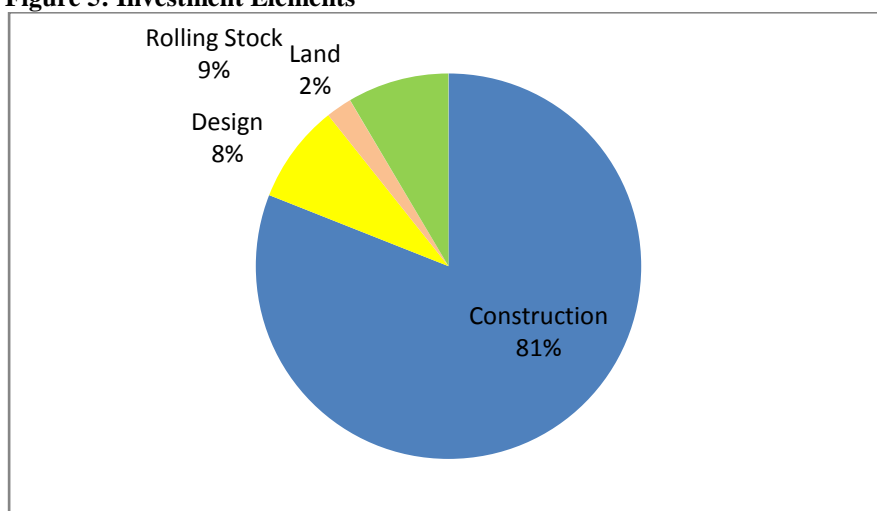
Parameter	Value in 100 million WON
Total investment	21,649
Investment by Central Government (subsidy)	12,990
Investment by Municipality	8,660
Salvage value (land; total value)	473
Operational cost (annual average) ³⁷	345
Fare box revenue (annual average)	503
Non fare box revenue (annual average)	50
Price of CERs (tsd WON per tCER)	27
Discount rate	0%

Sources: All metro data from KDI, 2008 (File 55 to 58); CER price based on EEX average 1 year prior 05/2009 (File 59) and exchange rate 05/2009 based on <http://www.oanda.com/currency/converter/>

The date of investment decision is 05/2009. All the input values used in the investment analysis were clearly applicable at the time of investment decision.

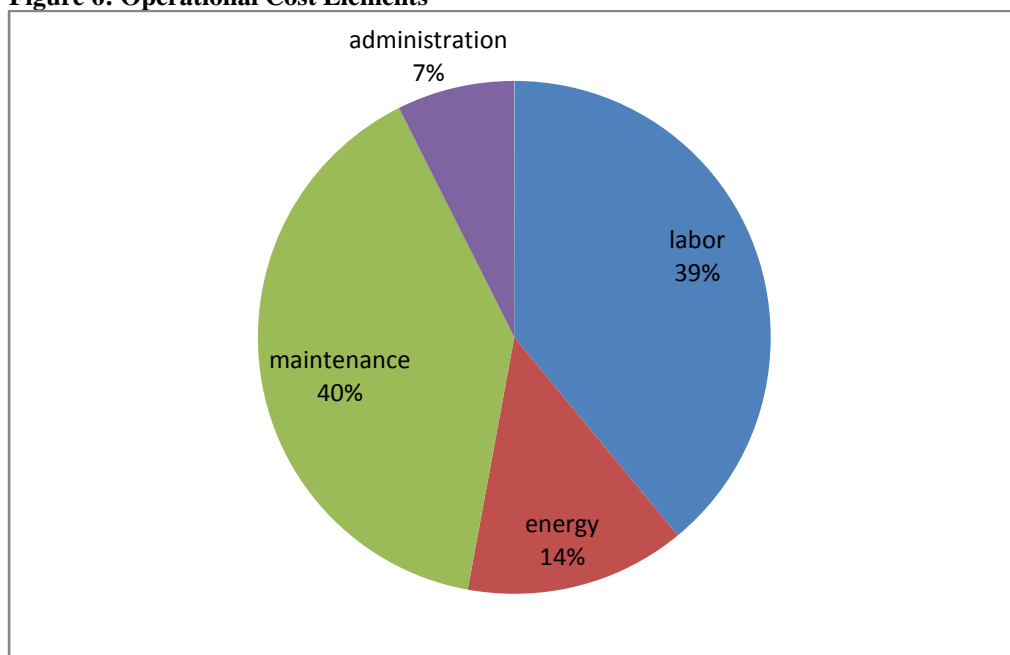
Figure 5 shows the distribution of the investment cost in the major parts and Figure 6 distribution of operational cost. As mentioned 60% of the total investment is paid by the Central Government as a subsidy. The financial calculation for CDM is therefore based only on the 40% paid by the Municipality.

Figure 5: Investment Elements



Source: File 55; see also finance sheet file 41 sheet "Investment"

³⁷ Average of all operational years

Figure 6: Operational Cost Elements

Source: File 57; see also finance sheet file 41 sheet “Expenses”

The plausibility of core data used is checked in the following paragraphs by comparing values with international literature.

Investment Cost

The investment cost of 2,164,900 million WON is equivalent to around 58 million USD per km of track³⁸. This cost is plausible in the international context: IEA estimates initial capital costs for underground MRTS³⁹ between 60-180 million USD/km⁴⁰ with the project metro being in the lower range. A study published in the year 2008 comparing the capital costs of urban rail per kilometre came to costs of 50-150 million USD per km.⁴¹

Operational Cost

All operational expenses have been related with the passenger numbers. The average operational cost for the year 2020 (chosen to have a uniform comparison year) per transported passenger is compared with recently established metros or such under construction including Metro Daegu, Metro Seoul line 9 and Metro Mexico Line 12.

³⁸ 1,685 million USD at exchange rate 05/2009 with 29 km

³⁹ The metro runs 77% underground

⁴⁰ table 2.1 page 29, File 46

⁴¹ File 47, p. 18

**Table 9: Comparison of Projected Operational Costs per Passenger (USD per passenger; year 2020)⁴²**

Metro Incheon Line 2	Metro Seoul Line 9	Metro Mexico Line 12	Metro Daegu
0.29	0.19	0.29	0.22

Source: Incheon see File 41 sheet expenses; Metro Seoul Line 9 File 49 (93,650million Won / 288.1 million passengers; exchange rate 0.00059); Metro Mexico Line 12 File 50 (470 million MXN/133.9 million passengers, exchange rate 0.083); Daegu File 48 (27,807 million WON/ 98.4 million passengers exchange rate 0.00079)

The average cost per passenger of the project is comparable to other metros. Therefore the values of expenses take in the financial assessment are deemed as plausible.

NPV Results

The NPV of the project is -223,988 million WON i.e. even without applying risk rate for less than expected passengers the project runs a deficit.

Table 10 includes the sensitivity analysis.

Table 10: NPV Sensitivity to Parameter Changes Excluding CER Revenues (100 million WON)

Case	NPV
10% lower investment cost	-1,393
10% lower operational cost	-1,204
10% higher fare box revenue	-732

Source: File 41

In all cases the NPV is clearly negative.

The investment cost would need to decrease by 26% to achieve a 0 NPV. This is highly improbable taking into account that the experience of metros worldwide is that construction costs are on average substantially higher than forecast. The average cost escalation of urban rail projects studied by Flyvberg was 45% i.e. costs were 45% higher than forecast. 75% of projects had a cost escalation of 33% at least⁴³. Thus it is highly improbable that the project results less expensive than planned.

The operational cost would need to decrease by 22% to achieve the benchmark. Lower costs in general imply however also lower revenues (less trains are run).

Fare box revenue would need to increase by 15% to achieve the break-even i.e. 0 NPV. This might seem little. However the experience of Metros in Korea has been that passenger numbers and thereby resulting fare box revenues have been less than 30% of what is projected (see Table 6) i.e. having even higher fare box revenues than planned seems far off.

Overall the project clearly shows that the result of a negative NPV is highly robust. From above calculations it is thus clear that the project in absence of the CDM is financially non-feasible.

⁴² Based on Feasibility reports for all i.e. projections for all cases; for all based on projection year 2020 to have a fully operational and comparable data year. Exchange rates to USD based on financial assessment report per project

⁴³ File 39, Table 2 and p.16



With carbon finance the probability of a positive NPV is significantly better than without carbon finance. Carbon finance reduces the potential deficit by around 20%. This means that the financial risk of the project can be reduced substantially through CDM.

Step 3. Common practice analysis

Sub-step 4a. Analyze other activities similar to the proposed project activity:

The investment analysis shall be complemented with an analysis of the extent to which the proposed project type has already diffused in host country. This test is a credibility check to complement the investment analysis (Step 2). All cities or urban areas with more than 1 million habitants which have already implemented a MRTS, including metros (underground, elevated or surface level), LRT (Light Rail Transit) including trams, and BRT (Bus Rapid Transit system) including bus lanes are listed. The proposed project activity is regarded as common practice if MRTS have already been implemented in 50% of the cities with more than 1 million habitants in the host country without using the CDM.

Eurostat, the European Union's statistical agency, has created the concept of Larger Urban Zone (LUZ) in an effort to harmonise definitions of urbanisation in the European Union and in countries outside the European Union. These definitions were agreed between Eurostat and the National Statistics Offices of the different countries of the European Union at the European Commission's Urban Audit of 2004. Eurostat's objective was to have an area from a significant share of the resident commute into the city, a concept known as the "functional urban region." To ensure a good data availability, Eurostat adjusts the LUZ boundaries to administrative boundaries that approximate the functional urban region. SNCA corresponds to this concept. Also in the largest Korean cities a concept of metropolitan cities is used. Other cities however have a population number which corresponds to city population. For these cities the LUZ concept was applied which includes suburban areas of the city which are functionally related. To control latter the expanded city population data is thereby related also to the calculated LUZ data based on relations in the registered 128 EU LUZ cities with city population data. For Seoul the National Capital Area is taken in accordance with LUZ. SNCA also includes Incheon City⁴⁴. The following table shows metropolitan areas in cities with LUZ population in Korea with more than 1 million inhabitants and MRTS systems implemented by these cities.

Table 11: Cities/Metropolitan Areas with over 1 Million Inhabitants in Korea and MRTS as of Project Starting Date⁴⁵

Metropolitan Area	MRTS
SNCA ⁴⁶	Metro, BRT
Busan Metropolitan City	Metro
Daegu Metropolitan City	Metro
Daejeon Metropolitan City	Metro
Gwangju Metropolitan City	Metro
Ulsan Metropolitan City	No MRTS
Changwon	No MRTS
Cheongju	No MRTS
Jeonju	No MRTS
Cheonan	No MRTS
Pohang	No MRTS

⁴⁴ File 68

⁴⁵ Based on LUZ definition as stated in ACM0016, project starting date 09/06/2009

⁴⁶ Includes also Incheon



Source: File 68a/b; MRTS: rail-based MRTS: <http://www.urbanrail.net/as/asia.htm>; BRT: <http://www.chinabrt.org/defaulten.aspx>

Less than 50% of the LUZ in Korea have a MRTS thus complying with the common practice test of the methodology.

The steps realized above clearly show that the project activity is not the baseline and is not a viable alternative under BAU.

B.6. Emission reductions:

B.6.1. Explanation of methodological choices:

BASELINE EMISSION CALCULATIONS

Baseline emissions are calculated per passenger surveyed. For each passenger surveyed the individual baseline emissions are calculated and multiplied with the individual expansion factor thus getting the baseline emissions of all passengers of the specific week surveyed. These are multiplied with the total of the passengers of the period to arrive at baseline emissions.

The following steps are made:

Step 1: Conduct a survey, following the procedures presented in Annex 3, in which for each surveyed passenger, the trip distance per transport mode that would have taken place in the baseline is determined.

Step 2: Calculate the individual baseline emissions for each surveyed passenger.

Step 3: Apply an individual expansion factor to each surveyed passenger in accordance with the survey sample design (as defined in Annex 3), and summarize these to get the total baseline emissions of the period (week) surveyed. To get the annual (or monitoring period) baseline emissions the baseline emissions of the surveyed period (week) are calculated per passenger of the period (week) and multiplied with the total passengers transported per year (or monitoring period).

Step 4: Take the lower limit of the 95% confidence interval as total baseline emissions.

PROCEDURE

$$BE_y = \frac{P_y}{P_{SPER}} \sum_p (BE_{p,y} \cdot FEX_{p,y}) \quad (1)$$

Where:

BE_y	Baseline emissions in the year y (g CO ₂)
$BE_{p,y}$	Baseline emissions per surveyed passenger p in the year y (g CO ₂)
$FEX_{p,y}$	Expansion factor for each surveyed passenger p surveyed in the year y (each surveyed passenger has a different expansion factor)
P_y	Total number of passengers in the year y
P_{SPER}	Number of passengers in the time period of the survey (1 week)
p	Surveyed passenger
y	Year of the crediting period

The baseline emission per surveyed passenger is calculated based on the mode used, the trip distance per mode and the emission factor per mode:

$$BE_{p,y} = \sum_i BTD_{p,i,y} \cdot EF_{PKM,i,y} \quad (2)$$

Where:

$BE_{p,y}$	Baseline emissions per surveyed passenger p in the year y (g CO ₂)
$BTD_{p,i,y}$	Baseline trip distance p per surveyed passenger using mode i in the year y (PKM)
$EF_{PKM,i,y}$	Emission factor per passenger-kilometre of mode i in the year y (g CO ₂ /PKM)
i	Relevant vehicle category
p	Surveyed passenger
y	Year of the crediting period

(1) Identification of the relevant vehicle categories (modes of transport)

The baseline is a continuation of the current transport system consisting of various transport modes between which the population chooses:

- NMT (Non-Motorized Traffic) with bikes and per foot;
- Private passenger car;
- Taxis;
- Motorcycles;
- Conventional Buses;
- Existing metro line;

The survey includes also induced traffic i.e. trips which in absence of the project would not have been made.

(2) Determination of the emission factor per passenger-kilometre ($EF_{PKM,i,y}$)

Passenger-kilometre (PKM) is defined as the average passenger trip distance multiplied by the number of passengers. The emission factors per PKM are determined *ex ante* for each vehicle category. Any change in the occupancy rate of taxis and buses influencing the corresponding emission factors is monitored as leakage.

For the existing (baseline) metro line, the following equation is used:

$$EF_{PKM,i,y} = \frac{TE_{EL,i,y}}{P_{EL,i,y} \cdot TD_{EL,i}} \quad (3)$$

Where:

$EF_{PKM,i,y}$	Emission factor per passenger-kilometre of baseline metro for year (gCO ₂ /PKM)
$TE_{EL,i,y}$	Total emissions from baseline metro for year y (tCO ₂)
$P_{EL,i,y}$	Total passengers transported per year by baseline metro for year y (passengers)
$TD_{EL,i}$	Average trip distance of passengers using baseline metro prior to project start (km)



i Baseline metro
y Year of the crediting period

The total emissions from baseline metro is calculated using the “Tool to calculate baseline, project and/or leakage emissions from electricity consumption”. When applying the tool, the parameter $EC_{BL,k,y}$ in the tool is taken as the amount of electricity used by baseline metro for the year *y*, consistent with the transportation of $P_{EL,i}$ passengers along the average distance $TD_{EL,i}$ ⁴⁷.

$$TE_{EL,i,y} = EC_{BL,i,y} \times EF_{grid,CM} \times (1 + TDL) \times 10^{-6} \quad (4)$$

Where:

$TE_{EL,i,y}$ Total emissions from baseline metro for year *y* (tCO₂)
 $EC_{BL,i,y}$ Quantity of electricity consumed by baseline metro in the year *y* (MWh)
 $EF_{grid,CM}$ Emission factor for electricity generation in the grid based on combined margin (gCO₂/kWh)
 TDL Average technical transmission and distribution losses for providing electricity

The alternative of the Combined Margin (CM) is chosen, determined ex-ante for the entire crediting period. The CM is calculated based on the “Tool to calculate the emission factor for an electricity system”. The tool to calculate baseline, project and/or leakage emissions from electricity consumption is also included. Scenario A of this tool applies as the electricity consumed is from the grid. Option A1 is used to calculate the emission factor of the grid based on the CM.

For all other fuel-based vehicle categories, the emission factor per PKM is calculated as:

$$EF_{PKM,i,y} = \frac{EF_{KM,i,y}}{OC_i} \quad (5)$$

Where:

$EF_{PKM,i}$ Emission factor per passenger-kilometre of vehicle category *i* in the year *y* (gCO₂/PKM)
 $EF_{KM,i}$ Emission factor per kilometre of vehicle category *i* in the year *y* (gCO₂/km)
 OC_i Average occupation rate of vehicle category *i* prior project start (passengers)
i Relevant vehicle category
y Year of the crediting period

(2.1.) Determination of the average occupation rate (OC_i)

In the case of taxis, the driver is not included.

For buses:

$$OC_B = \frac{PBL_B \times TDBL_{P,B}}{DD_B} \quad (6)$$

⁴⁷ The trip distance is only monitored prior project start. The electricity consumed and the passengers transported are monitored annually to track technological improvements in the rail-based system leading to changes in the emission factor per passenger transported.



Where:

OC_B	Average occupation rate of buses prior project start (passengers)
PBL_B	Passengers transported by baseline buses prior project (passengers)
$TDBL_{P,B}$	Average trip distance of passengers using baseline bus (kilometer)
DD_B	Distance driven by all baseline buses (kilometer)

(2.2) Determination of the emission factors per kilometre ($EF_{KM,i,y}$)

Relevant fuel types, for each vehicle category, have to be identified. The emission factor per kilometre is re-calculated annually based on the recorded share of fuels per category. In case biofuel blends are used the biofuel share of the blend is accounted for with zero emission factor ($EF_{CO2,x,y}$). In the case of Incheon currently no biofuels are used.

No BRT bus lane was operational prior to project start.

