

CDM-EB78-AA

Annotated agenda

CDM Executive Board seventy-eighth meeting

Version 01.1

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TABLE OF CONTENTS	Page
ANNOTATIONS TO THE PROPOSED AGENDA	3
AGENDA ITEM 1. AGENDA AND MEETING ORGANIZATION	3
Agenda item 1.1. Opening.....	3
Agenda item 1.2. Adoption of the agenda.....	3
AGENDA ITEM 2. GOVERNANCE AND MANAGEMENT MATTERS	3
Agenda item 2.1. Membership issues	3
Agenda item 2.2. Strategic planning and direction	3
Agenda item 2.3. Performance management	4
Agenda item 2.4. Matters related to the Board and its support structure	4
AGENDA ITEM 3. RULINGS (CASE-SPECIFIC).....	5
Agenda item 3.1. Programmes of activities.....	5
Agenda item 3.2. Registration	5
Agenda item 3.3. Issuance	6
AGENDA ITEM 4. REGULATORY MATTERS	7
Agenda item 4.1. Standards/tools	7
Agenda item 4.2. Procedures.....	9
Agenda item 4.3. Policy issues	9
AGENDA ITEM 5. RELATION WITH FORUMS AND OTHER STAKEHOLDERS.....	9
Agenda item 5.1. Designated operational entities	9
Agenda item 5.2. Communication and activities with stakeholders.....	10
Agenda item 5.3. Interaction with registered observers.....	10
AGENDA ITEM 6. OTHER MATTERS	10
AGENDA ITEM 7. CONCLUSION OF THE MEETING	10
ANNEXES TO THE ANNOTATIONS	11

Annotations to the proposed agenda

Agenda item 1. Agenda and meeting organization

Agenda item 1.1. Opening

1. ► **Action:** The Chair of the Executive Board of the clean development mechanism (CDM) (hereinafter referred to as the Board) shall ascertain whether: (a) the members present constitute a quorum; and (b) the absence of any member or alternate member is “without proper justification”.¹

Background: According to the Rules of procedure of the Board, at least two-thirds of the members of the Board, representing a majority of members from Parties included in Annex I to the Convention and a majority of members from Parties not included in Annex I, must be present to constitute a quorum.

Agenda item 1.2. Adoption of the agenda

2. ► **Action:** Members may wish to adopt the proposed agenda for the seventy-eighth meeting.

Background: At its last meeting, the Board agreed on items to be included on the provisional agenda for its seventy-eighth meeting. The proposed agenda was transmitted to the Board on 10 March 2014 and thereafter posted on the UNFCCC CDM website in accordance with rule 21 of the Rules of procedure of the Board.

Agenda item 2. Governance and management matters

Agenda item 2.1. Membership issues

3. ► **Action:** Members of the Board may wish to provide statements on any conflict of interest concerning the issues on the agenda for the meeting, and take action, as appropriate.

Background: Following the request by the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol (CMP), through its decision 2/CMP.5, to publish statements of conflict of interest on the UNFCCC CDM website, members and alternate members are requested to disclose whether they have any conflict of interest relating to the work of the Board at each meeting.

Agenda item 2.2. Strategic planning and direction

4. ► **Action:** The Board may wish to provide guidance on a concept note on analysis of the net mitigation potential of the CDM, as contained in annex 1 to these annotations.

Background: The Board, through its management plan (MAP) 2014, agreed to consider

¹ Please refer to rule 28 of the Rules of procedure of the Executive Board of the clean development mechanism, decision 4/CMP.1 (FCCC/KP/CMP/2005/8/Add.1).

work related to the net mitigation potential of the CDM as part of further developing the CDM as a key tool for monitoring, reporting and verifying the outcomes of mitigation finance.

5. ► **Action:** The Board may wish to hold an initial discussion on broadening the demand for, and participation in, the CDM by facilitating the acceptance of CERs for compliance purposes and enhancing the use of the CDM for voluntary purposes.

