

CDM-EB82-AA-A05

Draft CDM Executive Board workplan 2015

Version 01.1

DRAFT



United Nations
Framework Convention on
Climate Change

COVER NOTE

1. Procedural background

1. At its eighth session, the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol (CMP) requested the CDM Executive Board (hereinafter referred to as the Board) to further improve the consistency, efficiency and transparency of its decision-making.
2. In 2012 the Board started the practice of adopting a workplan at the first meeting of the year and thereafter considering an update of the work at each meeting. The reviewing of this plan has provided the Board with the opportunity to plan, note the status, and increase the transparency of its work.

2. Purpose

3. The workplan of the Board for 2015 provides an overview of the issues to be considered by the Board at each meeting during the year. This allows the Board to plan its workload for each meeting and ensure the efficient use of meeting time.

3. Key issues

4. The products in the workplan are based on the agreed "Management plan 2015" (MAP 2015) and routine operations.
5. The workplan provides information on the type of products the Board will consider at each meeting, actions to be taken by the Board and the products that will undergo panel or working group consultation as well as calls for public input.
6. Products for consideration of the Board to implement the recent mandates provided by the CMP at its tenth session have been also incorporated in this plan. In some cases these are concept notes for consideration of the Board with the aim for the Board to provide direction on a way forward to implement the mandates. In preparing these proposals, the secretariat will also be in a position to better assess the resources needed to implement these mandates and may bring this issue to the attention of the Board.

4. Impacts

7. The updated workplan will ensure efficiency and transparency of the decision-making process and facilitate the Board's management of its workload.

5. Proposed work and timelines

8. It is proposed that the workplan be considered by the Board at each meeting to take note of the updates based on new mandates of the Board and the status of work during the year.

6. Recommendations to the Board

9. The secretariat recommends that the Board approve this draft workplan for 2015 and request the secretariat to update the workplan for consideration of the Board at each meeting, based on new mandates arising from the meetings and on the status of work.

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1. CDM Executive Board workplan 2015

1. The table below provides the overview of the workplan of the Board for 2015.

Table 1. CDM Executive Board workplan 2015

Proj / Routine	Product	EB82	EB83	EB84	EB85	EB86	EB87	Comments
Governance and Management Matters								
Servicing of regulatory body								
Support to the Executive Board	Selection of Chair/Vice Chair for EB, panels and working groups (WGs)	Final						
	Selection of CDM EB Finance Committee (EBFC) members	Final						
	Selection of panel/WG members	Info (to launch call)			Final			
	Selection of CDM Registration and Issuance Team (RIT) members	Info (to launch call)			Final			

Proj / Routine	Product	EB82	EB83	EB84	EB85	EB86	EB87	Comments
Support to the Executive Board (cont.)	Calendar of meetings	Final					Final	
	EB workplan 2015	Final	Info	Info	Info	Info	Info	
	EB report to CMP				Concept	Final		
	Report on interactions with stakeholders and policymakers	Info						EB81 report para 22 (Report on interactions held with stakeholders and policymakers during the 2014 Climate Summit in September in New York)
243 Improvement to the MAP preparation process	Project concept note	Concept						The timing of the below products may change depending on the guidance of the Board on this concept note.
Support to the Executive Board (cont.)	Mid-year review CDM MAP 2015				Info			
	CDM Business Plan 2016-2017					Final		
	CDM MAP 2016						Final	

Proj / Routine	Product	EB82	EB83	EB84	EB85	EB86	EB87	Comments
Support to the Executive Board (<i>cont.</i>)	Information on projected future income and expenditure				Info		Info	
242 Identification of key performance indicators	Project concept note	Concept						Further work will be determined when concept note is considered.
241 Independent third-party evaluation of RCCs	Project concept note	Concept						Project plan to be approved electronically in June
	Selection of evaluator		Final					
	Third-party evaluation					Info		
Servicing of panels/ working groups								
Panels and working groups	2015 workplans of panels and WGs	Final						
	Panel and WG meeting reports		Final		Final		Final	
	Reporting on performance of panel/WG members						Info	

Proj / Routine	Product	EB82	EB83	EB84	EB85	EB86	EB87	Comments
Panels and working groups (cont.)	Procedure for selection and performance evaluation of RIT and Meth experts on the rosters of experts			Final				
	Revisions of regulations for the appointment of assessment teams and the role of the CDM-AP in this process	Concept (panel/WG input)						EB81 report para 14 (Agreed to postpone this item to the next meeting of the Board)
Secretariat-organized interactions								
Global DNA Forum	Interaction with Co-Chairs			Info			Info	
DOE/AIE Coordination Forum	Interaction with the Chair	Info	Info	Info	Info	Info	Info	