The emission factor per kilometre is not constant but annually updated. Two options can be used to calculate $EF_{KM,i,y}$. For each vehicle category the project can choose which option to take. During the crediting period the project cannot change between one and the other option, i.e. the decision is fixed for the crediting period. Rail-based vehicles must monitor annually the electricity consumption plus passengers transported (see formula 3). For all road-based vehicle categories option (2) using a fixed technology improvement factor is used. Formula (6) of the methodology is therefore not used.

As total fuel consumed and total distance driven is known the formula (8) of the methodology is not used⁴⁸.

For option (2) the following formula applies:

$$EF_{KM,i,y} = (IR_i)^{t+y} \cdot \frac{\sum_x (SFC_{i,x} \cdot NCV_{x,y} \cdot EF_{CO2,x,y} \cdot N_{x,i})}{N_i} \quad (7)$$

Where:

$EF_{KM,i,y}$	Emission factor per kilometre of vehicle category i in the year y (g CO ₂ /km)
$SFC_{x,i}$	Specific fuel consumption of vehicle category i using fuel type x prior project start (g/km)
$NCV_{x,y}$	Net calorific value of fuel x in the year y (J/g)
$EF_{CO2,x,y}$	Carbon emission factor for fuel type x in the year y (gCO ₂ /J)
$N_{x,i}$	Number of vehicles of category i using fuel type x prior to project start (units)
$N_{x,i}$	Number of vehicles of category i prior to project start (units)
IR_i^{t+y}	Technology improvement factor for the vehicle of category i per year $t+y$ (ratio)
i	Relevant vehicle category
x	Fuel type
t	Years of annual improvement (dependent on age of data per vehicle category)

⁴⁸Latter is required if bus information is based on SFC per bus category. To get an average SFC of all buses the SFC per bus category must therefore be weighted by distance driven per bus category in relation to the sum of distances drive by all bus categories; if however total fuel consumed and total distance driven is recorded by the operator the SFC is determined directly peer logic through total fuel / total distance. The size of buses used is in this case irrelevant.

y Year of the crediting period

The technology improvement factor is taken from the methodology and is listed in the following table.

Table 12: Default Technology Improvement Factors (per annum)

Vehicle category	Technology Improvement Factor IR
Buses	0.99
Passenger cars	0.99
Taxis	0.99
Motorcycles	0.99

Source: ACM0016, Table 2

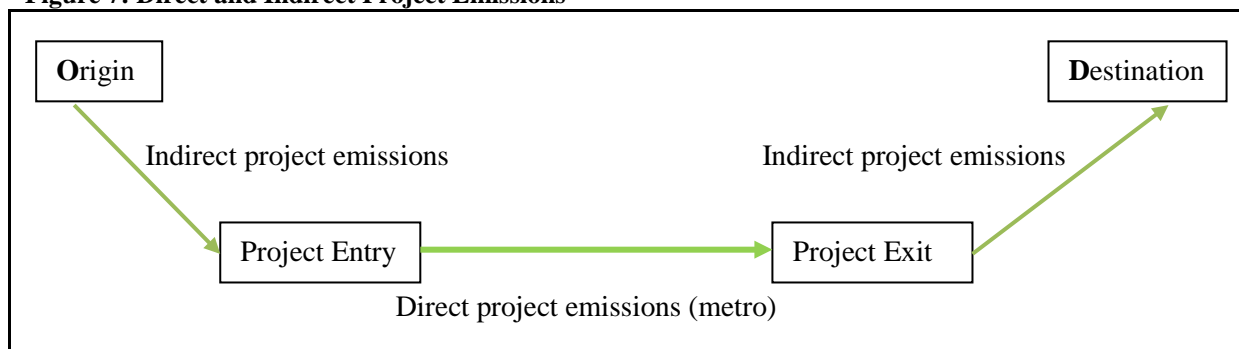
Baseline emissions include the entire emissions which would have been caused by the project passenger in absence of the project from his trip origin to his trip destination. The origin and destination of the trip is assumed to be equal for the baseline as for the project case with exception of induced traffic included only as project but not as baseline trips. The trip distance and the modes used between O and D are however different in the baseline than in the project case. The trip distance may vary as some passengers using the project MRTS may be willing e.g. to make detours due to the higher speed of the MRTS versus conventional bus transport. To fully capture all potential changes the methodology thus compares emissions per O-D trip of the baseline with emissions per O-D trip of the project. The data to determine O-D mode(s) and distances per mode are derived from a representative survey of project passengers realized annually. Total baseline emissions are calculated thereafter annually based on these parameters, the emissions per PKM and the amount of passengers transported by the project.

Project passengers are those which use the project metro line.

PROJECT EMISSION CALCULATIONS

Project emissions are based on the electricity consumed by the metro for train traction (direct project emissions) plus emissions caused by project passengers from their trip origin to the entry station of the metro and from the exit station of the metro to their final destination (indirect project emissions).

Figure 7: Direct and Indirect Project Emissions



Project emissions are calculated as follows:

$$PE_y = DPE_y + IPE_y \quad (8)$$



Where:

PE_y	Project emissions in the year y (tCO ₂)
DPE_y	Direct project emissions in the year y (tCO ₂)
IPE_y	Indirect project emissions in the year y (tCO ₂)
y	Year of the crediting period

Determination of direct project emissions (DPE_y)

The project activity involves an electricity-based transport system. The emissions from electricity consumption are based on the “Tool to calculate baseline, project and/or leakage emissions from electricity consumption”. Only electricity consumed for train propulsion is included in rail-based MRTS. Formulas (10) and (11) of the methodology are thus not required.

$$DPE_y = EC_{PJ,y} \times EF_{grid,CM} \times (1 + TDL) \times 10^{-6} \quad (9)$$

Where:

DPE_y	Direct project emissions in the year y (tCO ₂)
$EC_{PJ,y}$	Quantity of electricity consumed by project metro for traction in the year y (MWh)
$EF_{grid,CM}$	Emission factor for electricity generation in the grid based on combined margin (gCO ₂ /kWh)
TDL	Average technical transmission and distribution losses for providing electricity

The alternative of the Combined Margin (CM) is chosen, determined ex-ante for the entire crediting period. The CM is calculated based on the “Tool to calculate the emission factor for an electricity system”. The tool to calculate baseline, project and/or leakage emissions from electricity consumption is also included. Scenario A of this tool applies as the electricity consumed is from the grid. Option A1 is used to calculate the emission factor of the grid based on the CM.

Determination of indirect project emissions (IPE_y)

Indirect project emissions are those caused by passengers from their trip origin up to the project activity entry station, and from the project activity exit station up to the trip final destination. The survey realized identifies the origin, the project entry station, the project exit station and the final destination of the passenger plus the modes used between the different points, e.g. bicycle from origin to project entry station and taxi from project exit station to final destination. The distances between origin and entry and between exit and destination are calculated based, e.g. on public transit routes, electronic maps and GPS (Global Positioning System) (identical to baseline trip determination). The emission factors per passenger-kilometre used for indirect project emissions are identical to the baseline passenger-kilometre factors ($EF_{PKM,i,y}$).

Following core steps are realized:

Step 1: Realize a survey in which for each surveyed passenger the trip distance per mode used to/from the MRTS is determined.

Step 2: Calculate for each surveyed passenger his indirect project emissions.

Step 3: Apply to each surveyed passenger an individual expansion factor in accordance with the survey sample design and summarize these to get the total indirect project emissions of the week surveyed. To get the annual (or monitoring period) indirect project emissions the indirect project



emissions of the surveyed week are calculated per passenger of the week and multiplied with the total passengers transported per year (or period).

Step 4: Application of the upper 95% confidence interval to the total indirect project emissions.

The detailed corresponding formulas are included in Annex 3.

$$IPE_y = \frac{P_y}{P_{SPER}} \sum_p (IPE_{p,y} \cdot FEX_{p,y}) \quad (10)$$

Where:

IPE_y	Indirect project emissions in the year y (g CO ₂)
$IPE_{p,y}$	Indirect project emissions per surveyed passenger p in the year y (g CO ₂)
$FEX_{p,y}$	Expansion factor for each surveyed passenger p surveyed in the year y (each surveyed passenger has a different expansion factor)
P_y	Total number of passengers in the year y
P_{SPER}	Number of passengers in the time period of the survey (1 week)
p	Surveyed passenger
y	Year of the crediting period

The indirect project emissions per surveyed passenger are calculated based on the transport mode used, the trip distance per mode and the emission factor per mode.

$$IPE_{p,y} = \sum_i IPTD_{p,i,y} \times EF_{PKM,i,y} \quad (11)$$

Where:

$IPE_{p,y}$	Indirect project emissions per surveyed passenger p in the year y (g CO ₂)
$BTD_{p,i,y}$	Indirect project trip distance p per surveyed passenger using mode i in the year y (PKM)
$EF_{PKM,i,y}$	Emission factor per passenger-kilometre of mode i in the year y (g CO ₂ /PKM)
i	Relevant vehicle category
p	Surveyed passenger
y	Year of the crediting period

Based on the surveyed passenger and the survey design the corresponding expansion factors are applied to calculate total indirect project emissions. Total indirect project emissions are determined based on the upper limit of the 95% confidence interval as results are based on a sample/survey. For the entire survey design see Annex 3. The same method of expansion factors is used as outlined in the baseline section.

Indirect project emissions are only included for the project passengers. Passengers transported are based on passengers entering stations. Project passengers are those which enter stations of the project metro.

LEAKAGE EMISSION CALCULATIONS

Leakage emissions include the following sources:



- Emissions due to changes of the load factor of taxis and buses of the baseline transport system due to the project; and,
- Emissions due to reduced congestion on affected roads, provoking higher average vehicle speed, plus a rebound effect.

The impact on traffic (additional trips) induced by the new transport system is included as project emissions and thus is not part of leakage. This is addressed by including, as project emissions, the emissions from the trips of passengers who would not have travelled in the absence of the project.

Leakage emissions are calculated as follows:

$$LE_y = LE_{LFB,y} + LE_{LFT,y} + LE_{CON,y} \quad (14)$$

Where:

LE_y	Leakage emissions in the year y (tCO ₂)
$LE_{LFB,y}$	Leakage emissions due to change of load factor buses in the year y (tCO ₂)
$LE_{LFT,y}$	Leakage emissions due to change of load factor taxis in the year y (tCO ₂)
$LE_{CON,y}$	Leakage emissions due to reduced congestion in the year y (tCO ₂)
y	Year of the crediting period

If $LE_y < 0$, then leakage is not included

If $LE_y > 0$, then leakage is included

Determination of emissions due to change of load factor of buses ($LE_{LFB,y}$)

The project could have a negative impact on the load factor of the conventional bus fleet. Load factor changes are monitored for the entire city as the potential impact is not necessarily in the proximity of the project MRTS (buses can be used in other parts of the city). The load factor of buses is monitored in the years 1, 4 and 7 of the crediting period. Leakage from load factor change of buses is only included if the load factor of buses has decreased by more than 10 percentage points comparing the monitored value with the baseline value, and are calculated as:

$$LE_{LFB,y} = \frac{1}{10^6} \cdot N_{B,y} \cdot AD_B \cdot EF_{KM,B,y} \cdot \left(1 - \frac{OC_{B,y}}{OC_B} \right) \quad (15)$$

Where:

$LE_{LFB,y}$	Leakage emissions due to change of load factor of buses in the year y (tCO ₂)
$N_{B,y}$	Number of baseline buses in the year y (buses)
AD_B	Average annual distance driven by baseline buses (km/bus)
$EF_{KM,B,y}$	Emission factor per kilometre of baseline buses in the year y (gCO ₂ /km)
$OC_{B,y}$	Average occupancy rate of baseline buses in the year y (passengers)
OC_B	Average occupancy rate of baseline buses prior project start (passengers)
y	Year of the crediting period

The occupancy rate of buses is monitored through visual occupation studies (see Annex 3).

Determination of emissions due to change of load factor of taxis ($LE_{LFT,y}$)



The project could have a negative impact on the load factor of taxis. Load factor changes are monitored for the entire city as taxis operate all over the city and are not confined to deliver their services in certain areas. The load factor of taxis is monitored in the years 1, 4 and 7 of the crediting period. This leakage is calculated as:

$$LE_{LFT,y} = N_{T,y} \cdot AD_T \cdot EF_{KM,T,y} \cdot \left(1 - \frac{OC_{T,y}}{OC_T}\right) \cdot \frac{1}{10^6} \quad (16)$$

Where:

$LE_{LFT,y}$	Leakage emissions due to change of load factor of taxis in the year y (tCO ₂)
$N_{T,y}$	Number of taxis in the year y (taxis)
AD_T	Average annual distance driven per taxi (km/taxi)
$EF_{KM,T,y}$	Emission factor per kilometre of taxis in the year y (g CO ₂ /km)
$OC_{T,y}$	Average occupancy rate of taxis in the year y (passengers)
OC_T	Average baseline occupancy rate of taxis prior project start (passengers)
y	Year of the crediting period

The maximum load factor change attributed to taxis is the emission reductions due to passengers switching from taxis to the project (calculated by the emission factor per passenger-kilometre for taxis, the trip distance and the number of passengers transported by the project, which would have used taxis in absence of the project). This maximum condition is established as load factors might worsen citywide also due to factors external to the project and leakage from a load factor change taxis due to the project can at maximum be according to the number of passengers transported by the project which in absence of latter would have taken a taxi.

The occupancy rate of taxis is monitored through visual occupation studies counting the number of passengers.

The parameter emission factor per kilometre of baseline taxis in the year y ($EF_{KM,T,y}$) is calculated using the equation for $EF_{KM,i,y}$ presented in the baseline emissions section, substituting i for T (taxis).

Determination of emissions due reduced congestion ($LE_{CON,y}$)

The project activity may reduce the number of remaining buses and potentially other vehicles on roads used by mixed traffic and thus also congestion. It is not possible however to determine *ex-ante* if this effect will result in positive leakage emissions (i.e. emissions increase) or negative leakage emissions (i.e. emissions reductions). Two effects resulting from reduced congestion are considered:

- Induced traffic effect (or rebound effect), i.e. more trips of passenger cars on the “affected roads”;
- Changes in vehicle speed effect, i.e. change of emissions due to reduced or increased speed of cars on “affected roads”.

The corresponding emissions are calculated as:

$$LE_{CON,y} = LE_{REB,y} + LE_{SP,y} \quad (17)$$

Where:

$LE_{CON,y}$	Leakage emissions due to reduced congestion in the year y (tCO ₂)
$LE_{REB,y}$	Leakage emissions due to induced traffic / rebound effect in the year y (tCO ₂)



$LE_{SP,y}$ Leakage emissions due to changing vehicle speed in the year y (tCO_2)

Determination of emissions due to induced traffic/rebound effect ($LE_{REB,y}$)

The concept to capture emissions from induced traffic (or rebound effect) includes the following assumptions (induced traffic is measured for passenger cars and taxis):

- The distance driven on the affected roads of all additional cars/taxis is considered as additional trip distance, i.e. it is assumed that formerly used alternative routes are shorter, which is a conservative assumption;
- All additional cars/taxis on the affected roads are considered to be induced by the project and not by external effects such as general traffic growth, which again is a conservative assumption.

The monitoring is realized through measurements of traffic flows and distance driven of passenger cars and taxis on the affected roads. Monitoring is realized annually.

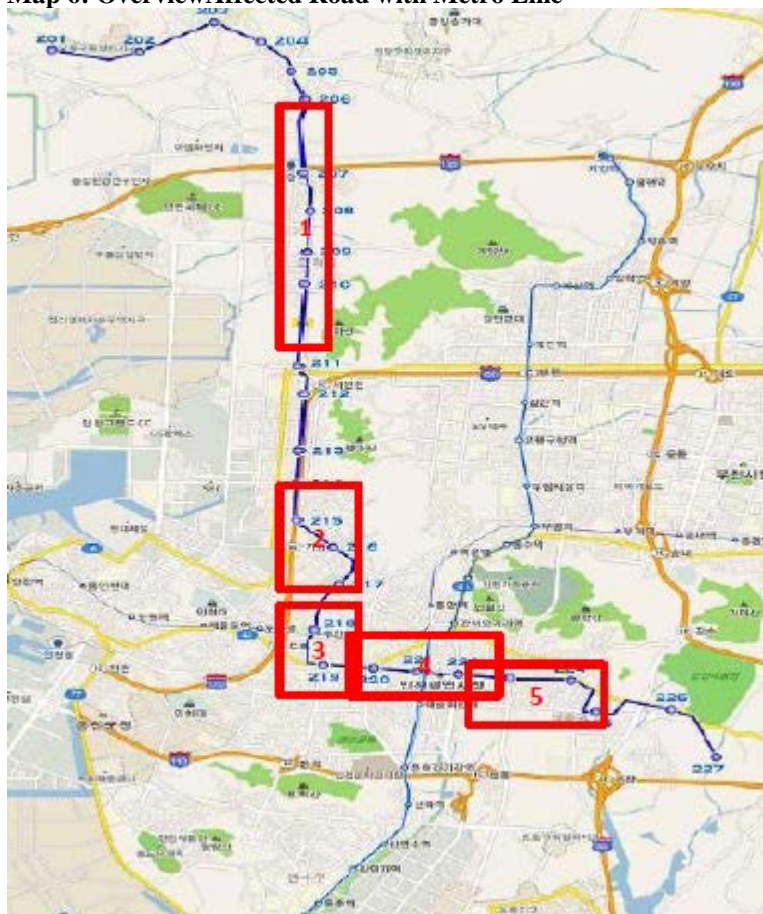
As a first step the “affected roads” are identified. According to the definition of the methodology “affected roads” are the roads influenced by the establishment of the MRTS. Affected roads are those inside a radius of minimum 1 kilometer running parallel to the MRTS line (roads on both sides of the MRTS line are included). Only roads with large traffic volumes are included. The following table list the “affected roads” of the project and the map shows the site of the “affected” roads.