Background: The Board, through its MAP 2014, agreed to work on nurturing policies to broaden demand for, and participation in, the CDM by facilitating the acceptance of CERs for compliance purposes and enhancing the use of the CDM for voluntary purposes. Further, the Board, at its seventy-sixth meeting took note of an update on the secretariat's work to promote voluntary cancellation of certified emission reductions (CERs) and requested the secretariat to prepare a document elaborating an approach for increasing such cancellations, and to continue its work in promoting voluntary cancellation of CERs.

Agenda item 2.3. Performance management

6. ► **Action:** The Board may wish to consider the updated workplan of the Board for 2014, as contained in annex 2 to these annotations.

Background: The Board, at its seventy-seventh meeting, approved its workplan for 2014 and requested the secretariat to include in future versions of the workplan any new mandates arising from meetings and to provide an update on the status of work at each meeting.

7. ► **Action:** The Board may wish to approve the draft workplan and objectives of the CDM Executive Board Finance Committee for 2014, as contained in annex 3 to these annotations.

Background: The Board, at its seventy-seventh meeting, requested the Finance Committee, with the support of the secretariat, to prepare its workplan for 2014 and report back to the Board at its next meeting.

8. ► **Action:** The Board may wish to take note of the oral report on progress of the work with designated operational entities (DOEs) to identify practical means for them to conduct the examination to qualify DOE personnel as required by the CDM accreditation standard (version 05.1).

Background: The Board, at its seventy-sixth meeting, requested the secretariat to work with DOEs and consult with the CDM Accreditation Panel (CDM-AP) to identify practical means for DOEs to conduct the examination to qualify DOE personnel, as required by the CDM accreditation standard (version 05.1), and inform the Board accordingly.

Agenda item 2.4. Matters related to the Board and its support structure

9. ► **Action:** The Board may wish to consider modalities for agreement on proposals between the secretariat and panels and working groups, as contained in annex 4 to these annotations.

Background: The Board's workplan for 2014 includes a number of products that are to

be agreed jointly by the secretariat and the Methodologies Panel before they are proposed to the Board. Where it is not possible to reach agreement on joint proposals between the secretariat and a panel/working group is not possible, options reflecting the views of the secretariat and the panel or working group should be agreed for the Board to consider. In this context, at its seventy-seventh meeting, the Board requested the secretariat to prepare a proposal on ways to ensure this agreement.

Agenda item 3. Rulings (case-specific)

Agenda item 3.1. Programmes of activities

10. ► **Action:** The Board may wish to take stock of the progress of registration and issuance of programmes of activities (PoAs).

Background: The information available in the section on “Programme of Activities” on the UNFCCC CDM website (<<http://cdm.unfccc.int/ProgrammeOfActivities/index.html>>) indicates the status of PoAs submitted for registration as a single CDM project activity. Information on the status of CERs issued for PoAs is available at <http://cdm.unfccc.int/Issuance/cers_iss.html>.

Agenda item 3.2. Registration

11. ► **Action:** The Board may wish to take stock of the progress of registration of project activities.

Background: The information available on the UNFCCC CDM website (<<http://cdm.unfccc.int/Projects/projsearch.html>>) indicates the status of project activities submitted for registration.

12. ► **Action:** The Board shall consider the documentation for one request for registration as listed in table 1 below, for which a review was commenced.

Background: Three or more members of the Board or a Party involved requested a review of the requests for registration listed in table 1 below. The subsequent assessments of the secretariat and the Registration and Issuance Team (RIT) Team contained different proposed decisions (i.e. one to register and the other to reject), or the same proposed decisions (both to register or to reject) but received an objection from a member of the Board. In accordance with the applicable regulation for each case listed in table 1 below, the Board shall decide whether to register the project activity or to reject the request for registration.

Table 1.^(a) Request for registration review cases for which assessments of the secretariat and the RIT Team contain different proposed decisions or an objection by a member of the Board was received

Ref no.	Title	Register	Reject
9320	Chorokhi Hydro Power Plant Project	?	?

