

**CDM-EB87-AA-A05**

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# CDM Executive Board workplan 2015

Version 06.0



**United Nations**  
Framework Convention on  
Climate Change

## COVER NOTE

### 1. Procedural background

1. The CDM Executive Board (hereinafter referred to as the Board) at its eighty-second meeting approved the workplan of the Board for 2015 and requested the secretariat to include in future versions of the workplan any new mandates arising from meetings and to provide an update on the status of work at each meeting.
2. This work is in line with the requests of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol (CMP) to the Board to continue its work to further improve the consistency, efficiency and transparency of its decision-making.

### 2. Purpose

3. The purpose of this document is to provide report to the Board on the achievements to date against its work planned for the year, based on the 2015 workplan of the Board agreed at the first meeting of the year. The workplan of the Board for 2015 provides an overview of the issues to be considered by the Board at each meeting.

### 3. Key issues

4. This report covers the work of the Board in 2015. Changes to the previous version of the workplan are highlighted and the rationale for changes is also provided in the document.
5. When the Board approved its workplan at the first meeting of the year, it planned to consider a total of 139 products during the six meetings of 2015 (34 concept notes, 7 draft regulations, 50 final regulations and 48 reports/information notes).
6. Looking into the work that the Board has undertaken during the year, the Board will have considered a total of 152 products during the six meetings of 2015 (48 concept notes, 6 draft regulations, 53 final regulations and 45 reports/information notes). The following deviations from the initial planned took place:
  - (a) 27 additional products were requested and considered by the Board in 2015;
  - (b) 8 additional products were requested and are planned to be delivered in 2016;
  - (c) 9 products initially planned were postponed to 2016.
7. With regard to the CMP mandates to the Board, in 2015 the Board considered 19 CMP mandates and delivered on 11 mandates. Work on eight mandates will continue at EB87 and in 2016, as reported to the CMP through the annual report of the Board.

### 4. Impacts

8. This end-year progress report on the workplan helps to ensure the efficiency and transparency of the decision-making process and will facilitate the Board's management of its workload when planning work for 2016.

### 5. Proposed work and timelines

9. The secretariat will prepare the workplan for the year 2016 based on the approved MAP 2016 for adoption by the Board at its first meeting in 2016. As done in 2015, the

secretariat will include in the workplan any new mandates arising from each meeting in 2016 and provide an update on the status of work at the following meeting.

## **6. Recommendations to the Board**

10. The Board may take note of the status of the fulfilment of its work in the year 2015 and provide comments, as appropriate.

## 1. CDM Executive Board workplan 2015

1. The table below provides an overview of the workplan of the Executive Board of the clean development mechanism for 2015.

**Table 1. CDM Executive Board workplan 2015**

Project/ routine	Product	EB82	EB83	EB84	EB85	EB86	EB87	2016	Comments
<b>Governance and management matters</b>									
Servicing of regulatory body									
Support to the Executive Board (EB)	Selection of Chair/Vice Chair for EB, panels and working groups (WGs)	Final							
	Selection of CDM EB Finance Committee (EBFC) members	Final							
	Selection of panel/WG members	Info (to launch call)			Final				
	Selection of CDM Registration and Issuance Team (RIT) members	Info (to launch call)			Final				

Project/ routine	Product	EB82	EB83	EB84	EB85	EB86	EB87	2016	Comments
Support to the EB ( <i>cont.</i> )	Calendar of meetings	Final					Final		
	EB workplan 2015	Final	Info	Info	Info	Info	Info		
	EB report to CMP				Draft	Final			
	Report on interactions with stakeholders and policy makers		Info						
243 Improvement of the management plan (MAP) preparation process	Project concept note	Concept							
	Options for improving the MAP preparation process		Concept						
	Mid-year review CDM MAP 2015				Info				
Support to the EB ( <i>cont.</i> )	CDM Business Plan 2016-2017					Concept	Final	Final	
	CDM MAP 2016						Final		

Project/ routine	Product	EB82	EB83	EB84	EB85	EB86	EB87	2016	Comments
Support to the EB ( <i>cont.</i> )	Information on projected future income and expenditure						Info		Covered under CDM Business Plan 2016-2017 / CDM MAP 2016 consideration
242 Identification of key performance indicators (KPIs)	Project concept note	Concept							
	KPIs for CDM: Options and recommen- dations			Concept				Info	
241 Independent third-party evaluation of RCCs	Project concept note	Concept							
	Selection of evaluator		Final						
	Third-party evaluation					Info			
Servicing of panels/working groups									
Panels and working groups (WGs)	2015 workplans of panels and WGs	Final							
	Panel and WG meeting reports		Final		Final		Final		
	Reporting on performance of panel/WG members							Info	