Table 13: Affected Roads of Project Metro

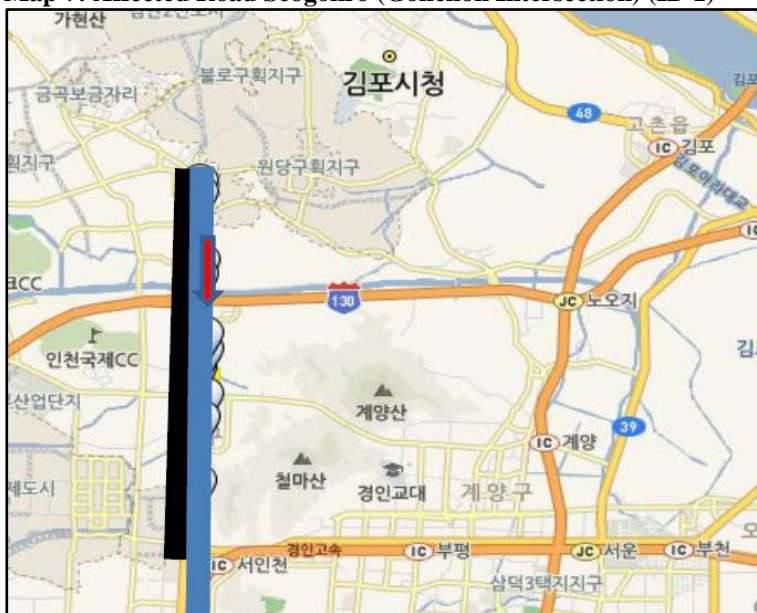
ID	Affected Road
1	Seogokro (Gonchon Intersection)
2	Seodalro (Geunji Intersection)
3	Baekbeomrp (Sibjeong Intersection)
4	Guwolro (Sukchun Intersection)
5	Sooiro (Jangsoo Intersection)

Source (also for all maps): File 42

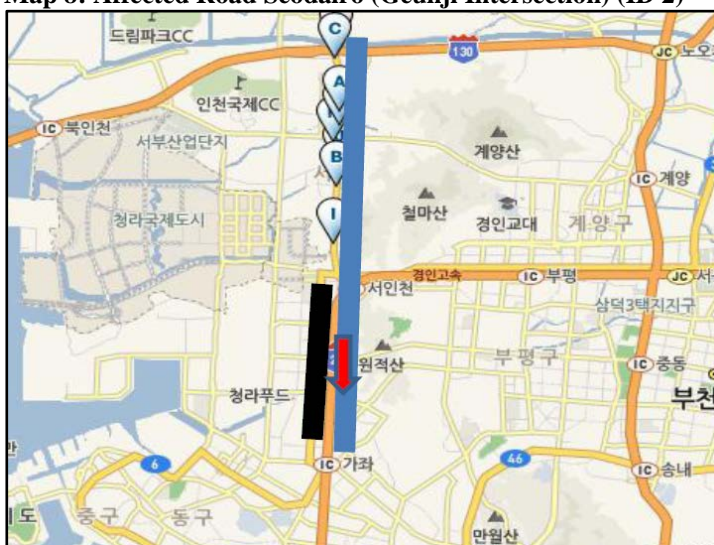
Map 6: Overview Affected Road with Metro Line



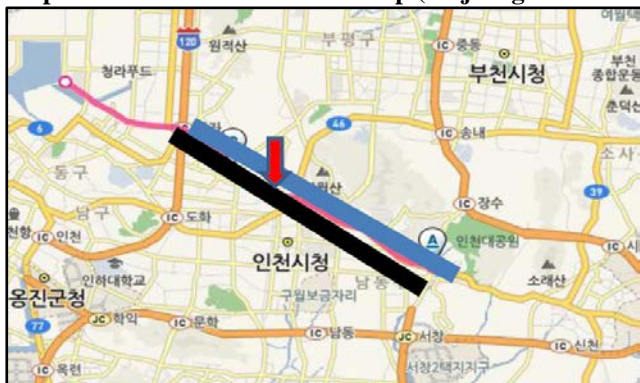
Map 7: Affected Road Seogokro (Gonchon Intersection) (ID 1)



Map 8: Affected Road Seodalro (Geunji Intersection) (ID 2)



Map 9: Affected Road Baekbeomrp (Sibjeong Intersection) (ID 3)



Map 10: Affected Road Guwolro (Sukchun Intersection) (ID 4)



Map 11: Affected Road Sooinro (Jangsoo Intersection) (ID 5)



Vehicle speed refers to average speed, i.e. total distance divided by total time, on the affected road. Taxis and passenger cars are treated identical. This condition is monitored for each affected road.

The rebound effect for the affected roads is calculated as:

$$LE_{REB,y} = \frac{1}{10^6} \cdot \sum_i (TDIZ_{i,y} \cdot EF_{KM,i,y} \cdot (NIZ_{i,y} - NIZ_{i,BL} + NIZ_{i,MS,y})) \quad (18)$$

Where:

$LE_{REB,y}$	Leakage emissions due to rebound effect in the year y (tCO ₂)
$NIZ_{i,y}$	Number of cars/taxis per annum using the affected roads in the year y (cars, taxis)
$NIZ_{i,BL}$	Number of cars/taxis per annum using the affected roads in the baseline (cars, taxis)
$NIZ_{i,MS,y}$	Number of cars/taxis per annum not used anymore due to mode shift to the MRTS in the year y (cars, taxis)
$TDIZ_i$	Average trip distance made by cars/taxis on the affected roads in the year y (km)
$EF_{KM,i,y}$	Emission factor per kilometre of cars and taxis in the year y (g CO ₂ /km)
i	Cars, taxis
y	Year of the crediting period

The number of cars and taxis per annum not used anymore due to mode shift to the MRTS in the year y is calculated as:

$$NIZ_{i,MS,y} = \frac{MS_{i,s} \cdot P_y}{OC_i} \quad (19)$$

Where:



$NIZ_{i,MS,y}$	Number of cars/taxis per annum not used anymore due to mode shift to the metro in the year y (cars, taxis)
$MS_{i,y}$	Net share of passengers using the metro which would have used mode i in the year y (%)
P_y	Passengers transported by the project in the year y (passengers)
OC_i	Average occupation rate of vehicle category i prior project start (passengers)
i	Cars, taxis
y	Year of the crediting period

The net share of passengers with mode switch from car/taxi to the MRTS is based on the percentage of passengers which would have used in the baseline cars/taxis at least partially for their trip minus the share of passengers of the MRTS which use cars/taxis partially for their trip (to and/or from the MRTS).

Determination of emissions due to changes in vehicle speed ($LE_{SP,y}$)

Leakage emissions due to changes in vehicle speed are determined only for cars and taxis, as presented below. The non-inclusion of other vehicles such as buses or trucks is conservative as a reduced congestion also leads to reduced emissions of remaining buses plus trucks on affected roads due to the speed effect. If speed measurements for cars/taxis realized show that the EF for cars/taxis for the year y is lower than the baseline emission factor (thus leading to negative leakage) the project proponent can choose to not include this factor and thus avoid measurements of numbers of cars/taxis and trip distance of cars/taxis.

$$LE_{SP,y} = \frac{1}{10^6} \cdot \sum_i (NIZ_{i,y} \cdot TDIZ_{i,y} \cdot (EF_{KM,VP,i,y} - EF_{KM,VB,i})) \quad (20)$$

Where:

$LE_{SP,y}$	Leakage emissions due to changes in vehicle speed of cars and taxis in year y (tCO ₂)
$NIZ_{i,y}$	Number of cars/taxis using the affected roads in the year y (cars, taxis)
$TDIZ_{i,y}$	Average trip distance made by cars/taxis on the affected roads in the year y (km)
$EF_{KM,VP,i,y}$	Emission factor per kilometre of cars/taxis at project speed in the year y (g CO ₂ /km)
$EF_{KM,VB,i}$	Emission factor per kilometre of cars/taxis at baseline speed (g CO ₂ /km)
i	Cars, taxis
y	Year of the crediting period

The project speed on the determined routes is monitored annually on the affected roads. Vehicle speed is monitored under moving conditions. The same method is used for baseline and project speed determination.

The number of cars and taxis on the affected roads are monitored through visual or electronic counting.

To determine the emission factor per kilometre of cars/taxis at project speed and baseline speed the speed dependency factor for passenger cars developed by CORINAR is used. The same vehicle speed is used for passenger cars and taxis. The latest version of the Emission Inventory Guidebook CORINAIR (8/2007)⁴⁹ is thereby used. V_B and V_P in this case refer to moving speed, i.e. the speed of

⁴⁹ File 53

the vehicle under moving conditions. The CORINAIR speed emission factor is only for relative speed changes.

To determine the correct CORINAIR formula the average speed, Euro category and engine capacity need to be determined. A matching between the CORINAIR categories and the vehicle categories in India is thereby made. Core categories used by CORINAIR are thereby⁵⁰:

- Euro: pre-Euro 1 and Euro 1, 2, 3, 4
- Engine capacity: <1.4 liter, 1.4-2.0 liter and >2.0 liter
- Speed range: < 10 km/h, 10-130 km/h, > 130 km/h

The respective conditions as of present (baseline) in Korea are:

- Euro: Korea has the Euro 4 standard for passenger cars since 2007. Therefore this category is used⁵¹.
- The majority of vehicles sold in Korea are 1.4-2.0 liters⁵².
- The following table shows the measured circulating speeds on the affected roads. All of them are in the range between 26 and 37 km/h. For CORINAIR thus the category 10-130 km/h is taken.

Table 14: Measured Average Circulating Speed on Affected Roads (Baseline Situation; in km/h)

ID	Affected Road	Average Circulating Speed (km/h)
1	Seogokro (Gonchon Intersection)	31
2	Seodalro (Geunji Intersection)	31
3	Baekbeomrp (Sibjeong Intersection)	35
4	Guwolro (Sukchun Intersection)	26
5	Sooiro (Jangsoo Intersection)	37

Source: File 42

For gasoline vehicles based on the criteria of CORINAIR as in table 8-9⁵³ the following category is used to determine the relevant parameters based on above listed information:

- Average emission standard: Euro 4
- Average engine capacity: 1.4-2.0
- Average speed range: 10-130 km/h

The general formula for gasoline vehicles Euro 1 or later is⁵⁴:

$$EF = (a + c \times V + e \times V^2) / (1 + b \times V + d \times V^2) \quad (21)$$

a,b,c,d,e CORINAR speed parameters (see Table 8-9, B 710-45, CORINAIR 2007)
V Moving speed

⁵⁰ File 53, table 8-9, B710-45

⁵¹ File 52, http://eng.me.go.kr/content.do?method=moveContent&menuCode=pol_cha_air_pol_tra_enhancing

⁵² File 54, <http://www.koreauspartnership.org/facts/autos.htm>

⁵³ File 53

⁵⁴ CORINAIR formula (21) on page B710-44



EF Emission factor of pollutant (in this case FC = Fuel Consumption)

Based on the range determined the parameters for fuel consumption are⁵⁵:

$$\begin{aligned} a &= 1.74 \times 10^2 \\ b &= 6.58 \times 10^{-2} \\ c &= 3.64 \times 10^{-1} \\ d &= -2.47 \times 10^{-4} \\ e &= 8.74 \times 10^{-3} \end{aligned}$$

The EF in gCO₂ for gasoline cars and taxis is therefore:

$$EF_{KM,VB,i,G} = \left[\frac{a + c \times V_B + e \times V_B^2}{1 + b \times V_B + d \times V_B^2} \right] \times NCV_G \times EF_{CO2,G} \quad (22)$$

$$EF_{KM,VP,i,G} = \left[\frac{a + c \times V_P + e \times V_P^2}{1 + b \times V_P + d \times V_P^2} \right] \times NCV_G \times EF_{CO2,G} \quad (23)$$

Where:

EF _{KM,VB,i}	Emission factor per kilometre of gasoline cars/taxis at baseline speed (gCO ₂ /km)
V _B	Average moving speed of cars/taxis prior to project start (km/h)
EF _{KM,VP,i}	Emission factor per kilometre of gasoline cars/taxis at project speed (gCO ₂ /km)
V _{P,y}	Average moving speed of cars/taxis in the year y (km/h)
i	Cars, taxis
a,b,c,d,e	CORINAIR parameters based on Table 8-9, B. 710-45, CORINAIR 2007
NCV _{G,y}	Net calorific value of gasoline in the year y (J/g)
EF _{CO2,G,y}	Carbon emission factor for gasoline in the year y (g CO ₂ /J)

V in this case refers to moving speed i.e. the speed of the vehicle under moving conditions.

For diesel vehicles based on the criteria of CORINAIR as in table 8-15⁵⁶ the following category is used to determine the relevant parameters based on above listed information:

- Average emission standard: Euro 3⁵⁷
- Average engine capacity: >2.0⁵⁸
- Average speed range: 10-130 km/h

The general formula for diesel vehicles Euro 1 or later is⁵⁹:

$$EF = (a + c \times V + e \times V^2) / (1 + b \times V + d \times V^2) + f / V \quad (24)$$

⁵⁵Table 8-9, B 710-45, CORINAIR 2007

⁵⁶CORINAIR 2007, p. B710-49

⁵⁷Euro 4 is not yet listed in Corinair

⁵⁸In diesel categories are > and < 2.0

⁵⁹CORINAIR formula (23) on page B710-49



a,b,c,d,e,f	CORINAR speed parameters (see Table 8-15, B 710-49, CORINAIR 2007)
V	Moving speed
EF	Emission factor of pollutant (in this case FC = Fuel Consumption)

Based on the range determined the parameters for fuel consumption are⁶⁰:

$$\begin{aligned}
 a &= 1.95 \times 10^2 \\
 b &= 7.19 \times 10^{-2} \\
 c &= 1.87 \times 10^{-1} \\
 d &= -3.32 \times 10^{-4} \\
 e &= 9.99 \times 10^{-3} \\
 f &= 0
 \end{aligned}$$

The EF in gCO₂ for diesel cars and taxis is therefore:

$$EF_{KM,VB,i,D} = \left[\frac{a + c \times V_B + e \times V_B^2}{1 + b \times V_B + d \times V_B^2} \right] \times NCV_D \times EF_{CO2,D} \quad (25)$$

$$EF_{KM,VP,i,D} = \left[\frac{a + c \times V_P + e \times V_P^2}{1 + b \times V_P + d \times V_P^2} \right] \times NCV_D \times EF_{CO2,D} \quad (26)$$

Where:

EF _{KM,VB,i}	Emission factor per kilometre of diesel cars/taxis at baseline speed (gCO ₂ /km)
V _B	Average moving speed of cars/taxis prior to project start (km/h)
EF _{KM,VP,i}	Emission factor per kilometre of diesel cars/taxis at project speed (gCO ₂ /km)
V _{P,y}	Average moving speed of cars/taxis in the year y (km/h)
i	Cars, taxis
a,b,c,d,e	CORINAIR parameters based on Table 8-15, B. 710-49, CORINAIR 2007
NCV _{D,y}	Net calorific value of diesel in the year y (J/g)
EF _{CO2,D,y}	Carbon emission factor for diesel in the year y (gCO ₂ /J)

For LPG vehicles based on the criteria of CORINAIR as in table 8-19⁶¹ the following category is used to determine the relevant parameters based on above listed information:

- Average emission standard: >Euro 1
- Average engine capacity: all categories
- Average speed range: 10-130km/h

The general formula for LPG vehicles is⁶²:

$$EF = 0.00720V^2 - 0.9250V + 74.625 \quad (27)$$

⁶⁰Table 8-15, B 710-49, CORINAIR 2007

⁶¹ CORINAIR 2007, p. B710-51 (file 53)

⁶² CORINAIR table 8-19 on page B710-51



Where:

V Moving speed
EF Emission factor of pollutant (in this case FC = Fuel Consumption)

The EF in gCO₂ for LPG cars and taxis is therefore:

$$EF_{KM,VB,i,LPG} = \left[0.00720V_B^2 - 0.9250V_B + 74.625 \right] \times NCV_{LPG,y} \times EF_{CO2,LPG,y} \quad (28)$$

$$EF_{KM,VP,i,LPG,y} = \left[0.00720V_{P,y}^2 - 0.9250V_{P,y} + 74.625 \right] \times NCV_{LPG,y} \times EF_{CO2,LPG,y} \quad (29)$$

Where:

EF_{KM,VB,i,LPG} Emission factor per kilometre of LPG cars/taxis at baseline speed
(gCO₂/km)
V_B Average moving speed of cars/taxis prior to project start (km/h)
EF_{KM,VP,i,LPG} Emission factor per kilometre of LPG cars/taxis at project speed
(gCO₂/km)
V_{P,y} Average moving speed of cars/taxis in the year y(km/h)
i Cars, taxis
NCV_{LPG,y} Net calorific value of LPG in the year y (J/g)
EF_{CO2,LPG,y} Carbon emission factor for LPG in the year y(gCO₂/J)

EMISSION REDUCTIONS

$$ER_y = BE_y - PE_y - LE_y \quad (30)$$

Where:

ER_y Emission reductions in year y (t CO₂e/yr)
BE_y Baseline emissions in year y (t CO₂e/yr)
PE_y Project emissions in year y (t CO₂/yr)
LE_y Leakage emissions in year y (t CO₂/yr)

If for a certain year LE_y < 0, then leakage is not included in the calculation of emissions reductions. If LE_y > 0, then it is included.