^(a) Table 1 refers to a case for which the “Clean development mechanism project cycle procedure”, version 03.0 is applicable (i.e. for requests for registration which have been submitted under the validation and verification standard (VVS) track) and/or cases for which the “Procedures for review of requests for registration” version 01.2 is applicable (i.e. for requests

for registration which have been submitted under the validation and verification manual (VVM) track).

Agenda item 3.3. Issuance

13. ► **Action:** The Board may wish to take stock of the progress of issuance for project activities and the operation of the CDM registry.

Background: The information available in the section on “Issuance of CERs” on the UNFCCC CDM website (<<http://cdm.unfccc.int/Issuance>>) indicates the status of requests for issuance.

14. ► **Action:** The Board shall consider the documentation for one request for issuance, for which a review was commenced, as listed in table 2 below.

Background: Three or more members of the Board or a Party involved requested a review of the issuance request listed in table 2 below. The subsequent assessments of the secretariat and the RIT Team contained different proposed decisions (i.e. one to approve and the other to reject), or the same proposed decision (both to approve or to reject) but received an objection from a member of the Board. In accordance with the applicable regulation for each case listed in table 2 below, the Board shall decide whether to approve or to reject the request for issuance.

Table 2:^(a) Request for issuance review cases for which assessments of the secretariat and the RIT Team contain different proposed decisions or an objection by a member of the Board was received

Ref. no.	Title	Monitoring Period	No. of CERs	Approve	Reject
2667	Biogas Project, Olmeca III, Tecún Uman	1 Dec 2011 – 30 Nov 2012	59,407	?	?

^(a) Table 2 refers to a case for which the “Clean development mechanism project cycle procedure”, version 03.0 is applicable (i.e. for requests for issuance which have been submitted under the VVS track) and/or case for which the “Procedures for review of requests for issuance of CERs” version 02.0 is applicable (i.e. for requests for issuance which have been submitted under the VVM track).

15. ► **Action:** The Board may wish to consider one request for permission to resubmit a previously withdrawn request for issuance listed in table 3 below.

Background: In accordance with the applicable regulation, in cases where requests for issuance that have received a request for review are withdrawn, the DOE may resubmit the request for issuance for the same monitoring period after such a withdrawal. The DOE shall request permission from the Board to resubmit such a request.

Table 3:^(a) Request for resubmission of previously withdrawn request for issuance

Ref. no.	Title	Monitoring period
0363	Angkor Bio Cogen Rice Husk Power Project	20 Apr 2011 – 31 May 2012

- ^(a) Table 3 refers to a case for which the “Clean development mechanism project cycle procedure”, version 03.0 is applicable (i.e. for requests for issuance which have been submitted under the VVS track) and/or case for which the “Procedures for review of requests for issuance of CERs” version 02.0 is applicable (i.e. for requests for issuance which have been submitted under the VVM track).

Agenda item 4. Regulatory matters

Agenda item 4.1. Standards/tools

16. ► **Action:** The Board may wish to take note of an oral report on the implementation of the voluntary tool for sustainable development.

Background: The Board, through its workplan for 2014, agreed to receive an update on the launch and implementation of the voluntary tool.

17. ► **Action:** The Board may wish to provide direction on the concept note on the consideration of facilities with registered CDM project activities for the development of a sector-specific standardized baseline, as contained in annex 5 to these annotations.

Background: The Board, through its MAP 2014, agreed that further analysis is required for different types of sectors for standardized baselines, including the determination of the right threshold of percentage output covered by facilities with registered CDM projects that should trigger the inclusion of such facilities when developing sector-specific standardized baselines. The direction provided by the Board will be used for revising the “Guidelines for the establishment of sector-specific standardized baselines”.

18. ► **Action:** The Board may wish to provide direction on the concept note on the selection of standardized baselines vis-à-vis approved methodologies, as contained in annex 6 to these annotations.