Project/ routine	Product	EB82	EB83	EB84	EB85	EB86	EB87	2016	Comments
Panels and working groups (WGs) (cont.)	Procedure for selection and performance evaluation of RIT and Meth experts on the rosters of experts		Final						
	Revisions of regulations for the appointment of assessment teams and the role of the CDM- AP in this process	Concept (panel/ WG input)							
Secretariat-organized interactions									
Global DNA Forum	Interaction with Co-Chairs			Info			Info		
DOE/AIE Coordination Forum	Interaction with the Chair	Info	Info	Info	Info	Info	Info		
Accreditation system									
Accreditation	Options for fixed fees for accreditation assessments				Concept (panel/ WG input)		Concept (panel/WG input)		

Project/ routine	Product	EB82	EB83	EB84	EB85	EB86	EB87	2016	Comments
Accreditation (cont.)	Review of the flexibility in the accreditation standard and procedure				Concept (secr./ panel/ WG joint rec.)	Final		Concept	
	Clarification on the CDM accreditation standard					Final			
	Approach on performance monitoring of designated operational entities (DOEs)				Concept (panel/WG input)		Concept (panel/WG input)		
Synergies on the accreditation systems of both constituted bodies	Response to Joint Implementation Supervisory Committee (JISC)	Final			Info				Decision 6/CMP.10, paras. 1 and 2
Entity performance monitoring system	Synthesis report of the annual activity reports by DOEs	Info					Info		



Project/ routine	Product	EB82	EB83	EB84	EB85	EB86	EB87	2016	Comments
Market and policy analysis									
Policy analysis and reports	Carbon market and policy update	Info		Info		Info			
Partnerships									
Nairobi Framework	Nairobi Framework Partnership 2014 annual report	Info							
	Nairobi Framework Partnership workplan 2015		Info						
CDM loan scheme	Implementation report of CDM loan scheme					Info		Info	EB86 report, para. 18
Regional collaboration centres operations (RCCs)	Report on operations				Info		Info		
	Future of the RCCs initiative						Concept		EB86 report, para. 17

Project/ routine	Product	EB82	EB83	EB84	EB85	EB86	EB87	2016	Comments
Regulatory policy improvement									
222 Enhance the clarity, consistency and user- friendliness of regulations	Measures to improve the quality of documents			Info					
	Validity of regulatory documents	Info		Concept					
247 Additional LULUCF activities under the CDM and additional approaches to demonstra- tion of land eligibility for A/R CDM project activities	Assessment of and report on applicability of afforestation/ re- forestation (A/R) modalities and procedures based on CMP.10 mandate		Concept		Final (through panel/WG report)	Final (through panel/WG report)			Decision 7/CMP.10, paras. 1 and 2.

Project/ routine	Product	EB82	EB83	EB84	EB85	EB86	EB87	2016	Comments
247 (cont.)	Additional cost-effective approaches for eligibility of land to qualify as CDM A/R projects		Concept		Final (through panel/WG report, preceded by call)	Final (through panel/WG report)			Decision 4/CMP.10, para. 8
	Applicability of sampling methods under CDM A/R modalities and procedures					Info			
Regulatory management									
Regulatory framework management and processing and managing official documents	Application of revised EB decision and documentation framework		Final	Final					
	Voluntary monitoring of the contribution to sustainable development	Concept							

Project/ routine	Product	EB82	EB83	EB84	EB85	EB86	EB87	2016	Comments
Regulatory framework management ( <i>cont.</i> )	Reregistration process for project activities previously deregistered from the CDM						Concept		
<b>Rulings</b>									
Project assessments									
Assessment of compliance with CDM requirements relating to project submissions	Consideration of cases for issuance, registration, PoAs and other project-related submissions	Final	Final	Final	Final	Final	Final		
<b>Regulatory matters</b>									
Report on implementation of voluntary deregistration						Info			Decision 4/CMP.10, para. 11
CDM registry procedure							Final	Final	EB86 report, para. 14

Project/ routine	Product	EB82	EB83	EB84	EB85	EB86	EB87	2016	Comments
Project assessments									
246 Simplification of the project submission, registration and issuance processes and further opportunities for streamlining of the CDM	Project concept note	Concept							
	Proposal for simplification and streamlining of the CDM			Concept (preceded by call)		Final	Concept		Decision 3/CMP.9, para. 21, Decision 4/CMP.10, paras. 13, 14, 17, 18, 19  EB86 report, para. 10
	Recommen- dations to the CMP on simplification and streamlining of the CDM					Final			Decision 4/CMP.10, paras. 13, 14, 17, 18, 19
	Use of the CDM infrastructure in other fields			Concept					
	Consolidated and streamlined set of PoA provisions			Concept		Concept (preceded by call)			