SENSITIVITY ANALYSIS

A sensitivity analysis is carried out for data and parameters, which are used to calculate baseline, project and leakage emissions. The sensitivity analysis is performed on all parameters except default and IPCC values listed as monitored values/parameters or values to be monitored. The sensitivity analysis is based on calculating the change of the data parameter that would be required to reduce emission reductions by 5%. This value gives an indication of the magnitude of change of the data parameter required to significantly change calculated emission reductions. Steps to carry out the sensitivity analysis include:

- Carry out a sensitivity analysis on these parameters calculating the level of change of the parameter required to reduce emission reductions by 5% below that originally estimated;
- Assess the result in light of possible data uncertainty. As sensitive parameter/data are considered those where a change of less than 10% leads to a reduction of ERs of more than



5%. In these cases an explanation shall be given to ensure that the data value used is correct and conservative.

See Annex 3 for the sensitivity analysis.

B.6.2. Data and parameters that are available at validation:

In addition to the parameters listed in the tables below, the provisions on data and parameters not monitored in the tools referred to in this methodology apply.

Data / Parameter:	SFC_{C, G/D/LPG}
Data unit:	g/km
Description:	Specific fuel consumed of passenger cars using gasoline, diesel or LPG
Source of data used:	Korea Energy Management Corporation. 2010, p.50 (File 3)
Value applied:	Cars gasoline: 58.46 Cars diesel: 62.60 Cars LPG: 55.91
Justification of the choice of data or description of measurement methods and procedures actually applied :	Based on national literature. To check plausibility the value is compared with: <ul style="list-style-type: none"> - IPCC 1996 (last available source for fuel consumption data vehicles): gasoline cars as lowest US values 11.8 l/100km (table 1-27) and as lowest European value 8.1 l/100km (Table 1-36). Both values are higher than the one used by the project (7.9 l/100km) - IPCC 1996 reports for diesel cars as lowest US values 10.0 l/100km (table 1-30) and as lowest European value 7.3 l/100km (Table 1-37). The Korean value is close to the lowest European one (7.4 l/100km) Gasoline cars represent 71% of vehicles and diesel cars 16% thus being the 2 dominant and most important categories.
Any comment:	To transform from litres to grams the specific weight of gasoline, diesel and LPG was taken based on IEA, 2005, table A.3.8 Calculation: Gasoline: $7.9 \text{ (l/100km)} / 100 * 0.741 \text{ (kg/l)} * 1,000 = 58.46 \text{ g/km}$ Diesel: $7.4 \text{ (l/100km)} / 100 * 0.844 \text{ (kg/l)} * 1,000 = 62.60 \text{ g/km}$ LPG: $10.7 \text{ (l/100km)} / 100 * 0.522 \text{ (kg/l)} * 1,000 = 55.91 \text{ g/km}$

Data / Parameter:	N_{C,G/D/LPG}
Data unit:	Vehicles
Description:	Number of passenger cars using fuel type: gasoline, diesel or LPG
Source of data used:	Seoul Metropolitan City, Vehicle Management System, 2011 (File 4)
Value applied:	Gasoline: 1,668,951 (69%) Diesel: 446,438 (18%) LPG: 313,526 (13%)
Justification of the choice of data or description of measurement methods and procedures actually applied :	Official registration statistics Additionally 418 CNG units (<0.1%)
Any comment:	This data is monitored annually. Percentages and not absolute figures are



	required for calculations.
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Data / Parameter:	SFC_{T,LPG}
Data unit:	g/km
Description:	Specific fuel consumed of LPG taxis
Source of data used:	Korea Energy Economic Institute, Energy Consumption Survey, Table 1-2-2, 2009 (weighted average private and corporate taxis) (File 1)
Value applied:	91.43
Justification of the choice of data or description of measurement methods and procedures actually applied :	<p>Official statistic</p> <p>Private and corporate taxis exist. These are however not two different modes of transit. Data is however collected separately for the two types due to different ownership structure. The average value calculated is based on total fuel and total distance of the two types:</p> <p>Fuel consumed (in tsd liters): 83,851.2+100,637.7 = 184,488.9</p> <p>Distance driven (in tsd km): 456,076+597,605) = 1,053,681</p> <p>I. SFC in l/100km = 184,488.9/1,053,681*100 = 17.5 l/100km</p>
Any comment:	<p>To transform from litres to grams the specific weight of LPG was taken based on IEA 2005, table A.3.8</p> <p>Calculation:</p> <p>LPG: 17.5 (l/100km) / 100 * 0.522 (kg/l) * 1,000 = 91.43 g/km</p>

Data / Parameter:	N_{T,LPG}
Data unit:	Vehicles
Description:	Number of taxis using LPG
Source of data used:	Korea Energy Economic Institute, Energy Consumption Survey, Table 1-2-2, 2009 (weighted average private and corporate taxis) (File 1)
Value applied:	13,782 (100%)
Justification of the choice of data or description of measurement methods and procedures actually applied :	Official registration statistics (licensed number including corporation and private units)
Any comment:	<p>This data is monitored annually.</p> <p>Percentages and not absolute figures are required for calculations.</p>

Data / Parameter:	SFC_M
Data unit:	g/km
Description:	Specific fuel consumed of motorcycles (gasoline)
Source of data used:	Korea Energy Economics Institute page 407, 423, 2000 (File 61)
Value applied:	37.64
Justification of the choice of data or description of measurement methods and procedures actually applied :	Official statistic



Any comment:	To transform from litres to grams the specific weight of gasoline was taken based on IEA, 2005, table A.3.8 Calculation: II. $5.1 \text{ (l/100km)} / 100 * 0.741 \text{ (kg/l)} * 1,000 = 37.64 \text{ g/km}$
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Data / Parameter:	N_{M,G}
Data unit:	Vehicles
Description:	Number of motorcycles using gasoline
Source of data used:	Incheon Metropolitan City, Edition 2010 "Incheon Statistical Yearbook ", 2011 (File 17)
Value applied:	56,921 (100%)
Justification of the choice of data or description of measurement methods and procedures actually applied :	Official registration statistics File 61, Korea Energy Economics Institute page 423 shows that all are gasoline
Any comment:	Percentages and not absolute figures are required for calculations.

Data / Parameter:	SFC_{B,D}
Data unit:	g/km
Description:	Specific fuel consumed of diesel buses
Source of data used:	Incheon Metropolitan City, 2011 (File 6)
Value applied:	327.22
Justification of the choice of data or description of measurement methods and procedures actually applied :	Based on records of total fuel consumed and total distance driven; As total fuel consumed and total distance driven is recorded no separation in bus size is required (latter is required if only SFC data is available to weight SFC per bus size)
Any comment:	To transform from litres to grams the specific weight of diesel was taken based on IEA 2005, table A.3.8 Calculation: $11,537,373 \text{ liter} / 29,754,636 \text{ km} * 0.844 \text{ kg/l} * 1,000 = 327.22$

Data / Parameter:	SFC_{B,CNG}
Data unit:	g/km
Description:	Specific fuel consumed of CNG buses
Source of data used:	Incheon Metropolitan City, 2011 (File 6)
Value applied:	338.02
Justification of the choice of data or description of measurement methods and procedures actually applied :	Based on records of total fuel consumed and total distance driven; As total fuel consumed and total distance driven is recorded no separation in bus size is required (latter is required if only SFC data is available to weight SFC per bus size)
Any comment:	To transform from m ³ to grams the specific weight of CNG was taken based on molar mass (CH ₄ has a molar mass of 16g per mol. $16 \text{ g/mol} * 1000 \text{ l/m}^3 / 22.4 \text{ l/mol} = 714 \text{ g/m}^3$)



	Calculation: $100,796,010 \text{ m}^3 / 212,912,983 \text{ km} * 0.714 \text{ kg/m}^3 * 1,000 = 338.02$
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Data / Parameter:	N_B
Data unit:	Vehicles
Description:	Number of buses
Source of data used:	Incheon Metropolitan City, 2011 (File 6)
Value applied:	2,484
Justification of the choice of data or description of measurement methods and procedures actually applied :	Based on total units
Any comment:	

Data / Parameter:	EF_{Grid}
Data unit:	kgCO ₂ /kWh
Description:	Emission factor for the grid
Source of data used:	KEPCO, 2010 (File 9a/b)
Value applied:	0.67379
Justification of the choice of data or description of measurement methods and procedures actually applied :	Official data; follow procedures as in “Tool to calculate baseline, project and/or leakage emissions from electricity consumption”
Any comment:	

Data / Parameter:	TDL
Data unit:	---
Description:	Average technical transmission and distribution losses for providing electricity
Source of data used:	KEPCO, p. 116 data year 2009 (File 10)
Value applied:	1.67%
Justification of the choice of data or description of measurement methods and procedures actually applied :	Official value
Any comment:	

Data / Parameter:	OC_C
Data unit:	Passengers
Description:	Average occupation rate of passenger cars
Source of data used:	Korea Transport Institute, 2010, p.9 (File 5)
Value applied:	1.25
Justification of the	Survey of independent organization



choice of data or description of measurement methods and procedures actually applied :	
Any comment:	

Data / Parameter:	OC_T
Data unit:	Passengers
Description:	Average occupation rate of taxis
Source of data used:	Association of Incheon Metropolitan Taxi company, 2010 (File 2)
Value applied:	0.83
Justification of the choice of data or description of measurement methods and procedures actually applied :	Survey of independent organization
Any comment:	Excluding driver Is monitored also for determination of leakage occupation rate.

Data / Parameter:	OC_M
Data unit:	Passengers
Description:	Average occupation rate of motorcycles
Source of data used:	South Pacific, 2011 (File 60)
Value applied:	1.05
Justification of the choice of data or description of measurement methods and procedures actually applied :	Based on survey. Survey realized using upper 95% confidence interval. The sample size required for a 95% confidence level and a 5% maximum error bound of a point estimation of simple random sample is 59 while the actual sample size taken was 8,864 units. Procedure followed TORs for occupation rate studies described in methodology.
Any comment:	

Data / Parameter:	OC_B
Data unit:	Passengers
Description:	Average occupation rate of buses
Source of data used:	Incheon Metropolitan City, 2011 (File 6)
Value applied:	16.6 (31%)
Justification of the choice of data or description of measurement methods and procedures actually applied :	Calculation based on PKM divided by total distance buses
Any comment:	Is monitored also for determination of leakage occupation rate. Calculation: Passengers: 439,391,448 (Incheon Metropolitan City, 2011, File 6) Average trip distance: 9.2 km (Korea Transportation Safety Authority, 2011, File 7) and Incheon Metropolitan City, 2010, p.80 (average speed of



	<p>17.7km/h))</p> <p>Distance driven buses: see parameter SFC above</p> <p>Occupation = PKM / DD = 439,391,448 passengers * 9.2 km / (29,754,636 km + 212,912,983 km) = 16.6 passengers</p> <p>Occupation percentage = passengers / bus capacity = 16.6 / 54 = 26%</p> <p>Bus capacity: 54 (Incheon Metropolitan City, 2011, File 6)</p>
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Data / Parameter:	PBL_B
Data unit:	Passengers
Description:	Passengers transported by baseline buses per year
Source of data used:	Incheon Metropolitan City, 2011, File 6
Value applied:	439,391,448
Justification of the choice of data or description of measurement methods and procedures actually applied :	Official records
Any comment:	

Data / Parameter:	TDBL_{P,B}
Data unit:	Kilometer
Description:	Average trip distance of passengers using buses prior project start
Source of data used:	Korea Transportation Safety Authority, 2011 (File 7)
Value applied:	9.2
Justification of the choice of data or description of measurement methods and procedures actually applied :	Based on average trip time of 32 minutes and average speed of bus of 17.2 km/h
Any comment:	
Any comment:	

Data / Parameter:	DD_B
Data unit:	Km
Description:	Total distance driven by baseline buses per year
Source of data used:	Incheon Metropolitan City, 2011 (File 6)
Value applied:	242,667,619
Justification of the choice of data or description of measurement methods and procedures actually applied :	Based on CNG distance drive and diesel distance driven (see parameter SFC above)
Any comment:	



Data / Parameter:	AD_B
Data unit:	Km
Description:	Average annual distance driven of buses
Source of data used:	Incheon Metropolitan City, 2011 (File 6)
Value applied:	97,692
Justification of the choice of data or description of measurement methods and procedures actually applied :	Based on annual distance driven of all buses of (D _B see above) and the average number of units (see above)
Any comment:	Used for leakage load factor change buses if calculation is required. Data is updated if leakage occurs in occupation rate buses with the same source.

Data / Parameter:	TD_{EL,R}
Data unit:	Km
Description:	Average trip distance of baseline metro passengers prior project start
Source of data used:	Incheon Metro, 2010 (File 8)
Value applied:	14.6
Justification of the choice of data or description of measurement methods and procedures actually applied :	
Any comment:	

Data / Parameter:	AD_T
Data unit:	Km
Description:	Average annual distance driven of taxis
Source of data used:	Korea Energy Economic Institute, 1-2-2, 2009; average of private and corporate taxi (File 1)
Value applied:	82,034
Justification of the choice of data or description of measurement methods and procedures actually applied :	
Any comment:	Used for leakage load factor change taxis if calculation is required

Data / Parameter:	NIZ_{C,T,BL}
Data unit:	Vehicles
Description:	Number of cars/taxis on roads affected per annum in the baseline
Source of data used:	Gosan Engineering Ltd., 2010 Incheon Metropolitan City Traffic Research Report, 2011 (File 42c)
Value applied:	Table 15: Number of Vehicles Baseline on Affected Roads (per annum)



	ID	Affected Road	Number of cars	Number of taxis
	1	Seogokro (Gonchon Intersection)	18,537,120	639,000
	2	Seodalro (Geunji Intersection)	12,265,920	2,732,400
	3	Baekbeomrp (Sibjeong Intersection)	19,500,840	1,455,840
	4	Guwolro (Sukchun Intersection)	18,514,440	954,000
	5	Sooiro (Jangsoo Intersection)	44,799,840	468,000
Justification of the choice of data or description of measurement methods and procedures actually applied :	Based on full day 6AM to 22PM; for annual data multiplied with factor 360.			
Any comment:	The same measurements will be realized annually to determine the leakage congestion and speed.			

Data / Parameter:	V _B																		
Data unit:	Km/h																		
Description:	Vehicle baseline speed on affected roads.																		
Source of data used:	Gosan Engineering Ltd., 2010 Incheon Metropolitan City Traffic Research Report, 2011 (File 42a/b)																		
Value applied:	<div>Table 16: Baseline Moving Speed on Affected Roads</div> <table><tr><th>ID</th><th>Affected Road</th><th>Average moving speed</th></tr><tr><td>1</td><td>Seogokro (Gonchon Intersection)</td><td>31</td></tr><tr><td>2</td><td>Seodalro (Geunji Intersection)</td><td>31</td></tr><tr><td>3</td><td>Baekbeomrp (Sibjeong Intersection)</td><td>35</td></tr><tr><td>4</td><td>Guwolro (Sukchun Intersection)</td><td>26</td></tr><tr><td>5</td><td>Sooinro (Jangsoo Intersection)</td><td>37</td></tr></table>	ID	Affected Road	Average moving speed	1	Seogokro (Gonchon Intersection)	31	2	Seodalro (Geunji Intersection)	31	3	Baekbeomrp (Sibjeong Intersection)	35	4	Guwolro (Sukchun Intersection)	26	5	Sooinro (Jangsoo Intersection)	37
ID	Affected Road	Average moving speed																	
1	Seogokro (Gonchon Intersection)	31																	
2	Seodalro (Geunji Intersection)	31																	
3	Baekbeomrp (Sibjeong Intersection)	35																	
4	Guwolro (Sukchun Intersection)	26																	
5	Sooinro (Jangsoo Intersection)	37																	
Justification of the choice of data or description of measurement methods and procedures actually applied :	Based on Urban Traffic Information System UTIS: The speed data collection system consists of positioning beacon, probe car, mini-base station (small size mobile base station), data collecting server and main server.																		
Any comment:	The average moving speed is measured as this is required for vehicle speed change.																		

The technology improvement factor IR used for buses, cars, motorcycles and taxis is not included as this is a default factor of the methodology.

B.6.3. Ex-ante calculation of emission reductions:

BASELINE EMISSIONS

Details of the calculation are found in Annex 3.

Table 17: Estimated Baseline Emissions (tCO₂)

2015	2016	2017	2018	2019	2020	2021
114,425	120,320	126,084	125,492	124,899	124,306	123,711



PROJECT EMISSIONS

Details of the calculation are found in Annex 3.

Table 18: Estimated Project Emissions (tCO₂)

2015	2016	2017	2018	2019	2020	2021
69,206	72,702	76,140	76,125	76,193	76,177	76,244

LEAKAGE EMISSIONS

Leakage emissions are based on load factor change and leakage due to reduced congestion (rebound and vehicle speed change). No changes of load factor and no positive leakage in the rebound/speed effect are projected. Therefore leakage is projected to be 0. The experience with CDM projects in MRTS has shown that no negative change in occupation rates occur thus not leading to leakage for change of occupation rate (see project 0671 BRT TransMilenio with monitoring report year 2008). Also the modelling made in MRTS projects under validation using AM0031 (in AM0031 the congestion impact including the speed and the rebound effect is modelled and calculated ex-ante) has shown in projects under validation that the congestion impact is with one exception negative i.e. the speed effect is larger than the rebound effect or the rebound effect is already negative i.e. the projection reduces emissions even more. Therefore it is conservative to assume ex-ante that leakage will be 0. However the corresponding parameters will be monitored in accordance with the methodology and leakage is thus monitored.

B.6.4 Summary of the ex-ante estimation of emission reductions:

Year	Estimation of project activity emissions (tCO _{2e})	Estimation of baseline emissions (tCO _{2e})	Estimation of leakage (tCO _{2e})	Estimation of overall emission reductions (tCO _{2e})
2015	69,206	114,425	0	45,219
2016	72,702	120,320	0	47,618
2017	76,140	126,084	0	49,944
2018	76,125	125,492	0	49,367
2019	76,193	124,899	0	48,706
2020	76,177	124,306	0	48,129
2021	76,244	123,711	0	47,467
Total (tCO_{2e})	522,787	859,237	0	336,450

B.7. Application of the monitoring methodology and description of the monitoring plan:

B.7.1 Data and parameters monitored:

All data collected as part of monitoring should be archived electronically and be kept at least for 2 years after the end of the last crediting period. 100% of the data should be monitored if not indicated otherwise in the tables below. All measurements should be conducted with calibrated measurement equipment according to relevant industry standards and national regulations.



