

**CDM-EB82-AA-A16**

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# Amendments to CDM project standard, validation and verification standard and project cycle procedure based on CMP 10 decisions

Version 01.0

DRAFT



**United Nations**  
Framework Convention on  
Climate Change

## COVER NOTE

### 1. Procedural background

1. The Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol (CMP), at its tenth session, endorsed the development of a procedure by the Executive Board (the Board) of the clean development mechanism (CDM) that would allow for the voluntary de-registration of CDM project activities by project participants, while ensuring environmental integrity and the consultation of Parties involved.<sup>1</sup>
2. The CMP at its tenth session, also decided to replace decision 5/CMP.1, annex, paragraph 32 with decision 4/CMP.10, annex II, related to the flexibility in the timing of verification for afforestation and reforestation (A/R) project activities.<sup>2</sup>

### 2. Purpose

3. The purpose of this concept note is to:
  - (a) Reflect the CMP decision on voluntary de-registration of CDM project activities in the relevant CDM regulatory documents, i.e. PS and PCP.
  - (b) Reflect the CMP decision on the flexibility in the timing of verifications for A/R CDM project activities and PoAs in the relevant CDM regulatory documents, i.e. PS and the CDM validation and verification standard (VVS).

### 3. Key issues and proposed solutions

4. At its eightieth and eighty-first meetings, the Board had considered possible provisions on the process for voluntary deregistration of CDM project activities. The Board was in general agreement with the latest draft provisions contained in the draft revised CDM project standard (PS) and project cycle procedure (PCP) published within annex 9 to the annotations for the Board's eighty-first meeting. The same proposed draft provisions completed with timelines for processing requests for de-registration by the secretariat, are contained in appendices 1 and 3 to this document.
5. To reflect the CMP decision on the flexibility in the timing of verifications for A/R project activities, a paragraph has been inserted in the PS and the corresponding verification requirement in the VVS. These amendments are contained in appendices 1 and 2 to the document.

### 4. Impacts

6. The amendments to the CDM regulatory documents contained in appendices 1, 2 and 3 below fulfil the mandates by the CMP.

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<sup>1</sup> Decision 4/CMP.10, paragraph 10.

<sup>2</sup> Decision 4/CMP.10, paragraph 7.

## **5. Proposed work and timelines**

7. If the Board agrees with the provisions on voluntary de-registration of CDM project activities and on the flexibility in the timing of verifications for A/R CDM project activities and PoAs in the amended PS, VVS and PCP, these documents will be published as a new version (version 09.0) immediately. As these changes would not negatively impact on the on-going project development or validation/verification activities, the effective date of version 09.0 of the PS, VVS and PCP can remain the same (1 April 2015) as their version 08.0 adopted at the eighty-first meeting of the Board.
8. The Board may wish to further clarify that version 08.0 of the PS, VVS and PCP will be superseded by version 09.0 of the PS, VVS and PCP and will no longer be effective from 1 April 2015.

## **6. Recommendations to the Board**

9. The Board may wish to adopt draft amendments to the PS, VVS and PCP to reflect CMP decisions on voluntary de-registration of CDM project activities and the flexibility in the timing of verifications for A/R CDM project activities and PoAs, and make them effective as of 1 April 2015 as part of version 09.0 of the PS, VVS and PCP.

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## Appendix 1. Amendment to the "CDM project standard" (version 08.0)

### 1. Change to 13.11.4. Voluntary exclusion of component project activities from a programme of activities

1. The following paragraph shall read as follows:

13.11.4. De-registration of registered CDM project activity and voluntary exclusion of component project activities from a programme of activities

320. At any time after the registration of a CDM project activity or inclusion of a CPA, the project participants or the coordinating/managing entity may request the secretariat to de-register the project activity or exclude a CPA from a registered PoA in accordance with the Project cycle procedure.

### 2. Change to 13.7 "Verification of implemented registered project activity or programme of activities and monitored emission reductions or net removals"

The following paragraph shall be inserted:

265<sup>bis</sup> In case of an A/R CDM project activity and PoA, the project participant or the coordinating/managing entity may select a time for the DOE to undertake the initial verification and certification. In case where tCERs are issued, subsequent<sup>1</sup> verification and certification may be carried out at most once in each subsequent commitment period, at a time selected by the project participants or the coordinating/managing entity. In case where ICERs are issued, subsequent verification and certification shall be carried out within eight years of the date when the previous certification report was submitted until the end of the crediting period.

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### Document information

Version	Date	Description
01.0	9 February 2015	Initial publication within annex 16 to the annotated agenda of EB 82.
Decision Class: Regulatory Document Type: Amendment Business Function: Issuance, Registration Keywords: afforestation reforestation, programme of activities, registered project activity, verifying and certifying		

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<sup>1</sup> Decision 4/CMP.10 revised the timing of verification for A/R CDM project activities defined in the annex to decision 5/CMP.1.