Project/ routine	Product	EB82	EB83	EB84	EB85	EB86	EB87	2016	Comments
246 (cont.)	Revised regulatory documents							Draft	EB86 report, para. 9
218 Development of specific simplified project-cycle templates	Project-cycle templates					Info			
Methodologies									
Reclassification of methodologies in accordance with version 6 of the "CDM accreditation standard"						Concept	Final		EB86 report, para. 33
110 Further development of standardized baselines framework	Project concept note	Concept							
	Revision of Guidelines for establishment of sector specific standardized baseline						Concept (secr./ panel/ WG joint rec.)	Concept (secr./ panel/ WG joint rec.)  Final (secr./ panel/ WG joint rec.)	Due to secretariat's redeployment process

Project/ routine	Product	EB82	EB83	EB84	EB85	EB86	EB87	2016	Comments
110 (cont.)	Report on road testing of current approaches in Guidelines for establishment of sector specific standardized baseline						Info (panel/ WG input)	Info (panel/ WG input)	Due to secretariat's redeployment process
	Development of two standards with a methodological framework for two specific project types				Concept (secr./ panel/ WG joint rec.)		Info (through panel/ WG report)	Final (secr./ panel/ WG joint rec.)	
	Revision of Procedure for development, revision, clarification and update of standardized baselines		Draft	Final				Concept	

Project/ routine	Product	EB82	EB83	EB84	EB85	EB86	EB87	2016	Comments
110 (cont.)	Further work to define rules for determination of country-specific thresholds for specific project types/sectors of under-represented countries						Draft (secr./ panel/ WG joint rec.)	Draft (secr./ panel/ WG joint rec.)	Decision 3/CMP.9, para. 13  Due to secretariat's redeployment process
								Final (secr./ panel/ WG joint rec.)	
	Concept note on the options to develop sector-wide emission factor						Concept (secr./ panel/ WG joint rec.)	Concept (secr./ panel/ WG joint rec.)	Due to secretariat's redeployment process
								Final (secr./ panel/ WG joint rec.)	
	Database on cost and efficiency of technologies				Concept		Info	Info	Due to secretariat's redeployment process



Project/ routine	Product	EB82	EB83	EB84	EB85	EB86	EB87	2016	Comments
110 (cont.)	Support to development of six standardized baselines						Final		On a no-objection basis per the relevant procedures  Baseline woody biomass consumption for household cookstoves in Burundi (TSB0003)
223 Simplification of methodologies including digitization to reduce transaction costs	Project concept note	Concept							Decision 4/CMP.10, para. 6
223 section: Cross-cutting issues (additionality, monitoring etc.)	Non-binding best practice examples in methodologies		Concept (panel/ WG input)		Final (through panel/ WG report)	Final (through panel/ WG report, preceded by call)	Final (through panel/ WG report, preceded by call)		

Project/ routine	Product	EB82	EB83	EB84	EB85	EB86	EB87	2016	Comments
223 section: Cross-cutting issues ( <i>cont.</i> )	Small-scale and microscale additionality guidelines, (Update of positive list, harmonization of the guidelines)				Final (through panel/ WG report)				
	Analysis of component project activity (CPA) threshold				Concept (panel/ WG input)				Decision 4/CMP.10, para. 18 (a)
	Unit level microscale thresholds for CPAs in regulatory documents					Final (panel/ WG input)			
	Monitoring guidelines/ standards (package 1)				Draft (through panel/ WG report, preceded by call)		Final (through panel/ WG report)		
	Monitoring guidelines/ standards (package 2)						Draft (through panel/ WG report, preceded by call)	Final (through panel/WG report)	

Project/ routine	Product	EB82	EB83	EB84	EB85	EB86	EB87	2016	Comments
223 section: Cross-cutting issues ( <i>cont.</i> )	Digitized methodology- specific forms for three specific methodologies				Final (panel/ WG input)		Final (panel/ WG input, preceded by call)		Decision 4/CMP.10, para. 15
	Analysis of implications of allowing requests for revision of a methodology without a draft project design document (PDD)			Concept (panel/ WG input)		Final			Decision 4/CMP.10, para. 5
	Revision of Guidelines on assessment of investment analysis				Draft (through panel/ WG report, preceded by call)			Final (through panel/ WG report)	
223 section: PoA regulatory documents	Combinations of methodologies that do not require prior approval						Final (through panel/ WG report)		