In addition to the parameters listed in the tables below, the provisions on data and parameters monitored in the tools referred to in this methodology apply.

Data / Parameter:	NCV_{G/D,LPG,CNG}
Data unit:	MJ/kg
Description:	Net calorific value of gasoline and diesel
Source of data to be used:	IPCC 2006, table 1.2
Value of data applied for the purpose of calculating expected emission reductions in section B.5	Gasoline: 42.5 Diesel: 41.4 LPG: 44.8 CNG: 46.5
Description of measurement methods and procedures to be applied:	Monitoring frequency: annual Any future revision of the IPCC Guidelines is taken into account.
QA/QC procedures to be applied:	lower 95% confidence interval
Any comment:	

Data / Parameter:	EF_{CO₂,G/D/CNG/LPG}
Data unit:	gCO ₂ /MJ
Description:	CO ₂ emission factor for gasoline, diesel, CNG and LPG
Source of data to be used:	IPCC 2006, table 1.4, lower 95% confidence interval
Value of data applied for the purpose of calculating expected emission reductions in section B.5	Gasoline: 67.5 Diesel: 72.6 CNG: 54.3 LPG: 61.6
Description of measurement methods and procedures to be applied:	No national value; IPCC default value lower 95% confidence interval Monitoring frequency: annual Any future revision of the IPCC Guidelines is taken into account.
QA/QC procedures to be applied:	
Any comment:	In case biofuel blends are used in liquid fuels the biofuel share of the blend is accounted for with zero emission factor. The usage of biofuel is monitored annually for diesel and gasoline. The EF is thereafter adjusted based on the biofuel contents e.g. if the biofuel contents is 10% then the IPCC EF is multiplied with (100%-10%) to get the EF used. The baseline emission factors must, if the biofuel contents changes over time be adjusted.

Data / Parameter:	EF_{KM,B,CH₄}
Data unit:	gCO _{2eq} /km
Description:	CH ₄ emission factor of CNG buses per kilometre in CO _{2eq}
Source of data to be used:	IPCC 2006, table 3.2.4.
Value of data applied for the purpose of calculating expected emission reductions in section B.5	162.0
Description of measurement methods and procedures to be applied:	IPCC value as no national measurements exist Monitoring frequency: annual



QA/QC procedures to be applied:	
Any comment:	Any future revision of the IPCC Guidelines is taken into account. The methodology requires that CH ₄ emissions of vehicles using gaseous fuels are included. Value of 7,715 mg CH ₄ of IPCC is multiplied with the GWP of 21 for CH ₄ to calculate CO _{2eq}

Data / Parameter:	EF_{KM,LPG,C/T,CH4}
Data unit:	gCO _{2eq} /km
Description:	CH ₄ emission factor of LPG cars and taxis per kilometre in CO _{2eq}
Source of data to be used:	IPCC 2006, table 3.2.4.
Value of data applied for the purpose of calculating expected emission reductions in section B.5	0.5
Description of measurement methods and procedures to be applied:	IPCC value as no national measurements exist Monitoring frequency: annual
QA/QC procedures to be applied:	
Any comment:	Any future revision of the IPCC Guidelines is taken into account. The methodology requires that CH ₄ emissions of vehicles using gaseous fuels are included. 24 mg CH ₄ of IPCC is multiplied with the GWP of 21 for CH ₄ to calculate CO _{2eq}

Data / Parameter:	N_{x,C/T}
Data unit:	Vehicles
Description:	Number of passenger cars (C) and taxis (T) using fuel type <i>x</i>
Source of data to be used:	Seoul Metropolitan City and Korea Energy Economic Institute
Value of data applied for the purpose of calculating expected emission reductions in section B.5	No change projected
Description of measurement methods and procedures to be applied:	Registration statistics Monitoring frequency: annual
QA/QC procedures to be applied:	latest available data not elder than 3 years
Any comment:	Required to check if passenger cars or taxis use different fuels than those used for calculating the baseline parameter.

Data / Parameter:	P						
Data unit:	Passengers						
Description:	Total passengers transported by the project						
Source of data to be used:	Incheon Metro						
Value of data applied for the purpose of calculating expected emission reductions in section B.5	Table 19: Million Passengers per Year						
	2015	2016	2017	2018	2019	2020	2021
	84	90	95	95	96	96	97



	For projections based on File 12, KDI, 2008
Description of measurement methods and procedures to be applied:	Turnpike controls at stations and electronic smart cards. Passengers are included which enter stations of the project metro (Get On) and transfer passengers. Monitoring frequency: Continuously, aggregated at least annually
QA/QC procedures to be applied:	Checked with ticket sales (average fare and income from ticket).
Any comment:	

Data / Parameter:	EC _{PJ}						
Data unit:	MWh						
Description:	Electricity consumed by project metro						
Source of data to be used:	Incheon Metro						
Value of data applied for the purpose of calculating expected emission reductions in section B.5	Table 20: Traction Energy per Year in MWh						
	2015	2016	2017	2018	2019	2020	2021
	25,704	26,928	28,152	28,519	29,009	29,376	29,866
	For projections based on File 13, Incheon Metro Line 2 , 2010						
Description of measurement methods and procedures to be applied:	Traction energy only						
	Monitoring frequency: Continuously, aggregated at least annually						
QA/QC procedures to be applied:	Control with electricity invoices. The electricity meters are calibrated by the local electricity board. The electricity meters are not owned or managed by Incheon Metro but by KEPCO. Latter is also responsible for their periodic calibration. Electricity meters are calibrated, depending on the electricity meter type, every 7 to 15 years based on regulations of the Ministry of Knowledge Economy (File 66).						
Any comment:	Used to calculate together with the emission factor grid the DPE as per the “Tool to calculate baseline, project and/or leakage emissions from electricity consumption”.						

Data / Parameter:	MS_i
Data unit:	Percentage
Description:	Net share of passengers using the metro which would have used baseline mode <i>i</i> (%)
Source of data to be used:	Survey realized by external survey company
Value of data applied for the purpose of calculating expected emission reductions in section B.5	<p>For projections based on a complete survey made on SML9 line (VCS project) 2011:</p> <p>Passenger car: 9.9%</p> <p>Taxi: 8.2%</p> <p>Motorcycle: 0.2%</p> <p>Bus: 71.2%</p> <p>NMT and induced traffic: 28.9%</p> <p>Other metro: 44.8%</p> <p>Induced traffic (would not have made trip): 0.7%</p> <p>The total is more than 100% as passengers can use various modes in the baseline from their trip origin to their trip destination.</p>
Description of	Survey.



measurement methods and procedures to be applied:	Monitoring frequency: annual
QA/QC procedures to be applied:	See Annex 3 for the survey design
Any comment:	Only used for leakage calculation of rebound effect.

Data / Parameter:	N_B
Data unit:	Buses
Description:	Number of buses circulating in the city
Source of data to be used:	Incheon Metropolitan City
Value of data applied for the purpose of calculating expected emission reductions in section B.5	None as no change in occupation rate of buses is previewed
Description of measurement methods and procedures to be applied:	Monitoring frequency: year 1, 4 and 7
QA/QC procedures to be applied:	
Any comment:	Monitoring is only if required i.e. if a change of occupation rate is registered

Data / Parameter:	OC_{B,T}
Data unit:	Passengers
Description:	Average occupancy rate of buses and taxis
Source of data to be used:	Survey realized by project proponent or 3 rd party
Value of data applied for the purpose of calculating expected emission reductions in section B.5	No change of occupation rate previewed to baseline. Practical experience of a comparable MRTS (TransMilenio Bogota, which has a comparable outreach) has shown no negative change (reduced occupation rate) of occupation rates of baseline vehicles. See verification report TransMilenio 2009 (project 0672) (published on www.unfccc.int).
Description of measurement methods and procedures to be applied:	Calculation for buses based on PKM divided by total distance of buses. Total distance and total passengers are reported regularly by the bus company. Passenger trip distance is either reported or based on a survey of bus users. Calculation for taxis based on published data (as in baseline) or on survey using the TORs of the approved methodology. Independent published data or in accordance with TORs of methodology Monitoring frequency: Year 1, 4, and 7
QA/QC procedures to be applied:	
Any comment:	

Data / Parameter:	NIZ_{C,T}
Data unit:	Vehicles
Description:	Number of cars/taxis using affected roads
Source of data to be used:	Survey realized by project proponent or 3 rd party
Value of data applied for the purpose of calculating expected emission	No projections as no speed change is expected, thus not requiring this parameter.



reductions in section B.5	
Description of measurement methods and procedures to be applied:	Visual counting. Monitoring frequency: annual
QA/QC procedures to be applied:	Same roads, same data points, same hours and same number of days are monitored as in the baseline study (File 42).
Any comment:	

Data / Parameter:	TDIZ_{C,T}
Data unit:	Kilometres
Description:	Distance driven by taxis and passenger cars on affected roads
Source of data to be used:	Survey realized by project proponent or 3 rd party
Value of data applied for the purpose of calculating expected emission reductions in section B.5	No projections as no speed change is expected, thus not requiring this parameter.
Description of measurement methods and procedures to be applied:	Visual tracking of samples of vehicles entering/exiting the affected roads registering the entry and the exit point and measuring the distance by GPS or other means Monitoring frequency: annual
QA/QC procedures to be applied:	
Any comment:	

Data / Parameter:	V_P
Data unit:	Km/h
Description:	Vehicle project speed on affected roads; Average moving speed is recorded.
Source of data to be used:	Survey realized by project proponent or 3 rd party
Value of data applied for the purpose of calculating expected emission reductions in section B.5	Same speed as under baseline is expected
Description of measurement methods and procedures to be applied:	On-board measurements determining the average moving speed (when circulating) on the affected road based e.g. on GPS measuring. Monitoring frequency: annual
QA/QC procedures to be applied:	Same roads, same data points, same hours and same number of days are monitored as in the baseline study.
Any comment:	Only passenger cars and taxis

Data / Parameter:	EC_{EL,R}
Data unit:	MWh
Description:	Quantity of electricity consumed by the baseline metro line per annum
Source of data to be used:	Incheon Metro
Value of data applied for the purpose of calculating expected emission reductions in section B.5	59,143 (File 8, Incheon Metropolitan Metro, 2010)
Description of measurement methods and	Monitoring frequency: annual



procedures to be applied:	
QA/QC procedures to be applied:	
Any comment:	Required to establish the emission factor per PKM for existing metro

Data / Parameter:	P_{EL,R}
Data unit:	Passengers
Description:	Total passengers transported by baseline metro lines per year
Source of data to be used:	Incheon Metro
Value of data applied for the purpose of calculating expected emission reductions in section B.5	80,133,000 (File 8, Incheon Metropolitan Metro, 2010)
Description of measurement methods and procedures to be applied:	Monitoring frequency: annual
QA/QC procedures to be applied:	
Any comment:	Required to establish the emission factor per PKM for suburban rail

TC_{PJ}, DD_{PJ} and SFC_{PJ} are not monitored as the project uses only electricity as fuel.

DPE and IDPE are calculated and not monitored values and therefore not listed.

BTD_P, IPDT_P, P_{SPER}, FEX_P, are resultants from the survey (see Annex 3). They are calculated per surveyed passenger and then expanded to the total of passengers in the monitored time period.

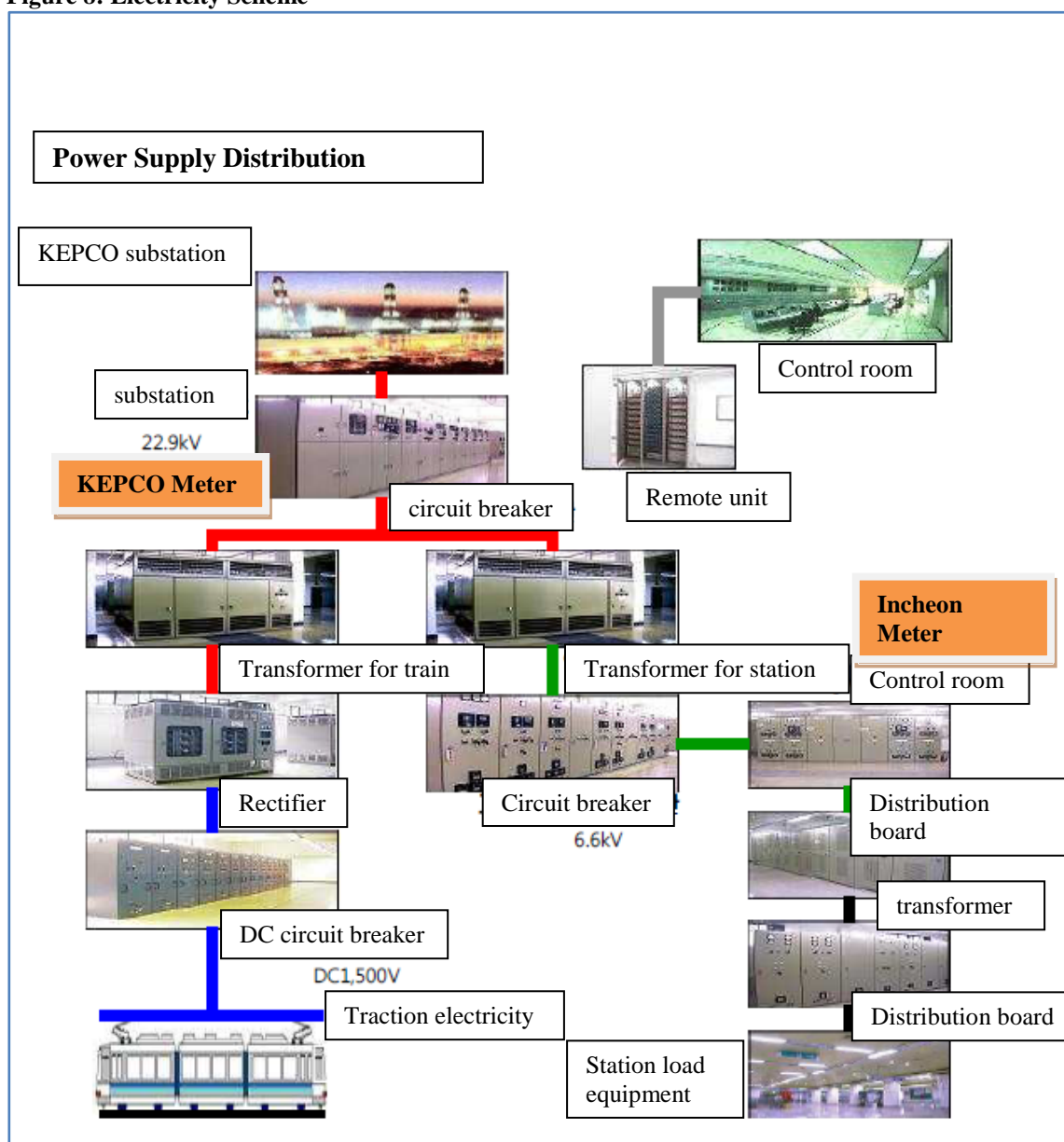
B.7.2. Description of the monitoring plan:

A monitoring manual for the project has been developed by Grütter Consulting AG and can be reviewed by the validator. It defines all responsibilities and procedures. For each data parameter the information sources, units, frequency of measurement as well as data quality assurance processes are described in detail. Also steps are provided how to proceed in case of problematic data. The staff will be trained on usage of the manual. Grütter Consulting AG will take the role of data quality control and quality assurance while also realizing the monitoring report during the entire crediting period. Grütter Consulting AG is the author of the methodology used for this project and a consultancy specialized in GHG transport projects since 1992. It is in charge of numerous comparable CDM transport projects and has thus a profound know-how on monitoring such types of projects. See Annex 4 for details of the manual.

The survey design as well as the statistical analysis of the survey will be realized by Grütter Consulting AG which has a staff exclusively and highly qualified for survey design and statistical analysis. The survey realization will be done by an external specialized company with quality control through South Pacific Inc. Grütter Consulting AG and its staff also developed the methodology including the survey design included in the methodology and is thus highly competent to perform this important task. All other data not under direct control of the metro (load factor studies, speed studies, congestion studies) will be collected or contracted and supervised by South Pacific Inc. with QA/QC done by Grütter Consulting AG. Through managing worldwide numerous Mass Transit projects in various cities data values obtained are also cross-checked to improve data reliability.

The traction energy consumed is the difference between total electricity consumed measured by KEPCO minus station electricity consumption measured by Incheon metro (see following Figure).

Figure 8: Electricity Scheme



Source: File 67

The KEPCO station has an electricity meter managed by KEPCO for metering the total electricity consumption. Thereafter electricity is separated for station usage and for train usage. Station usage is monitored by an electricity meter managed by Incheon metro.

B.8. Date of completion of the application of the baseline study and monitoring methodology and the name of the responsible person(s)/entity(ies):



Completion date: 20/10/2011

The PDD as well as the methodology used for this PDD was developed by Grütter Consulting AG. Staff involved in the elaboration of this PDD include Dr. Jürg M. Grütter, CEO Grütter Consulting AG and Rohini Balasubramanian, India Country Manager Grütter Consulting AG and staff of South Pacific Inc.

Grütter Consulting AG is responsible for the baseline determination of the project and author of the methodology used for this project.

Contact person: Jürg M. Grütter

jgruetter@gmail.com

www.transport-ghg.com

Grütter Consulting AG and South Pacific Inc. are also project participants as listed in Annex 1.