Background: The Board, through its MAP 2014, requested the secretariat to prepare a concept note on the application of standardized baselines taking into consideration the existing approved methodologies. This concept note received inputs from the Methodologies Panel. The direction provided by the Board will be used for revising the CDM project standard (PS), CDM validation and verification standard (VVS) and CDM project cycle procedure (PCP), draft revisions of which are contained in annex 8 to these annotations.

19. ► **Action:** The Board may wish to provide direction on a concept note for the top-down development of standardized baselines, as contained in annex 7 to these annotations.

Background: The Board, through its MAP 2014, agreed on the further development and implementation of standardized baselines, including top-down development.

20. ► **Action:** The Board may wish to adopt the revisions to the PS, VVS, PCP and Proposed new methodology guidelines to include elements of standardized baselines, as contained in annex 8 to these annotations.

Background: The Board, through its MAP 2014, agreed on the further development and implementation of standardized baselines.

21. ► **Action:** The Board may wish to adopt the draft package of documents due to the introduction of provisions related to carbon dioxide capture and storage (CCS) project activities, as contained in annex 9 to these annotations.

Background: The Board, at its seventy-sixth meeting, considered the package of documents on the introduction of provisions related to CCS project activities into the PS, VVS and PCP and provided feedback, including with regard to the options proposed. The Board requested the secretariat to revise the documents, for consideration by the Board at a future meeting. The documents have received input from the CCS Working Group.

22. ► **Action:** The Board may wish to provide direction on issues related to double issuance.

Background: The Board, at its seventy-fifth meeting, considered the issue of double counting and issuance in the context of project activities registered under the CDM that are also subject to national or regional emission reduction programmes. The Board requested the secretariat to prepare a concept note assessing issues and potential options throughout the CDM project cycle, including in relation to guidance provided by the CMP, for protecting the environmental integrity of the CDM.

23. ► **Action:** The Board may wish to continue considering a draft package of documents on the application of E- policy in investment analysis for additionality demonstration and selection of baseline scenario, as contained in annex 16 to annotated agenda of the seventy-fifth meeting of the Board.

Background: At its seventy-sixth meeting, the Board discussed the guideline on “Application of E- policy in investment analysis for additionality demonstration and selection of baseline scenario” and amendments to the “Tool for the demonstration and assessment of additionality” and “Combined tool to identify the baseline scenario and demonstrate additionality”, and agreed to continue its deliberation at a future meeting.

24. ► **Action:** The Board may wish to provide guidance on a concept note on further work on methodologies, tools and standards, as contained in annex 10 to these annotations.

Background: The Board, at its seventy-seventh meeting, considered a concept note on further work on methodologies, tools and standards and requested the secretariat to provide a revised concept note for consideration by the Board at its seventy-eighth meeting.

25. ► **Action:** The Board may wish to provide guidance on a concept note on further work on batched issuance request for PoAs, as contained in annex 11 to these annotations.

Background: The Board, at its seventy-fifth meeting, considered issues related to batched issuance requests for a monitoring period of a programmes of activity (PoA) and requested the secretariat to continue working on this matter and to explore if and under what conditions a request for issuance for the subsequent monitoring period can be permitted before all the requests for issuance for the previous monitoring period have been submitted.

26. ► **Action:** The Board may wish to provide guidance on a concept note on thresholds for micro-scale component project activities (CPAs), as contained in annex 12 to these annotations.

Background: The Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol (CMP), at its ninth session through decision 3/CMP.9, paragraph 12 requested the Board to analyse the thresholds for CPAs to qualify as micro-scale activities in PoAs, taking into account regional circumstances while ensuring environmental integrity.

27. ► **Action:** The Board may wish to provide guidance on a concept note on improved PoA regulations for multi-country PoAs, as contained in annex 13 to these annotations.

Background: The CMP, through its decision 3/CMP.9, paragraph 19, requested the Board to further improve and streamline the regulations for programmes of activities (PoAs), including those for PoAs with more than one host Party.

Agenda item 4.2. Procedures

28. ► **Action:** The Board may wish to provide guidance on a concept note on criteria for requesting a revision or a clarification to a methodology or methodological tool, as contained in annex 14 to these annotations.

