



Validation report form for renewal of crediting period for CDM project activities

(Version 01.0)

Complete this form in accordance with the "Attachment: Instructions for filling out the validation report form for renewal of crediting period for CDM project activities" at the end of this form.

VALIDATION REPORT FOR RENEWAL OF CREDITING PERIOD (RCP)

Title of the project activity	
Reference number of the project activity	
Number and duration of the next crediting period	
Version number of the validation report for RCP	
Completion date of the validation report for RCP	
Version number of PDD to which this report applies	
Project participant(s)	
Host Party	
Sectoral scope(s), selected methodology(ies), and where applicable, selected standardized baseline(s)	
Estimated annual average GHG emission reductions or net anthropogenic GHG removals in the next crediting period	
Name of DOE	
Name, position and signature of the approver of the validation report for RCP	

SECTION A. Executive summary

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SECTION B. Validation team, technical reviewer and approver**B.1. Validation team member**

No.	Role	Type of resource	Last name	First name	Affiliation (e.g. name of central or other office of DOE or outsourced entity)	Involvement in			
						Desk review	On-site inspection	Interview(s)	Validation findings
1.	Team Leader								
2.	Validator								
..	...								
..	Technical Expert								
..	...								
..	Financial/ Other Expert								
..	...								
..	Trainee								
..	...								

B.2. Technical reviewer and approver of the validation report for RCP

No.	Role	Type of resource	Last name	First name	Affiliation (e.g. name of central or other office of DOE or outsourced entity)
1.	Technical reviewer				
...				
...	Approver				

SECTION C. Means of validation**C.1. Desk review**

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C.2. On-site inspection

Duration of on-site inspection: DD/MM/YYYY to DD/MM/YYYY				
No.	Activity performed on-site	Site location	Date	Team member
1.				
...				

C.3. Interviews

No.	Interviewee			Date	Subject	Team member
	Last name	First name	Affiliation			
1.						
...						

C.4. Clarification requests, corrective action requests and forward action requests raised

Area of validation findings	No. of CL	No. of CAR	No. of FAR
Compliance with PDD form			
Application of baseline and monitoring methodology and standardized baseline			
Validity of original baseline or its update			
Estimated GHG emission reductions or net anthropogenic GHG removals			
Validity of monitoring plan			
Crediting period			
Project participants			
Others (please specify)			
Total			

SECTION D. Validation findings**D.1. Compliance with PDD form**

Means of validation	
Findings	
Conclusion	

D.2. Application of baseline and monitoring methodology and standardized baseline

Means of validation	
Findings	
Conclusion	

D.3. Validity of original baseline or its update

Means of validation	
Findings	
Conclusion	

D.4. Estimated GHG emission reductions or net anthropogenic GHG removals

Means of validation	
Findings	
Conclusion	

D.5. Validity of monitoring plan

Means of validation	
Findings	
Conclusion	

D.6. Crediting period

Means of validation	
Findings	

Conclusion	
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D.7. Project participants

Means of validation	
Findings	
Conclusion	

D.8. Post-registration changes

Type of post-registration changes (PRCs)	Confirmation (Y/N)	Validation report for PRCs	
		Version	Completion date
Temporary deviations from the registered monitoring plan, monitoring methodology or standardized baseline			
Corrections			
Inclusion of a monitoring plan to a registered project activity			
Permanent changes from registered monitoring plan, monitoring methodology or standardized baseline			
Changes to the project design of a registered project activity			
Types of changes specific to afforestation and reforestation project activities			

SECTION E. Internal quality control

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SECTION F. Validation opinion

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Appendix 1. Abbreviations

Abbreviations	Full texts

Appendix 2. Competence of team members and technical reviewers

Appendix 3. Documents reviewed or referenced

No.	Author	Title	References to the document	Provider
1				
2				
3				
...				