SECTION C. Duration of the project activity / crediting period**C.1. Duration of the project activity:****C.1.1. Starting date of the project activity:**

09/06/2009⁶³

C.1.2. Expected operational lifetime of the project activity:

Infrastructure minimum 30 years

C.2. Choice of the crediting period and related information:**C.2.1. Renewable crediting period:****C.2.1.1. Starting date of the first crediting period:**

01/01/2015

C.2.1.2. Length of the first crediting period:

7 years, 0 months

C.2.2. Fixed crediting period:**C.2.2.1. Starting date:**

Not applicable

⁶³ File 15

**C.2.2.2. Length:**

Not applicable

SECTION D. Environmental impacts**D.1. Documentation on the analysis of the environmental impacts, including transboundary impacts:**

The project has realized an EIA⁶⁴ May 2009. The project reduces the usage of fossil fuel and the corresponding emission of pollutants caused by fossil powered vehicles due to mode switch towards the metro. A positive impact on potential transboundary air pollution can be expected due to reduced emissions of air pollutants due to reduced fuel usage and minor vehicle kilometres (PM and NO_x basically). Transboundary air pollution is a particular problem for pollutants that are not easily destroyed or react in the atmosphere to form secondary pollutants. Typical transboundary air pollutants are carbon monoxide, PM10, non-methane VOCs⁶⁵ and NO_x (resulting potentially in ground-level ozone which again is a major component of smog) or sulphur dioxide (SO₂ together with NO_x are primary precursors of acid rain).

D.2. If environmental impacts are considered significant by the project participants or the host Party, please provide conclusions and all references to support documentation of an environmental impact assessment undertaken in accordance with the procedures as required by the host Party:

The EIA has identified the environmental impacts of the project.

The conclusion of the EIA is that the metro construction will have an overall positive impact community-wide due to promoting regional development and mitigation of traffic problems downtown. Impacts on users identified include that the metro meets the demand of passengers in terms of convenience, punctuality and reliability. Social benefits are expected due to traffic improvement. The reduced utilization of transit means such as cars also reduce the amount and cost of road traffic accidents and reduces the maintenance cost and expenses for roads. The reduction of baseline traffic means also results in less air pollution.

Impacts identified are some topographical changes, air pollutant from operating construction equipment, noise and vibration during construction and operation, wastewater and waste from construction workers, and wastewater from passengers using stations.

To minimize the effect of dust and noise the EIA recommends the installation of wind screens and temporary noise absorbing panels, periodic watering, treatment of the wastewater and establishment of a monitoring and planning system to mitigate negative environmental impacts. A planning direction is set-up to minimize effects on air, water, land and living environment around the planned route.

In accordance with the “Metro Act” Art. 4, clause 3 the Incheon metro complies with the environmental impact, transportation impact assessment and disaster impact assessment. The Ministry of Land, Transportation and Maritime Affairs has approved the Traffic Impact Assessment for Incheon

⁶⁴ File 33

⁶⁵ Volatile Organic Components



Metro Line 2 as of 07/06/2011⁶⁶. The same Ministry also confirms that the environmental impact assessment of Incheon Metro Line 2 has been realized in accordance with the “Law of EIA” Art. 18 Clause 3, as of 10/06/2009⁶⁷ and that the natural disaster impact assessment is in accordance with the natural disaster Art. 4 as of 02/04/2009⁶⁸.

SECTION E. Stakeholders’ comments

E.1. Brief description how comments by local stakeholders have been invited and compiled:

The EIA included a stakeholder meeting. The stakeholder meeting was publicly announced in two newspapers 16/12/2008. The results of the EIA were also on various public websites open for comments⁶⁹. Three stakeholder meetings were organized and residents could enter their written comments up to one week after these meetings:

- 23/12/2008 at Namgu Conference Room
- 23/12/2008 at Namdonggu Community Service Center of Seochang, Jangsu
- 24/12/2008 in Seogu at the Welfare Hall of Guemdan
- 17/03/2009 a public hearing announced previously through newspaper ads was realized at the Cultural Center of Seogu

Photo1: Stakeholder Meeting at Namdonggu

⁶⁶ File 64

⁶⁷ File 64

⁶⁸ File 64

⁶⁹ File 33, chapter 6

**E.2. Summary of the comments received:**

Stakeholder suggestions of the hearings and meetings were recorded⁷⁰. They include:

- Minimize environmental impacts from noise and vibration.
- All sections should be underground.
- Make the metro in its elevated sections more attractive (visual pollution)
- Have a good connectivity.

E.3. Report on how due account was taken of any comments received:

Comments raised during the stakeholder meeting were responded. The environmental measures are addressed by the project and listed in the EIA. The project cannot be made fully underground due to cost reasons.

⁷⁰ See File 33 chapter 6 and File 43

**Annex 1****CONTACT INFORMATION ON PARTICIPANTS IN THE PROJECT ACTIVITY**

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Annex 2

INFORMATION REGARDING PUBLIC FUNDING

There is no Official Development Assistance in this project and the project will not receive any public funding from Parties included in Annex I.

Annex 3

BASELINE INFORMATION

A.1. BASELINE EMISSIONS

A.1.1. Formulas

$$BE_y = \frac{P_y}{P_{SPER}} \sum_p (BE_{p,y} \cdot FEX_{p,y})$$

Where:

BE_y	Baseline emissions in the year y (g CO ₂)
$BE_{p,y}$	Baseline emissions per surveyed passenger p in the year y (g CO ₂)
$FEX_{p,y}$	Expansion factor for each surveyed passenger p surveyed in the year y (each surveyed passenger has a different expansion factor)
P_y	Total number of passengers in the year y
P_{SPER}	Number of passengers in the time period of the survey (1 week)
p	Surveyed passenger
y	Year of the crediting period

$$BE_{p,y} = \sum_i BTD_{p,i,y} \cdot EF_{PKM,i,y}$$

Where:

$BE_{p,y}$	Baseline emissions per surveyed passenger p in the year y (g CO ₂)
$BTD_{p,i,y}$	Baseline trip distance p per surveyed passenger using mode i in the year y (PKM)
$EF_{PKM,i,y}$	Emission factor per passenger-kilometre of mode i in the year y (g CO ₂ /PKM)
i	Relevant vehicle category
p	Surveyed passenger
y	Year of the crediting period



$$EF_{PKM,i,y} = \frac{TE_{EL,i,y}}{P_{EL,i,y} \cdot TD_{EL,i}}$$

Where:

$EF_{PKM,i,y}$	Emission factor per passenger-kilometre of suburban rail / metro for year y (gCO_2/PKM)
$TE_{EL,i,y}$	Total emissions from suburban rail / metro for year y (tCO_2)
$P_{EL,i,y}$	Total passengers transported per year by suburban rail / metro for year y (passengers)
$TD_{EL,i}$	Average trip distance of passengers using suburban rail / metro prior to project start (km)
i	Suburban rail / metro
y	Year of the crediting period

$$TE_{EL,i,y} = EC_{BL,i,y} \times EF_{grid,CM} \times (1 + TDL) \times 10^{-6}$$

Where:

$TE_{EL,i,y}$	Total emissions from suburban rail / metro for year y (tCO_2)
$EC_{BL,i,y}$	Quantity of electricity consumed by suburban rail / metro in the year y (MWh)
$EF_{grid,CM}$	Emission factor for electricity generation in the grid based on combined margin (gCO_2/kWh)
TDL	Average technical transmission and distribution losses for providing electricity

$$EF_{PKM,i,y} = \frac{EF_{KM,i,y}}{OC_i}$$

Where:

$EF_{PKM,i}$	Emission factor per passenger-kilometre of vehicle category i in the year y ($g CO_2/PKM$)
$EF_{KM,i}$	Emission factor per kilometre of vehicle category i in the year y ($g CO_2/km$)
OC_i	Average occupation rate of vehicle category i prior project start (passengers)
i	Relevant vehicle category
y	Year of the crediting period



$$OC_B = \frac{PBL_B \times TDBL_{P,B}}{DD_B}$$

Where:

OC_B	Average occupation rate of buses prior project start (passengers)
PBL_B	Passengers transported by baseline buses prior project (passengers)
$TDBL_{P,B}$	Average trip distance of passengers using baseline bus (kilometer)
DD_B	Distance driven by all baseline buses (kilometer)

$$EF_{KM,i,y} = \frac{\sum_x (SFC_{i,x,y} \cdot NCV_{x,y} \cdot EF_{CO2,x,y} \cdot N_{x,i})}{N_i}$$

Where:

$EF_{KM,i,y}$	Emission factor per kilometre of vehicle category i in the year y (g CO ₂ /km)
$SFC_{x,i}$	Specific fuel consumption of vehicle category i using fuel type x prior project start (g/km)
$NCV_{x,y}$	Net calorific value of fuel x in the year y (J/g)
$EF_{CO2,x,y}$	Carbon emission factor for fuel type x in the year y (g CO ₂ /J)
$N_{x,i}$	Number of vehicles of category i using fuel type x prior to project start (units)
$N_{x,i}$	Number of vehicles of category i prior to project start (units)
i	Relevant vehicle category
x	Fuel type
y	Year of the crediting period

$$EF_{KM,i,y} = (IR_i)^{t+y} \cdot \frac{\sum_x (SFC_{i,x} \cdot NCV_{x,y} \cdot EF_{CO2,x,y} \cdot N_{x,i})}{N_i}$$

Where:

$EF_{KM,i,y}$	Emission factor per kilometre of vehicle category i in the year y (g CO ₂ /km)
$SFC_{x,i}$	Specific fuel consumption of vehicle category i using fuel type x prior project start (g/km)



$NCV_{x,y}$	Net calorific value of fuel x in the year y (J/g)
$EF_{CO_2,x,y}$	Carbon emission factor for fuel type x in the year y (g CO ₂ /J)
$N_{x,i}$	Number of vehicles of category i using fuel type x prior to project start (units)
$N_{x,i}$	Number of vehicles of category i prior to project start (units)
IR_i^{t+y}	Technology improvement factor for the vehicle of category i per year $t+y$ (ratio)
i	Relevant vehicle category
x	Fuel type
t	Years of annual improvement (dependent on age of data per vehicle category)
y	Year of the crediting period

A.1.2. Data Used

Table A.1. Baseline Parameters

Parameter	Description	Value	Unit	Source
$EF_{grid,CM}$	Emission factor of grid	0.67379	tCO ₂ /MWh	File 9
TDL	Average technical transmission and distribution losses for providing electricity	1.67%	percentage	File 10
$EC_{EL,R}$	Quantity of electricity consumed by the existing metro	59,143	MWh	File 8
$P_{EL,R}$	Passengers transported by the existing metro	80,133,000	Passengers	File 8
$TD_{EL,R}$	Average trip distance of passengers using the existing metro	14.6	km	File 8
$SFC_{C,G}$	Specific fuel consumption gasoline cars	7.9	l/100km	File 3
$SFC_{C,D}$	Specific fuel consumption diesel cars	7.4	l/100km	File 3
$SFC_{C,LPG}$	Specific fuel consumption LPG cars	10.7	l/100km	File 3
$SFC_{T,LPG}$	Specific fuel consumption LPG taxis	17.5	l/100km	File 1
$SFC_{M,G}$	Specific fuel consumption gasoline motorcycles	5.1	l/100km	File 61
$FC_{B,D}$	Fuel consumption all diesel buses year 2010	11,537,373	Litre	File 6
$FC_{B,CNG}$	Fuel consumption all CNG buses year 2010	100,796,010	m ³	File 6
$DD_{B,D}$	Distance driven all diesel buses year 2010	29,754,636	Km	File 6
$DD_{B,CNG}$	Distance driven all CNG buses year 2010	212,912,983	Km	File 6
NCV_G	Net calorific value gasoline	42.5	MJ/kg	IPCC 2006, table 1.2
NCV_D	Net calorific value diesel	41.4	MJ/kg	IPCC 2006, table 1.2
NCV_{CNG}	Net calorific value CNG	46.5	MJ/m ³	IPCC 2006, table 1.2
NCV_{LPG}	Net calorific value LPG	44.8	MJ/kg	IPCC 2006, table 1.2
$EF_{CO_2,G}$	CO ₂ emission factor gasoline	67.5	gCO ₂ /MJ	IPCC 2006, table 1.4
$EF_{CO_2,D}$	CO ₂ emission factor diesel	72.6	gCO ₂ /MJ	IPCC 2006, table 1.4



EF _{CO2,CNG}	CO ₂ emission factor CNG	54.3	gCO ₂ /MJ	IPCC 2006, table 1.4
EF _{CO2,LPG}	CO ₂ emission factor LPG	61.6	gCO ₂ /MJ	IPCC 2006, table 1.4
EF _{CH4,CNG}	CH ₄ emission factor of CNG buses	162.0	gCO ₂ /km	IPCC 2006, table 3.2.4
EF _{CH4,CNG}	CH ₄ emission factor of CNG light vehicles	9.9	gCO ₂ /km	IPCC 2006, table 3.2.4
EF _{CH4,LPG}	CH ₄ emission factor of LPG light vehicles	0.5	gCO ₂ /km	IPCC 2006, table 3.2.4
	Specific weight gasoline	0.741	kg/l	IEA, 2005, table A.3.8
	Specific weight diesel	0.844	kg/l	IEA, 2005, table A.3.8
	Specific weight CNG	0.714	kg/m ³	Based on molar mass
	Specific weight LPG	0.522	kg/l	IEA, 2005, table A.3.8
IR	Technology improvement factor	0.99	no unit	ACM0016
	Share gasoline cars	69%	%	File 4
	Share diesel cars	18%	%	File 4
	Share LPG cars	13%	%	File 4
	Share LPG taxis	100%	%	File 1
N _B	Number of buses	2,484	vehicles	File 6
PBL _B	Passengers transported all buses 2010	439,391,448	passengers	File 6
TDBL _{P,B}	Average trip distance of passenger on bus	9.2	km	File 7
OC _C	Occupation rate cars	1.25	passengers	File 5
OC _T	Occupation rate taxis	0.83	passengers	File 2
OC _M	Occupation rate motorcycles	1.05	passengers	File 60
MS	Share of passengers using mode <i>i</i> for the baseline trip	See table A2	%	File 11
P	Passengers transported by the project	See table A5	passengers	File 12
BTD _{PS,i}	Baseline trip distance of the surveyed passenger using mode <i>i</i>	Value per passenger surveyed	km	File 11

**Table A2. Mode Share of Surveyed Passengers⁷¹**

Mode	Share of passengers using this mode
Passenger car	9.9%
Taxi	8.2%
Bus	71.2%
Motorcycle	0.2%
NMT incl. induced	28.9%
Metro	44.8%
Induced	0.7%

Table A3. Emissions per Kilometre of Modes (gCO₂/km)

Mode	2015	2016	2017	2018	2019	2020	2021
Passenger car	161	160	158	157	155	153	152
Taxi	238	236	233	231	229	226	224
Bus	962	952	943	933	924	915	906
Motorcycle	102	101	100	99	98	97	96

Technology improvement factor for each year based on data year source.

Table A4. Emissions per Passenger-Kilometre of Modes (gCO₂/PKM)

Mode	2015	2016	2017	2018	2019	2020	2021
Passenger car	129	128	127	125	124	123	122
Taxi	287	284	281	278	275	273	270
Bus	59	58	57	57	56	56	55
Existing metro	35	35	35	35	35	35	35
Motorcycle	97	96	95	94	93	92	91

⁷¹Passengers can use more than 1 mode for their trip and therefore the cumulative percentage is more than 100%

**A.1.3. Results****Table A5. Baseline Emissions**

Parameter	unit	2015	2016	2017	2018	2019	2020	2021
Number of passengers	passengers	84,446,765	89,694,005	94,940,515	95,449,325	95,957,770	96,466,580	96,975,025
Average baseline emission factor	gCO ₂ /passenger	1,355	1,341	1,328	1,315	1,302	1,289	1,276
Total baseline emissions	tCO₂	114,425	120,320	126,084	125,492	124,899	124,306	123,712

**A.2. PROJECT EMISSIONS****A.2.1. Formulas**

$$PE_y = DPE_y + IPE_y$$

Where:

$PE_{y,}$	Project emissions in the year y (tCO ₂)
DPE_y	Direct project emissions in the year y (tCO ₂)
IPE_y	Indirect project emissions in the year y (tCO ₂)
y	Year of the crediting period

$$DPE_y = EC_{PJ,y} \times EF_{grid,CM} \times (1 + TDL) \times 10^{-6}$$

Where:

DPE_y	Direct project emissions in the year y (tCO ₂)
$EC_{PJ,y}$	Quantity of electricity consumed by project metro for traction in the year y (MWh)
$EF_{grid,CM}$	Emission factor for electricity generation in the grid based on combined margin (gCO ₂ /kWh)
TDL	Average technical transmission and distribution losses for providing electricity

$$IPE_y = \frac{P_y}{P_{SPER}} \sum_p (IPE_{p,y} \cdot FEX_{p,y})$$

Where:

IPE_y	Indirect project emissions in the year y (g CO ₂)
$IPE_{p,y}$	Indirect project emissions per surveyed passenger p in the year y (g CO ₂)
$FEX_{p,y}$	Expansion factor for each surveyed passenger p surveyed in the year y (each surveyed passenger has a different expansion factor)
P_y	Total number of passengers in the year y
P_{SPER}	Number of passengers in the time period of the survey (1 week)
p	Surveyed passenger
y	Year of the crediting period



$$IPE_{p,y} = \sum_i IPTD_{p,i,y} \times EF_{PKM,i,y}$$

Where:

$IPE_{p,y}$	Indirect project emissions per surveyed passenger p in the year y (g CO ₂)
$IPTD_{p,i,y}$	Indirect project trip distance p per surveyed passenger using mode i in the year y (PKM)
$EF_{PKM,i,y}$	Emission factor per passenger-kilometre of mode i in the year y (g CO ₂ /PKM)
i	Relevant vehicle category
p	Surveyed passenger
y	Year of the crediting period