Background: Following an input received from DOEs at its sixty-eighth meeting, the Board considered policy and technical issues in the context of the clarification related to the approved methodology AM0001. The Board requested the secretariat to prepare a proposal clarifying the differences between a clarification and revision through objective criteria and providing draft guidance on the application of a clarification to version(s) of a methodology for consideration of the Board.

Agenda item 4.3. Policy issues

29. ► **Action:** The Board may wish to consider any policy issues triggered by the consideration of registration and issuance cases.

Background: Following a request by the Board, policy issues that come up from the discussions during this meeting may be included for consideration, including policy issues encountered through the experience of the assessment of cases.

Agenda item 5. Relation with forums and other stakeholders

Agenda item 5.1. Designated operational entities

(a). Interaction with the DOE/AIE Coordination Forum Chair

30. ► **Action:** The Board may wish to interact with the CDM DOE/AIE Coordination Forum Chair.

Background: The “Modalities and procedures for direct communication with stakeholders” provide for the DOE/AIE Coordination Forum Chair to interact with the Board at each meeting.

(b). Information on communication related to applicant entities (AEs)/DOEs

31. ► **Action:** The Board may wish to consider the confidential letter 2014-343-DOE submitted by a DOE and agree on any actions, as appropriate.

Background: Following the “Modalities and procedures for direct communication with stakeholders”, the Board and its support structure are to provide avenues for interaction with AEs/DOEs, provision of information and capacity-building, and the secretariat, in consultation with the Chair, shall bring to the attention of the Board communications on policy, methodological and administrative issues when they require the attention or further guidance of the Board.

Agenda item 5.2. Communication and activities with stakeholders

(a). External input to items on the agenda of the meeting

32. ► **Action:** The Board may wish to take note of external inputs to the annotated agenda of this Board meeting.

Background: Following the “Modalities and procedures for direct communication with stakeholders”, stakeholders have the opportunity to provide comments on the annotated agenda. Comments received will be posted on the UNFCCC CDM website.

Agenda item 5.3. Interaction with registered observers

33. ► **Action:** The Board may wish to: (a) agree to make itself available for informal briefings with registered observers in the afternoon of the last day of the meeting.

Background: On the occasion of the previous meeting of the Board, the Chair and other members of the Board met with registered observers for an informal briefing and agreed to continue with such meetings in the afternoon of the last day of its future meetings, unless otherwise indicated.

Agenda item 6. Other matters

34. ► **Action:** The Board may wish to consider any other business it deems necessary.

Agenda item 7. Conclusion of the meeting

35. ► **Action:** The Board may wish to approve the report of the seventy-eighth meeting.

Background: The Chair will summarize the meeting and adopt the report, including references to any decisions taken.

Annexes to the annotations

- Annex 1 - Concept note: Analysis of the net mitigation potential of the CDM
- Annex 2 - CDM Executive Board workplan 2014
- Annex 3 - Draft 2014 workplan of the CDM Executive Board Finance Committee
- Annex 4 - Concept note: Modalities for agreement on proposals between the secretariat and panels/working groups
- Annex 5 - Concept note: Consideration of facilities with registered CDM project activities for the development of standardized baselines
- Annex 6 - Concept note: Selection of standardized baselines vis-à-vis approved methodologies
- Annex 7 - Concept note: Top-down development of standardized baselines
- Annex 8 - Revisions of regulatory documents due to introduction of standardized baselines
- Annex 9 - Draft package of documents due to introduction of provisions related to carbon dioxide capture and storage project activities
- Annex 10 - Concept note: Further work on methodologies, tools and standards
- Annex 11 - Concept note: Further work on batched issuance request for PoAs
- Annex 12 - Concept note: Thresholds for micro-scale CPAs
- Annex 13 - Concept note: PoA regulations for multi-country PoAs
- Annex 14 - Concept note: Criteria for requesting a revision or a clarification to a methodology or methodological tool

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Document information

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