Project/ routine	Product	EB82	EB83	EB84	EB85	EB86	EB87	2016	Comments
223 section: PoA regulatory documents ( <i>cont.</i> )	Revision of Sampling standard/ Guideline to include survey guidelines					Final (panel/ WG input)			
223 section: Revision of large-scale and small- scale methodolo- gies	Various top- down revised/new methodologies and tools mandated by the Board		Final (through panel/ WG report, preceded by call)		Final (through panel/ WG report, preceded by call)		Final (through panel/ WG report, preceded by call)		Decision 4/CMP.10, para. 6
Streamlining guidance on additionality	Streamlining the additionality approach				Concept (panel/ WG input)			Concept (through panel/ WG report and input)	
								Concept (panel/ WG input)	

Project/ routine	Product	EB82	EB83	EB84	EB85	EB86	EB87	2016	Comments
244 Development of new methodolo- gies to broaden the applicability of the CDM	Project concept note	Concept							
	Various top- down revised/new methodologies				Concept (panel/ WG input)	Concept (panel/ WG input)	Concept (panel/ WG input)	Final (through panel/WG report, preceded by call)	Decision 4/CMP.10, para. 6  Additional concept note on agriculture
							Draft (through panel/ WG report)	Concept	
	Use of the CDM in urban sectors							Concept (secr./ panel/ WG joint rec.)	EB86 report, para. 38
	Broadly applicable methodology for transport applications							Concept (secr./ panel/ WG joint rec.)	EB86 report, para. 39
	Grid emission factors								On a no- objection basis per the relevant procedures

Project/ routine	Product	EB82	EB83	EB84	EB85	EB86	EB87	2016	Comments
225 New project in the same physical geographical location at which a project whose crediting period has expired existed			Final (secr./ panel/ WG joint rec.)			Concept		Concept (secr./ panel/ WG joint rec.)	Decision 3/CMP.9, para. 17  EB86 report, para. 35
Regulatory policy improvement									
216 Application of the E-policy for additionality demonstration	Analysis and consolidated document covering explanations of the E- issues		Info					Info	
Regulatory management									
Global/local stakeholder consultation				Concept		Concept	Concept		EB86 report, para. 47
Revised Procedure for direct communication		Final (prec. by call)							Decision 4/CMP.10, para. 12

Project/ routine	Product	EB82	EB83	EB84	EB85	EB86	EB87	2016	Comments
Report on stakeholder communications and impacts							Info	Info	Postponed to 2016 in order to integrate it with the development of needs and impact analysis, as part of wider work on enhancing the consistency, clarity and user-friendliness of regulations
Implications of changes to rules related to change of DOE at verification				Concept					
Support to DNAs									
Technical assistance to DNAs on guidelines for local stakeholder consultation	Concept note (if triggered by a DNA request)								Decision 3/CMP.9, para. 20

Project/ routine	Product	EB82	EB83	EB84	EB85	EB86	EB87	2016	Comments
Technical assistance to DNAs on guiding tools for monitoring sustainable development benefits	Concept note with implementation plan			Concept					Decision 3/CMP.9, para. 8
245 Increased support for stranded projects	Project concept note	Concept							
	Outreach to financial institutions and survey design			Concept	Concept	Concept			
	Reporting of inactive projects					Info			
	Annual survey on status of CDM project activities and PoAs							Info	EB86 report, para. 7



Project/ routine	Product	EB82	EB83	EB84	EB85	EB86	EB87	2016	Comments
Partnerships									
231/2 Assisting policymakers /stakeholders in compliance markets / Development and promotion of voluntary cancellation	Update on support provided to nurture demand for CDM and certified emission reductions (CERs)			Info			Info		
	Online platform for voluntary cancellation of CERs		Info		Final		Info		
	Board to offset its emissions for 2015		Concept	Info		Info			
	Promoting the voluntary cancellation tool at COP 21					Info			

**EB Workplan 2015**  
(Total of documents **152**)

Numbers of items	EB82	EB83	EB84	EB85	EB86	EB87	2016
<b>Concept</b>	10	5	10	9	7	5 <b>7</b>	5 10
<b>Draft</b>	0	1	0	3	0	3 <b>2</b>	0 2
<b>Final</b>	8	7	3	11	12	44 <b>12</b>	8 9
<b>Info</b>	7	6	7	5	11	42 <b>9</b>	3 8
<b>Total</b>	<b>25</b>	<b>19</b>	<b>20</b>	<b>28</b>	<b>30</b>	<b>34 <b>30</b></b>	<b>16 29</b>

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**Document information**

Version	Date	Description
06.0	9 November 2015	Published as an annex to the annotated agenda of EB87.
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