A.2.2. Data Used

Table A6. Project Parameters

Parameter	Description	Value	Unit	Source
$EF_{grid,CM}$	Emission factor of grid	0.67379	tCO ₂ /MWh	File 9
TDL	Average technical transmission and distribution losses for providing electricity	1.67%	percentage	File 10
$EC_{M,y}$	Quantity of electricity consumed by the metro (trains only)	See table A7	MWh	File 13
$EF_{PKM,i}$	Emission factor per passenger-kilometre of mode “i”	See table A4	gCO ₂ /PKM	File 11
$IPTD_{PS,i}$	Indirect project trip distance of the surveyed passenger using mode “i”	Value per passenger surveyed	km	File 11
P	Passengers transported by the project	See table A5	passengers	File 12

A.2.3. Results

Table A7. Project Emissions

	unit	2015	2016	2017	2018	2019	2020	2021
Electricity consumption	MWh	25,704	26,928	28,152	28,519	29,009	29,376	29,866
Direct project emissions	tCO _{2eq}	17,608	18,447	19,285	19,537	19,872	20,124	20,459
Indirect project emissions	tCO _{2eq}	51,597	54,255	56,854	56,587	56,320	56,052	55,784
Total project emissions	tCO_{2eq}	69,205	72,702	76,140	76,124	76,192	76,176	76,244

**A.3. LEAKAGE EMISSIONS****A.3.1. Formulas**

$$LE_y = LE_{LFB,y} + LE_{LFT,y} + LE_{CON,y}$$

Where:

LE_y	Leakage emissions in the year y (tCO ₂)
$LE_{LFB,y}$	Leakage emissions due to change of load factor buses in the year y (tCO ₂)
$LE_{LFT,y}$	Leakage emissions due to change of load factor taxis in the year y (tCO ₂)
$LE_{CON,y}$	Leakage emissions due to reduced congestion in the year y (tCO ₂)
y	Year of the crediting period

If $LE_y < 0$, then leakage is not included

If $LE_y > 0$, then leakage is included

$$LE_{LFB,y} = \frac{1}{10^6} \cdot N_{B,y} \cdot AD_B \cdot EF_{KM,B,y} \cdot \left(1 - \frac{OC_{B,y}}{OC_B}\right)$$

Where:

$LE_{LFB,y}$	Leakage emissions due to change of load factor of buses in the year y (tCO ₂)
$N_{B,y}$	Number of baseline buses in the year y (buses)
AD_B	Average annual distance driven by baseline buses (km/bus)
$EF_{KM,B,y}$	Emission factor per kilometre of baseline buses in the year y (g CO ₂ /km)
$OC_{B,y}$	Average occupancy rate of baseline buses in the year y (passengers)
OC_B	Average occupancy rate of baseline buses prior project start (passengers)
y	Year of the crediting period

$$LE_{LFT,y} = N_{T,y} \cdot AD_T \cdot EF_{KM,T,y} \cdot \left(1 - \frac{OC_{T,y}}{OC_T}\right) \cdot \frac{1}{10^6}$$



Where:

$LE_{LFT,y}$	Leakage emissions due to change of load factor of taxis in the year y (tCO ₂)
$N_{T,y}$	Number of taxis in the year y (taxis)
AD_T	Average annual distance driven per taxi (km/taxi)
$EF_{KM,T,y}$	Emission factor per kilometre of taxis in the year y (g CO ₂ /km)
$OC_{T,y}$	Average occupancy rate of taxis in the year y (passengers)
OC_T	Average baseline occupancy rate of taxis prior project start (passengers)
y	Year of the crediting period

$$LE_{CON,y} = LE_{REB,y} + LE_{SP,y}$$

Where:

$LE_{CON,y}$	Leakage emissions due to reduced congestion in the year y (tCO ₂)
$LE_{REB,y}$	Leakage emissions due to induced traffic / rebound effect in the year y (tCO ₂)
$LE_{SP,y}$	Leakage emissions due to changing vehicle speed in the year y (tCO ₂)

$$LE_{REB,y} = \frac{1}{10^6} \cdot \sum_i \left(TDIZ_{i,y} \cdot EF_{KM,i,y} \cdot (NIZ_{i,y} - NIZ_{i,BL} + NIZ_{i,MS,y}) \right)$$

Where:

$LE_{REB,y}$	Leakage emissions due to rebound effect in the year y (tCO ₂)
$NIZ_{i,y}$	Number of cars/taxis per annum using the affected roads in the year y (cars, taxis)
$NIZ_{i,BL}$	Number of cars/taxis per annum using the affected roads in the baseline (cars, taxis)
$NIZ_{i,MS,y}$	Number of cars/taxis per annum not used anymore due to mode shift to the MRTS in the year y (cars, taxis)
$TDIZ_i$	Average trip distance made by cars/taxis on the affected roads in the year y (km)
$EF_{KM,i,y}$	Emission factor per kilometre of cars and taxis in the year y (g CO ₂ /km)
i	Cars, taxis
y	Year of the crediting period

$$NIZ_{i,MS,y} = \frac{MS_{i,s} \cdot P_y}{OC_i}$$



Where:

$NIZ_{i,MS,y}$	Number of cars/taxis per annum not used anymore due to mode shift to the metro in the year y (cars, taxis)
$MS_{i,y}$	Net share of passengers using the metro which would have used mode i in the year y (%)
P_y	Passengers transported by the project in the year y (passengers)
OC_i	Average occupation rate of vehicle category i prior project start (passengers)
i	Cars, taxis
y	Year of the crediting period

$$LE_{SP,y} = \frac{1}{10^6} \cdot \sum_i (NIZ_{i,y} \cdot TDIZ_{i,y} \cdot (EF_{KM,VP,i,y} - EF_{KM,VB,i}))$$

Where:

$LE_{SP,y}$	Leakage emissions due to changes in vehicle speed of cars and taxis in year y (tCO ₂)
$NIZ_{i,y}$	Number of cars/taxis using the affected roads in the year y (cars, taxis)
$TDIZ_{i,y}$	Average trip distance made by cars/taxis on the affected roads in the year y (km)
$EF_{KM,VP,i,y}$	Emission factor per kilometre of cars/taxis at project speed in the year y (g CO ₂ /km)
$EF_{KM,VB,i}$	Emission factor per kilometre of cars/taxis at baseline speed (g CO ₂ /km)
i	Cars, taxis
y	Year of the crediting period

A.3.2. Data Used

Table A8. Leakage Parameters

Parameter	Description	Value	Unit	Source
AD_B	Average distance driven by buses per annum	97,692	kilometre	File 6
OC_B	Occupation rate buses baseline	31%	%	File 6
AD_T	Average distance driven by taxis per annum	82,034	kilometre	File 1
$NIZ_{i,BL}$	Number of cars/taxis using affected roads in the baseline	Table A10	vehicles	File 42
V_{BL}	Baseline speed of vehicles on affected roads (moving speed)	Table A10	km/h	File 42



Table A9. Number of Vehicles and Speed on Affected Roads

ID	Road	Number of cars	Number of taxis	Average moving speed	Average total speed
1	Seogokro (Gonchon Intersection)	18,537,120	639,000	31	17
2	Seodalro (Geunji Intersection)	12,265,920	2,732,400	31	23
3	Baekbeomrp (Sibjeong Intersection)	19,500,840	1,455,840	35	29
4	Guwolro (Sukchun Intersection)	18,514,440	954,000	26	16
5	Soointro (Jangsoo Intersection)	44,799,840	468,000	37	22

A.3.3. Results

No leakage is projected.



A.4. EMISSION REDUCTIONS

A.4.1. Formulas

$$ER_y = BE_y - PE_y - LE_y$$

Where:

ER_y	Emission reductions in year “y” (t CO ₂ e/yr)
BE_y	Baseline emissions in year “y” (t CO ₂ e/yr)
PE_y	Project emissions in year “y” (t CO ₂ /yr)
LE_y	Leakage emissions in year “y” (t CO ₂ /yr)

A.4.2. Results

Table A10. Emission Reductions in tCO₂

Parameter	Unit	2015	2016	2017	2018	2019	2020	2021
Baseline emissions	tCO ₂	114,425	120,320	126,084	125,492	124,899	124,306	123,711
Project emissions	tCO ₂	69,206	72,702	76,140	76,125	76,193	76,177	76,244
Leakage emissions	tCO ₂	-	-	-	-	-	-	-
Emissions reductions	tCO₂	45,219	47,618	49,944	49,367	48,706	48,129	47,467

**A.5. SENSITIVITY ANALYSIS**

A sensitivity analysis is carried out for data and parameters, which are used to calculate baseline, project and leakage emissions. The sensitivity analysis is performed on all parameters except default and IPCC values listed as monitored values/parameters or values to be monitored. The sensitivity analysis is based on calculating the change of the data parameter that would be required to reduce emission reductions by 5%. This value gives an indication of the magnitude of change of the data parameter required to significantly change calculated emission reductions. Based on the methodology sensitive parameters are those where a change of less than 10% leads to a reduction of ERs of more than 5%.

Table A11: Sensitivity Analysis

Parameter	% Change required for 5% less ERs	Sensitive or Not	Comment
Project passengers	4% less passengers	Sensitive	Data is monitored continuously and checked with ticket sales. Core data for project owner and thus also adequate monitoring of latter. Fare dodgers are not counted thus understating also potentially passenger numbers.
Metro electricity consumption	>10% increase	Not sensitive	
Specific fuel consumption gasoline cars	>10% lower fuel consumption	Not sensitive	
Specific fuel consumption diesel cars	> 10% lower fuel consumption	Not sensitive	
Specific fuel consumption LPG cars	> 10% lower fuel consumption	Not sensitive	
Specific fuel consumption taxis	>10% lower fuel consumption	Not sensitive	
Specific fuel consumption diesel buses	> 10% lower fuel consumption	Not sensitive	
Specific fuel consumption CNG buses	> 10% lower fuel consumption	Not sensitive	
Passengers existing metro	> 10% more passengers	Not sensitive	
Electricity consumption existing metro	> 10% less consumption	Not sensitive	
Average trip distance	> 10% longer trip	Not sensitive	



existing metro			
Occupation rate passenger cars	10% higher occupation rate	Not sensitive	
Occupation rate taxis	>10% higher occupation rate	Not sensitive	

A.6. PASSENGER SURVEY DESIGN

The methodological design of the survey follows the methodology and is detailed during project execution based on the actual passenger flow numbers.

Technical Summary Data Sheet of the Survey Strategy and sample design in the Metro Incheon Line 2

Parameter	<p>Main parameters:</p> <ul style="list-style-type: none"> Baseline emissions; Indirect project emissions. <p>Secondary parameters and inputs:</p> <ul style="list-style-type: none"> Proportion of passengers proportion using each mode of transport, with the project and in absence of the project; The average distance travelled by these modes with the project and in absence of the project.
Target population	Passengers over 12 years using Metro Incheon Line 2.
Sample frame	Passenger flow in all the stations of Metro Metro Incheon Line 2.
Sample design	<p>Two staged probabilistic design:</p> <ul style="list-style-type: none"> First stage: stratified – simple random sampling (SRS); Second stage: systematic sampling based on passengers flow per station. <p>Stratum: Stations.</p> <p>Sub stratum: Days in the week and hours.</p>
Relative error level (CV)⁷²	For the survey a global desired level of precision (relative standard error or coefficient of variation – CV) between 5% and 10% for the parameters of interest, which implies at the same time having precision levels of 90/10 is targeted. Results obtained are based on a 95% confidence level using the more conservative boundary.
Coverage	Urban area where Metro Incheon Line 2 operates.
Size of Universe	Generally, in one day Metro Incheon Line 2 mobilizes around 260,000 passengers.
Sample size	The sample size is estimated to be around 4-7,000 surveys in the measuring week with a re-test sample size of around 50% of the original sample ⁷³ . The exact number will be determined based on the actual passenger volumes per hour and per station.
Pilot Test	The pilot test corresponds to a survey realized 2010 during an entire week in a continuous manner on SML 9. More than 6,000 passengers were interviewed. The sample was distributed according to the average flow along the line.
Sample frequency	Once annually during an entire week plus one re-test per annum.

⁷²Relative error level refers to the coefficient of variation (CV), which is calculated as the ratio between the standard deviation of the average and the population average.

⁷³The re-test sample size is determined based on the variances encountered in the original sample; sample size based on testing made in DMRC, Metro Mexico Line 12 and SML 9 Seoul. The sample size determination can be made once the metro is operational and thus knowing influx of passengers per hour per day per station.



Method of information collection	The information will be obtained through the face-to-face application of the established questionnaire on a random base.
Consistency of the survey results	The internal consistency of the results of the survey must be carefully checked. The reliability will be measured using the Cronbach's alpha. A reasonable coefficient is over 0.7, values over 0.9 should be rechecked to avoid redundancy of data. In case the survey does not demonstrate internal consistency in their results, it will be rejected and another survey could be arranged.

Passenger Survey

SECTION A: DATA CONCERNING SURVEYOR

Survey ID (correlative number):

Interviewer:.....

Date: .-.....

Time:.....

Point (station) where interview was performed:.....

Survey response/completeness:

☐ Survey was fully completed

☐ Survey was fully or partially not responded

Comments/Observations of surveyor:.....

SECTION B: GENERAL DATA OF INTERVIEWED PERSON

This section can also be filled out at the end of the interview!

Age of surveyed person:

☐ 12-17 years ☐ 18-25 years ☐ 26-35 years ☐ 36-45 years ☐ 46-55 years ☐ 56-65 years ☐ over 65 years

Gender of the surveyed person

☐ female ☐ male

Socio-economic level of the surveyed person

☐ < 1 minimum wage ☐ 1-2 minimum wages ☐ 2-4 minimum wages ☐ 4-6 minimum wages ☐ > 6 minimum wages

SECTION C: TRIP DATA OF INTERVIEWED PERSON

Question 1

“Describe the trip you are currently realizing”

1.1. Your trip origin (home, office, others) Address:.....



- 1.2. Your entry station of Metro Incheon Line 2(station name):.....
1.3. Your exit station of Metro Incheon Line 2(station name):.....
1.4. After exiting Metro you go where ? Address:.....

Notes:

Explanations for the interviewer:

- The question refers to the current trip the passenger is making.
- The trip origin and the trip destination must be identified with a clear address. Use a map if it is unclear. If the person does not know or does not want to disclose this information then stop at this point.
- The Metro stations identified in 1.2 and 1.3. must be listed with their official names. Metro stations must be of line 2. If the person used before/after another Metro Line the transfer station to Line 2 is indicated.

Question 2

“How did you reach the Metro Incheon Line 2entry station?”

Tick 1: ☐ Bus ☐ Taxi ☐ Car ☐ Motorcycle ☐ Cycle or walk ☐ Other Metro Line

Explanations for the interviewer:

- Only tick 1 answer (the mode used for the longest stretch of this trip segment)

Question 3

“How will you reach your office/home/other place after exiting the Metro Incheon Line 2station?”

Tick 1: ☐ Bus ☐ Train ☐ Taxi ☐ Car ☐ Motorcycle ☐ Cycle or walk ☐ Other Metro Line

Explanations for the interviewer:

- Only tick 1 answer (the mode used for the longest stretch of this trip segment)

Question 4

“Assuming that Metro Incheon Line 2would not exist: Would you have made the trip you are currently doing anyway or would you have stayed at home/office/origin??”

- ☐ I would have stayed at home/office/origin → The questionnaire is terminated
☐ I would have made the trip” → Continue below (question 5)

Explanations for the interviewer:

- The purpose of this question is to know if the passenger made this trip only because the metro exists. In absence of the metro he would not have made any trip and would have stayed at his point of origin.

Question 5

“Have you moved your home or workplace since 2015?”

- ☐ No → continue below (question 6)



- ☐ Yes: “Has the availability of Metro Incheon Line 2 been an important factor when choosing the location of your new home or new workplace?”
- ☐ No → *continue below (question 6)*
- ☐ Yes → “What was your former trip starting point and trip destination at the time before you moved your home or workplace ?”
- Starting point address:.....
- Destination point address:

Question 6

“Assuming that Metro Incheon Line 2 you are currently using would not exist: How would you have made the same trip you are doing now?”

From Home/Office/Others (Address) to point (Address) by *.....

From point (Address)..... to point (Address)by *.....

From point (Address)..... to point (Address)by *.....

From point (Address)..... to point (Address)by *.....

*can be

- ☐ Bus
- ☐ Other Metro Line
- ☐ Taxi
- ☐ Car
- ☐ Motorcycle
- ☐ Cycle or per foot

It can NOT be Metro Incheon Line 2

Explanations for the interviewer:

- For each segment of the trip make a separate answer

Question 7

“Have you used a taxi in the last 6 months?”

- ☐ Yes ☐ No

Question 8

“Do you have a car / car pool / access to company car and have you used a car / car pool or company car in the last 6 months?”

- ☐ Yes ☐ No

Question 9

“Do you have a motorcycle / scooter or share a motorcycle / scooter and have you used this in the last 6 months?”