Appendix 4. Clarification requests, corrective action requests and forward action requests

Table 1. CL from this validation

CL ID	xx	Section no.		Date: DD/MM/YYYY
Description of CL				
Project participant response				Date: DD/MM/YYYY
Documentation provided by project participant				
DOE assessment				Date: DD/MM/YYYY

Table 2. CAR from this validation

CAR ID	xx	Section no.		Date: DD/MM/YYYY
Description of CAR				
Project participant response				Date: DD/MM/YYYY
Documentation provided by project participant				
DOE assessment				Date: DD/MM/YYYY

Table 3. FAR from this validation

FAR ID	xx	Section no.		Date: DD/MM/YYYY
Description of FAR				
Project participant response				Date: DD/MM/YYYY
Documentation provided by project participant				
DOE assessment				Date: DD/MM/YYYY

Attachment: Instructions for filling out the validation report form for renewal of crediting period for CDM project activities

1. General instructions

1. When completing the CDM-RCP-FORM that applies to the validation of renewal of crediting period of any type of registered CDM project activity except registered carbon dioxide capture and storage (CCS) CDM project activities, in addition to applying the relevant requirements in the valid version of the "[CDM validation and verification standard \(VVS\)](#)", consult the "[Rules and Reference](#)" section of the UNFCCC CDM website. This section contains all regulatory documents for the CDM, such as [standards](#) (including [methodologies](#), [tools](#) and [standardized baselines](#)), [procedures](#), [guidelines](#), [clarifications](#), [forms](#) and the "[Glossary: CDM terms](#)".
2. Include, if necessarily, additional information other than that indicated in this validation report for renewal of crediting period, in order to support how the designated operational entity (DOE) has arrived at its conclusions. This information may include, but need not be limited to tables, graphs and annexes such as a validation protocol.
3. List all the abbreviations used in this validation report in Appendix 1 below.
4. Complete the CDM-RCP-FORM and all attached documents in English, or attach a full translation of relevant sections in English.
5. Complete the CDM-RCP-FORM using the same format without modifying its font, headings or logo, and without any other alteration to the form.
6. Do not modify or delete the tables and their columns in the CDM-RCP-FORM. Add rows to the tables and appendices as needed.
7. If a section of the CDM-RCP-FORM is not applicable, explicitly state "N/A" to indicate that the section is left blank intentionally.
8. Use an internationally recognized format for the presentation of values in the CDM-RCP-FORM, for example use digits grouping in thousands and mark a decimal point with a dot (.), not with a comma (,).
9. Complete the CDM-RCP-FORM deleting this attachment "Instructions for filling out the validation report form for renewal of crediting period for CDM project activities".

2. Specific instructions

1. Indicate the following information on the cover page:
 - (a) Title of the project activity;
 - (b) Reference number of the project activity (UNFCCC reference number);
 - (c) Number and duration of the next crediting period (first and last days included (DD/MM/YYYY – DD/MM/YYYY));
 - (d) Version number of the validation report for RCP (version XX.X);
 - (e) Completion date of the validation report for RCP (DD/MM/YYYY);
 - (f) Version number of PDD to which this report applies (version XX.X);

- (g) Project participant(s);
- (h) Host Party;
- (i) Estimated annual average GHG emission reductions or net anthropogenic GHG removals in the next crediting period (tCO₂e)
- (j) Sectoral scope(s), selected methodology(ies) and, where applicable, selected standardized baseline(s);
- (k) Name of DOE;
- (l) Name, position and signature of the approver of the validation report for RCP.

SECTION A. Executive summary

1. Provide a brief summary of the project activity (including the purpose and general description and location), scope of the validation, validation process and conclusion.

SECTION B. Validation team, technical reviewer and approver

1. Provide details of the validation team, technical reviewer and approver in sections B.1 and B.2. If applicable, also identify any trainees.
2. For "Type of resource" in sections B.1 and B.2, indicate the type of resource of the personnel with the use of one of the following abbreviations referring to the "[CDM accreditation standard](#)":
 - (a) IR (Internal Resource);
 - (b) EI (External Individuals);
 - (c) OR (Outsourced Resource).
3. Demonstrate how the team meets the competence required for the validation in Appendix 2 below.

SECTION C. Means of validation

C.1. Desk review

1. List all documents reviewed or referenced during the validation in Appendix 3 below.

C.2. On-site inspection

1. Summarize any on-site inspection performed during the validation in the table.

C.3. Interviews

1. Summarize all the interviews (i.e. in-person interviews, web/teleconferences, etc.) conducted during the validation in the table.

C.4. Clarification requests, corrective action requests and forward action requests raised

1. Indicate in the table the number of the clarification requests (CLs), corrective action requests (CARs), and forward action requests (FARs) raised in each area of validation findings in SECTION D below.