## Appendix 2. Amendment to the "CDM validation and verification standard" (version 08.0)

### 1. Change to 12.2- Afforestation or reforestation project activities

#### 1. The following paragraph shall be inserted:

**418<sub>bis</sub>** If tCERs were issued based on the previous verification and certification, the DOE shall confirm that the current verification and certification is for the first time in the current commitment period. If ICERs were issued based on the previous verification and certification, the DOE shall confirm that the current verification and certification is within eight years of the date when the previous certification report was submitted until the end of the current crediting period<sup>1</sup>.

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<sup>1</sup> Decision 4/CMP.10 revised the timing of verification for A/R CDM project activities defined in the annex to decision 5/CMP.1.

## Appendix 3. Amendment to the “CDM project cycle procedure” (version 08.0)

### 1. New section after 9. Renewal of crediting period

1. The following section shall be inserted:

#### **10. De-registration of project activity**

##### **10.1 Submission of request for de-registration**

318. The project participants of a registered CDM project activity may submit, at anytime after the registration, through the focal point for scope (c), a request for de-registration of the project activity to the secretariat through a dedicated e-mail account made available on the UNFCCC CDM website, using the “Project activity de-registration request form” (CDM-DREG-FORM).

319. When submitting the request for de-registration, the project participants shall also submit the following:

- (a) Written agreement of all project participants on the de-registration;
- (b) Written no-objection of the DNAs of all Parties involved to the de-registration.

##### **10.2 Processing of request for de-registration**

320. The secretariat shall [as soon as possible] [within ~~×~~ 5 days of receipt of the request] conduct a completeness check of the documents submitted.

321. If the secretariat, during the completeness check, identifies missing documents and/or information, it shall request the project participants by e-mail to submit the missing or revised documents and/or information. In this case, the project participants shall submit the requested documents and/or information. ~~within [X] days of receipt of the request. If the project participants do not submit the requested documents and/or information by this deadline, the secretariat shall conclude that the request submission is incomplete.~~ Once the project participants submit the requested documents and/or information, the secretariat shall resume processing the request.

~~322. Upon conclusion of the completeness check, the secretariat shall notify the project participants of the conclusion of the completeness check. If the conclusion is negative (i.e. that the request submission is incomplete), the project participants may re-submit the request for de-registration with revised documentation.~~

323. Upon ~~positive~~ conclusion of the completeness check, the secretariat shall ~~notify the project participants of the conclusion of the completeness check and publish~~

the request for de-registration on the UNFCCC CDM website. ~~and the request for de-registration shall be deemed received by the Board.~~

324. The secretariat shall promptly send the request submission to the Board and mark the project activity as “de-registered” on the UNFCCC CDM website.

### 10.3 Effects of de-registration

325. The effective date of de-registration shall be the date when the secretariat received complete submission of the request for de-registration.

326. A DOE may publish a monitoring report in accordance with section 7.1 above, or submit a request for issuance in accordance with section 8.1.1 above, for the de-registered project activity for the period prior to the effective date of de-registration.

327. The secretariat, acting as the CDM registry administrator, shall forward CERs issued in respect of emission reductions or removal enhancements achieved prior to the effective date of de-registration in accordance with forwarding requests, the modalities of communication and the “CDM project standard” and this procedure.

328. A DOE shall not make any monitoring report publicly available for a period that includes any date that is on or after the effective date of de-registration. The secretariat, acting as the CDM registry administrator, shall not issue CERs for the de-registered project activity for the period from the effective date of de-registration.

329. The registration fee, even if there is any positive balance left after deduction of the share of proceeds for issued CERs for the project activity, shall not be reimbursed.

### 10.4 Administration period

330. During the period from the effective date of de-registration until the date that is three calendar years later:

- (a) The secretariat shall communicate with project participants and Parties involved in order to assist them to settle outstanding matters in relation to the de-registered project activity, including the completion of all issuance requests and forwarding requests in respect of emission reductions or removal enhancements achieved prior to the effective date of de-registration and such other steps as are necessary to ensure the completion of outstanding matters in relation to the de-registered project activity;
- (b) Each project participant may, after the effective date of de-registration, where necessary, be treated as if it were still a project participant by the Board and secretariat for the purposes of the “CDM project standard” and this procedure in order to allow the secretariat to process instructions relating to emission reductions or removal enhancements achieved prior



to the effective date of de-registration from such project participant(s) in relation to the de-registered project activity;

- (c) Any of the project participants of and Parties involved in the de-registered project activity may communicate with the secretariat using the e-mail address <cdm-registry@unfccc.int>. for the purpose of clarifying the effect of the de-registration.

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