- ☐ Yes ☐ No

A.7. DETERMINATION OF THE COMBINED MARGIN

Step 1. Identify the relevant electricity system

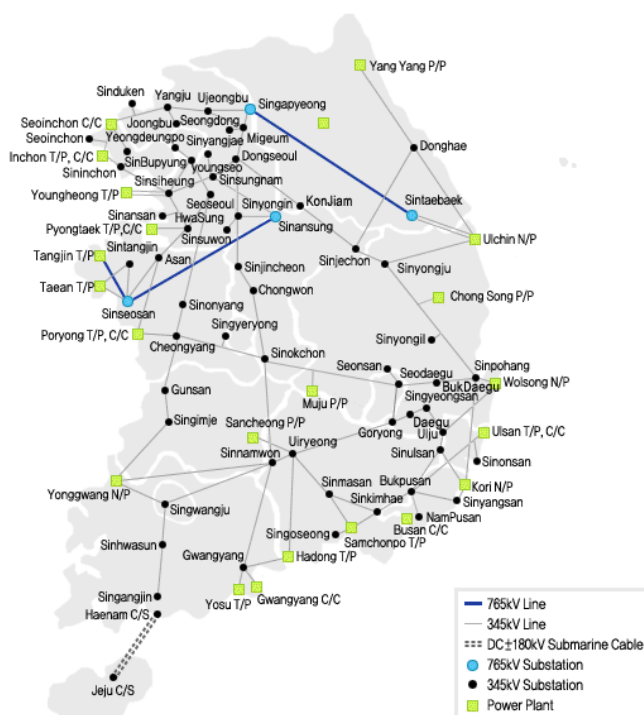
For determining the electricity emission factors, a **project electricity system** is defined by the spatial extent of the power plants that are physically connected through transmission and distribution lines to the project activity (e.g. the renewable power plant location or the consumers where electricity is being saved) and that can be dispatched without significant transmission constraints.

Similarly, a connected electricity system, e.g. national or international, is defined as an electricity system that is connected by transmission lines to the project electricity system. Power plants within the connected electricity system can be dispatched without significant transmission constraints but transmission to the project electricity system has significant transmission constraint.

In Figure 1 shows the geographical extent of the electricity system identify all grid power plants/units connected to the system. The project electric power system is connected with the whole Korean National Grid System.

Project electricity system is defined as the national grid by default.

Figure 1. Connected Electricity System in Republic of Korea



Source: <http://www.kpx.or.kr/english/> see “Transmission Map”

Step 2. Choose whether to include off-grid power plants in the project electricity system (optional)

Project participants may choose between the following two options to calculate the operating margin



and build margin emission factor:

Option I: Only grid power plants are included in the calculation.

Option II: Both grid power plants and off-grid power plants are included in the calculation.

The option I has been chosen. In this option only grid plants are included in the calculation.

Step 3. Select a method to determine the operating margin (OM)

The “Tool to calculate the emissions factor for an electricity system” provides four methods to calculate the operating margin:

- Simple OM
- Simple adjusted OM
- Dispatch data analysis OM
- Average OM

The Simple OM method can only be used if low-cost/must-run⁷⁴ resources constitute less than 50% of total grid generation in: 1) average of the five most recent years, or 2) based on long-term averages for hydroelectricity production. In Korea the low-cost/must-run resources have been less than 50% for the last five years (2005-2009), see table E1, the average low-cost/must-run generation holds 40.0% of total grid generation⁷⁵. Therefore this method is applicable in order to calculate the OM emission factor

Table E1: Low-cost/must-run generation Republic of Korea

Type	2005 (MWh)	2006 (MWh)	2007 (MWh)	2008 (MWh)	2009 (MWh)	Average last five years
Hydro	5.188.888	5.218.621	5.042.462	5.562.651	5.641.162	5.330.757
Coal (anthracite)	5.789.778	5.709.388	6.061.545	6.928.993	7.977.658	6.493.472
Nuclear	146.779.023	148.748.887	142.937.164	150.957.936	147.770.807	147.438.763
Alternative energy	404.101	511.223	830.824	1.373.425	1.790.609	982.036
Low-cost / must-run	158.161.790	160.188.119	154.871.995	164.823.005	163.180.236	160.245.029
No low-cost / must-run	206.477.541	220.992.590	248.252.506	257.532.121	270.423.509	270.423.509
Total grid generation	364.639.331	381.180.709	403.124.501	422.355.126	433.603.745	400.980.682
% Low-cost / must-run	43,4%	42,0%	38,4%	39,0%	37,6%	40,0%

Source: Korea Electric Power Corporation, 2010. See File CM, sheet GEN_05_06_07_09_09

For the simple OM, the simple adjusted OM and the average OM, the emissions factor can be calculated using either “*Ex ante option*” or “*Ex post option*”. The Operating Margin Emission Factor

⁷⁴Low-cost/must-run resources are defined as power plants with low marginal generation costs or power plants that are dispatched independently of the daily or seasonal load of the grid. They typically include hydro, geothermal, wind, low-cost biomass, nuclear and solar generation. If coal is obviously used as must-run, it should also be included in this list, i.e. excluded from the set of plants.

⁷⁵As the anthracite was used as must-run resource, it was excluded from the set of plants for calculating Operating Margin and the bituminous coal was included in OM calculation.

is calculated ex-ante⁷⁶ using a 3-year generation- weighted average of the grid power plants based on the most recent data available at the time of submission of the CDM-PDD.

Step 4. Calculate the operating margin emission factor according to the selected method

The simple OM emission factor is calculated as the generation-weighted average CO₂ emissions per unit net electricity generation (tCO₂/MWh) of all generating power plants serving the system, not including low-cost/must-run power plants/units.

The simple OM may be calculated:

Option A: Based on the net electricity generation and a CO₂ emission factor of each power unit; or

Option B: Based on the total net electricity generation of all power plants serving the system and the fuel types and total fuel consumption of the project electricity system.

The Option A is chosen because the data on fuel consumption and electricity generation is available by power unit in Korea.

For Option A, the formula used is:

$$EF_{grid,OMsimple,y} = \frac{\sum_m FC_{i,m,y} \times NCV_{i,y} \times EF_{CO2,i,y}}{\sum_m EG_{m,y}}$$

Where:

EF _{grid,OMsimple,y}	Simple operating margin CO ₂ emission factor in year y (tCO ₂ /MWh)
FC _{i,m,y}	Amount of fossil fuel type <i>i</i> consumed by power plant <i>m</i> in year y (Mass or volume unit)
NCV _{i,y}	Net calorific value (energy content) of fossil fuel type <i>i</i> in year y (GJ/mass or volume unit)
EF _{CO2,i,y}	CO ₂ emission factor of power unit <i>k</i> in year y (tCO ₂ /GJ)
EG _{m,y}	Net quantity of electricity generated and delivered to the grid by power unit <i>m</i> in year y (MWh)
<i>m</i>	All grid power units serving the grid in year y except low-cost/must-run power units
<i>i</i>	All low-cost/must run grid power units serving the grid in year y
<i>y</i>	The relevant year, 2007, 2008 and 2009.

The values used in the calculation were taken from the following references and sources:

- The emission factors for fuel combustion are values taken from the IPCC default values at the lower limit of the uncertainty at 95% confidence interval as provided in table 1.4 of Chapter of Vol. 2 (Energy) of the 2006 IPCC Guidelines on National GHG Inventories.

⁷⁶Ex-ante option: The emission factor is determined once at the validation stage, thus no monitoring and recalculation of the emissions factor during the crediting period is required. For grid power plants, use a 3- year generation –weighted average, based on the most recent data available at the time submission of the CDM-PDD to the DOE for validation.

- The Fuel Consumption data from 2007 to 2009 are taken from the “Statistics of Electric Power in Korea 2008, 2009 & 2010, KEPCO”.
- The Net Calorific Values data from 2007 to 2009 are taken from the “Statistics of Electric Power in Korea 2008, 2009 & 2010, KEPCO”.
- The Korean net electricity generation data from 2007 to 2009 are taken from the “Statistics of Electric Power in Korea 2008, 2009 & 2010, KEPCO”.

The following are the information sources of the variables and parameters used for calculating the emission factor for the electricity system (combined margin emissions factor - CM).

Table E2: General information sources of the used data in the CM calculations

Variable	Unit	Source
Electrical Generation	MWh/year	KEPCO*
Fuel Consumption	ton, kl	KEPCO
Net Calorific Value	kcal/kg, kcal/l	KEPCO
Emission Factor of fossil fuel type	tCO ₂ /GJ	IPCC**

* Korea Electric Power Corporation

**Intergovernmental Panel on Climate Change (www.ipcc.ch)

Table E3: Emission factor by fuel type

Fuel type	CO ₂ Emission factor (tCO ₂ /TJ)	CO ₂ Emission factor (tCO ₂ /GJ)
Diesel	72,600	0.0726000
Natural Gas (LNG)	54,300	0.0543000
Residual Fuel Oil	75,000	0.0750000
Bituminous Coal	89,500	0.0895000
Diesel	72,600	0.0726000

Source: 2006 IPCC Guidelines for National Greenhouse Gas Inventories, lower 95% confidence interval. See File CM sheet COEF

The development of the equation of the Operating Margin (OM) emission factor is:

$$EF_{grid,OM-adj,y} = (1 - \lambda_y) \frac{\sum_m FC_{i,m,y} \times NCV_{i,y} \times EF_{CO2,i,y}}{\sum_m EG_{m,y}} + \lambda_y \frac{\sum_k FC_{i,k,y} \times NCV_{i,y} \times EF_{CO2,i,y}}{\sum_k EG_{k,y}}$$

Table E4: OM for Republic of Korea 2007-2009

OM	2007	2008	2009
$EF_{grid,OM-adj,y}$	0.7210	0.7331	0.7546

Source: calculation see File CM sheet EFGrid07-09

The $EF_{grid,OMsimple,y}$ is calculated as the weighted average of the results for 2007, 2008 and 2009

$$EF_{grid,OMsimple,y} = 0.7366 \frac{tCO_2}{MWh}$$

Step 5. Calculate the build margin emission factor



Option 1 is chosen: For the first crediting period, calculate the build margin emission factor ex ante based on the most recent information available on units already built for sample group m at the time of CDM-PDD submission to the DOE for validation. This option does not require monitoring the emission factor during the crediting period.

Capacity additions from retrofits of power plants are not be included in the calculation of the build margin emission factor.

The sample group of power units m used to calculate the build margin is determined as per the following procedure, consistent with the data vintage selected above:

- a). Identify the set of five power units, excluding power units registered as CDM project activities, that started to supply electricity to the grid most recently (SET5-units) and determine their annual electricity generation ($AEG_{SET5-units}$, in MWh);
- b). Determine the annual electricity generation of the project electricity system, excluding power units registered as CDM project activities (AEG_{total} , in MWh). Identify the set of power units, excluding power units registered as CDM project activities, that started to supply electricity to the grid most recently and that comprise 20% of AEG_{total} (if 20% falls on part of the generation of a unit, the generation of that unit is fully included in the calculation) ($SET \geq 20\%$) and determine their annual electricity generation ($AEG_{SET \geq 20\%}$, in MWh);
- c). From SET5-units and $SET \geq 20\%$ select the set of power units that comprises the larger annual electricity generation (SET_{sample});

Identify the date when the power units in SET_{sample} started to supply electricity to the grid. None of the power units in SET_{sample} started to supply electricity to the grid more than 10 years ago⁷⁷. Therefore SET_{sample} is used to calculate the build margin and steps (d), (e) and (f) of the tool are ignored.

In Korea, the option ex ante is used to calculate the construction margin, by means of additions to the capacity of the electric system which should comprise 20% of the most recent increases to the generation system. This combination has been selected because data ex ante is available, and due to the fact that the 20% recently incorporated to the generation system has an annual electricity generation greater than the 5 power plants lately incorporated to the system.

$$EF_{grid,BM,y} = \frac{\sum_m EG_{m,y} \times EF_{EL,m,y}}{\sum_m EG_{m,y}}$$

Where:

$EF_{grid,BM,y}$	Build margin emission factor in year y (tCO ₂ /MWh).
$EG_{m,y}$	Electricity supplied to the grid by each plant m in the year y (MWh).
$EF_{EL,m,y}$	CO ₂ emission factor for each plant m in the year y (tCO ₂ /MWh).
m	Power units included in the build margin.
y	Most recent year with data available

⁷⁷ File 4b table 7

The CO₂ emission factor of each power unit m ($EF_{EL,M,y}$) is calculated with the guidance in Step 4 (a) option A1 using for y the most recent historical year for which power generation data is available and using for m the power units included in the build margin.

$$EF_{EL,m,y} = \frac{\sum_i FC_{i,m,y} \times NCV_{i,y} \times EF_{CO2,i,y}}{EG_{m,y}}$$

Where:

$EF_{EL,m,y}$	CO ₂ emission factor of power unit m in year y (tCO ₂ /MWh).
$FC_{i,m,y}$	Amount of fossil fuel type i consumed by power unit m in year y (Mass or volume unit)
$NCV_{i,y}$	Net Calorific Value (energy content) of fossil fuel type i in the year y (GJ/mass or volume unit).
$EF_{CO2,i,y}$	CO ₂ emission factor of fossil fuel type i in year y (tCO ₂ /GJ).
$EG_{m,y}$	Net quantity of electricity generated and delivered to the grid by power unit m in year y (MWh).
m	All power units serving the grid in year y except low-cost/must-run power units.
y	The relevant year 2009

Table E5: BM for Republic of Korea 2009

Parameter	Value
Generation of 20% of electricity generation 2009 (MWh)	86,720,749
Generation of most recent added plants which compromise 20% of 2009 generation (MWh)	94,702,282
Generation of 5 plants that have entered more recently (MWh)	5,227
Emissions generation most recent added plants which compromise 20% of 2009 generation (tCO ₂)	57,859,350

Source: File CM, sheet BM 2009

The 2nd option (Step 5) is clearly the larger annual generation and is thus taken. The sample group of power units m used to calculate the build margin consist in the set of power capacity additions in the electricity system that comprise 20% of the system generation and that have been added most recently.

The result of built margin emission factor: $EF_{grid,BM,y} = 0,6110 \text{ tCO}_2/\text{MWh}$ ⁷⁸

$$EF_{grid,BM,y} = 0,6110 \text{ tCO}_2/\text{MWh}$$

Step 6. Calculate the combined margin emissions factor

The emission factor of the project is calculated ex-ante using the following formula:

$$EF_{grid,CM,y} = w_{OM} \times EF_{grid,OM,y} + w_{BM} \times EF_{grid,BM,y}$$

Where:

$EF_{grid,CM,y}$	Combined margin CO ₂ emission factor in year y (tCO ₂ /MWh)
$EF_{grid,BM,y}$	Build margin CO ₂ emission factor in year y (tCO ₂ /MWh)
$EF_{grid,OM,y}$	Operating margin CO ₂ emission factor in year y (tCO ₂ /MWh)

⁷⁸ File CM, sheet BM2009



w_{OM} Weighting of operating margin emissions factor. The default weight factor is 50%
 w_{BM} Weighting of build margin emissions factor (50%). The default weight factor is 50%

The result of the Combined Margin CO₂ emission factor is:

$$EF_{grid,CM,y} = (0.5 \times 0.7366 + 0.5 \times 0.6110) \frac{tCO_2}{MWh}$$

$$EF_{grid,CM,y} = 0.6738 \frac{tCO_2}{MWh}$$



Annex 4

MONITORING INFORMATION

Some additional details are given concerning the monitoring manual prepared by Grütter Consulting AG for the project.

The objective of this manual is to collect all required data by the Monitoring and Verification Protocol in a manner that guarantees an optimal quality of monitoring. This manual therefore establishes which procedures are needed to follow, the structural organization and also the key elements of the required data.

This manual is intended for all personnel in charge of data gathering and processing for the project. It was written by Jürg M. Grütter, Grütter Consulting AG.

The manual is divided into the following parts:

- Structure and Responsibilities: establishes who is responsible for monitoring
- Data: Includes an overview of all data required for monitoring

Organizational Structure and Responsibilities

The **responsibilities** of **IURCH** are:

1. Deliver all information required for monitoring.
2. Perform data and information quality control according to this manual.
3. File all documents in the manner and timing that this manual demands.

The **responsibilities** of **South Pacific Inc.** are:

1. Collect from IURCH and from other involved institutions all data required
2. Contract and supervise the survey company

Grütter Consulting AG is responsible for the monitoring reports for the entire crediting period. Core responsibilities of Grütter Consulting AG are:

1. Check data quality
2. Realize an annual monitoring report.
4. Answer all inquiries and additional information requests by the DOE for the verification report of the CERs. Furthermore, reply to all inquiries received during the process of issuance by UNFCCC.

All data must be filed electronically. Hard copy reports and mails are to be scanned to have an electronic copy. All documents are to be saved for minimum two (2) years after the last CERs were emitted.

For each data a sub-chapter or control spreadsheet has been realized. The following table summarizes all data required for monitoring.



Index	Indicator	Gathering frequency	Data source
1	Fuel types used by cars and taxis	Annual	Seoul Metropolitan City and KEEI
2	Passengers transported	Monthly	Incheon metro
3	Traction electricity consumption	Monthly	Incheon metro
4	Passenger survey for indirect project and baseline emission per passenger and mode share baseline	Annual	South Pacific Inc. & Grütter Consulting. – realized by external survey company
5	Number of buses and taxis	Year 1, 4, 7	KEEI and Incheon Metropolitan City
6	Occupation rate buses and taxis	Year 1, 4, 7	Incheon Metropolitan City and Incheon Metropolitan Taxi Association
7	Number of cars/taxis using affected roads	Annual	South Pacific Inc. or 3 rd party study
8	Trip distance of cars/taxis on affected roads	Annual	South Pacific Inc. or 3 rd party study
9	Speed of cars/taxis on affected roads	Annual	South Pacific Inc. or 3 rd party study
10	Net Calorific Value	Annual	IPCC
11	Emission factors of fuels	Annual	IPCC
12	Electricity consumption metro line 1	Annual	Incheon metro
13	Passengers transported metro line 1	Annual	Incheon metro

AS EXAMPLE FUEL TYPE CONSUMED**Parameter**

$$N_{x,C/T}$$
Monitored Data

The fuel type consumed by cars and taxis in Incheon needs to be recorded.

Data Unit

Percentage of fuel type per vehicle category.

Measurement Frequency

Annual. Data can be maximum 3 years old.

Information Source

Seoul Metropolitan City and KEEI

Quality Control

Check with values of previous year.

Comment

Baseline data parameter specific fuel consumption cars (SFC_C) and taxis is adjusted according to the fuel mixture used.

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