SECTION D. Validation findings

1. In sections D.1–D.7 below, complete tables to validate the compliance in accordance with applicable validation requirements in the VVS by describing:
 - (a) Means of validation: describe how the compliance was validated;
 - (b) Findings: provide a brief description of the findings. Include in Appendix 4 below details of any CLs, CARs and FARs, if raised;
 - (c) Conclusion: provide a conclusion on the compliance based on the findings.

D.1. Compliance with PDD form

1. Confirm the compliance of the updated PDD with the valid version of the applicable PDD form and the instructions therein for filling out the PDD form.
2. If the project participants used the later version of the PDD form for the updated PDD than the version of the PDD form of the registered PDD, confirm whether information transferred to the later version of the PDD form is materially the same as that in the registered PDD.

D.2. Application of baseline and monitoring methodology and standardized baseline

1. Explain how the application of the baseline and monitoring methodology and, where applicable, the standardized baseline in the updated PDD was assessed in accordance with the applicable validation requirements related to the renewal of crediting period in the VVS.

D.3. Validity of original baseline or its update

1. Explain how the validity of the baseline in the updated PDD was assessed in accordance with the applicable validation requirements related to the renewal of crediting period in the VVS.

D.4. Estimated GHG emission reductions or net anthropogenic GHG removals

1. Explain how the estimated GHG emission reductions or net anthropogenic GHG removals in the updated PDD were assessed in accordance with the applicable validation requirements related to the renewal of crediting period in the VVS.

D.5. Validity of monitoring plan

1. Explain how the monitoring plan in the updated PDD was assessed in accordance with the applicable validation requirements related to the renewal of crediting period in the VVS.

D.6. Crediting period

1. Explain how the crediting period in the updated PDD was assessed in accordance with the applicable validation requirements related to the renewal of crediting period in the VVS.

D.7. Project participants

1. Explain how the names of the project participants included in the updated PDD were assessed in accordance with the applicable validation requirements related to the renewal of crediting period in the VVS.

D.8. Post-registration changes

1. Confirm in the table whether any proposed post-registration changes for the next crediting period are to be submitted together with the request for renewal of crediting period.
2. In cases where the proposed changes are to be submitted together with the request for renewal of crediting period, report the version number and completion date of the validation report for post-registration changes.

SECTION E. Internal quality control

1. Describe the measures taken to ensure the quality of the validation activities.

SECTION F. Validation opinion

1. Provide a validation opinion in accordance with applicable validation requirements related to the renewal of crediting period in the VVS.

Appendix 1. Abbreviations

1. List all the abbreviations used in this report in the table.

Appendix 2. Competence of team members and technical reviewers

1. Provide documentation to substantiate the required competence of validation team members and technical reviewer(s).

Appendix 3. Documents reviewed or referenced

1. List all documents reviewed or referenced during the validation including CDM regulatory documents in the table.
2. For each document indicate the following:
 - (a) Title: provide the title of the document. Include the version number, if applicable;
 - (b) Author: provide the name(s) of the author(s). Where the author(s) belong(s) to the organization(s) that issue the document, provide only the name(s) of the organization(s);
 - (c) References to the document: where applicable, provide the relevant reference to the document such as the dates of completion/publication and URL;
 - (d) Provider: choose one of the following options to indicate who provided the document to the DOE for its desk review. Select 'Others' for documents that were provided by those other than the project participants:
 - (i) Project participants;
 - (ii) Others.

Appendix 4. Clarification requests, corrective action requests and forward action requests

1. If needed, copy tables 1, 2 and/or 3 for each CL, CAR, and/or FAR, and copy the following rows until the finding is closed unless a FAR for future verifications is issued:
 - (a) Project participant response;
 - (b) Documentation provided by project participant;
 - (c) DOE assessment.
2. In each table, indicate the section number of the validation report for renewal of crediting period to which each CL, CAR or FAR corresponds.

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Document information

<i>Version</i>	<i>Date</i>	<i>Description</i>
01.0	23 March 2015	Initial publication.
Decision Class: Regulatory		
Document Type: Form		
Business Function: Renewal of crediting period		
Keywords: crediting period, project activities, validation report		