Proj / Routine	Product	EB82	EB83	EB84	EB85	EB86	EB87	Comments
Accreditation system								
Accreditation	Options for fixed fees for accreditation assessments					Concept (panel/ WG input)		EB81 report para 15 (d) (Secretariat to prepare, in consultation with the CDM-AP, a concept note on introduction of fixed fees for assessment activities)
	Approach on performance monitoring of DOEs				Concept			EB79 report para 10 (Secretariat to carry out work to review the approach on performance monitoring of DOEs in the context of the current market situation)
Synergies on the accreditation systems of both constituted bodies	Response to Joint Implementation Supervisory Committee (JISC)	Final						6/CMP.10 para 1 (EB and JISC to collaborate in considering establishment of joint accreditation committee), para 2 (EB and JISC to report to CMP.11) EB81 report para 18 (Board will continue considering this issue at its next meeting, if there is any decision from the CMP on this matter)
Entity performance monitoring system	Synthesis report of the annual activity reports by DOEs	Info					Info	

Proj / Routine	Product	EB82	EB83	EB84	EB85	EB86	EB87	Comments
Market and policy analysis								
Policy analysis and reports	Carbon market and policy update	Info		Info		Info		
Partnerships								
Nairobi Framework	Nairobi Framework Partnership 2014 annual report	Info						
	Nairobi Framework Partnership workplan 2015		Info					
CDM Loan Scheme	Implementa- tion report of CDM loan scheme					Info		
Regional collaboration centres operations	Report on operations				Info		Info	

Proj / Routine	Product	EB82	EB83	EB84	EB85	EB86	EB87	Comments
Regulatory policy improvement								
222 Enhance the clarity, consistency and user-friendliness of regulations	Validity of regulatory documents	Info						EB80 para 41 (Board agreed to consider the issue of validity of regulatory documents)
Assessment of and report on applicability of A/R modalities and procedures based on CMP.10 mandate			Concept			Final (panel/ WG input)		7/CMP.10 para 1 and 2 (Applicability of modalities and procedures, incl. in areas with agroforestry and silvopastoral practices where the established vegetation is not likely to reach the forest thresholds selected by host Party in the event that such projects would be eligible under the CDM. EB to report to CMP.11)
Additional cost-effective approaches for eligibility of land to qualify as CDM A/R projects			Concept			Final (panel/ WG input)		4/CMP.10 para 8 (Board to explore additional cost-effective approaches to demonstrating the eligibility of land to qualify as CDM A/R project activity, report back to CMP.11)

Proj / Routine	Product	EB82	EB83	EB84	EB85	EB86	EB87	Comments
Regulatory management								
Regulatory framework management and processing and managing official documents	Application of revised EB decision and documentation framework		Final	Final				EB81 report para 81 (Alignment work, so that the Board can adopt the revision of the affected documents before 1 June 2015)
	Voluntary monitoring of the contribution to sustainable development	Concept						EB81 report para 78 (reiterated its request to the secretariat to prepare a concept note on voluntary monitoring of the contribution to sustainable development)
Rulings								
Project assessments								
Assessment of compliance with CDM requirements relating to project submissions	Consideration of cases for issuance, registration, PoAs and other project-related submissions	Final	Final	Final	Final	Final	Final	
Regulatory matters								
Report on implementation of voluntary de-registration						Info		4/CMP.10 para 11 (Board to report to CMP.11 on voluntary deregistration)

Proj / Routine	Product	EB82	EB83	EB84	EB85	EB86	EB87	Comments
CDM registry procedure							Final	MAP 2014
Project assessments								
246 Simplification of the project submission, registration and issuance processes and further opportunities for streamlining of the CDM	Project concept note	Concept						
	Proposal for simplification and streamlining of the CDM				Concept	Final		3/CMP.9, para 21 (review the concept of materiality in the verification process and, if applicable, how it can be further applied in the CDM) EB81 report para 11 (secretariat to work further on the application of materiality)

Proj / Routine	Product	EB82	EB83	EB84	EB85	EB86	EB87	Comments
246 Simplification of the project submission, registration and issuance processes and further opportunities for streamlining of the CDM (<i>cont.</i>)	Recommendations to the CMP on simplification and streamlining of the CDM					Final		4/CMP.10 para 13 (Board to analyse options to allow the simplified registration), para 14 (Board to analyse the implications, and possible provisions for ensuring environmental integrity, of allowing the same DOE entity to carry out validation and verification for the same project or PoA), para 17 (Board to streamline provisions for PoAs in PS, VVS, PCP), para 18 (Board do consider adjusting rules of governing PoAs), para 19 (Options to improve accreditation of entities in regions underrepresented in the CDM)
	Use of the CDM infrastructure in other fields			Concept		Final		EB81 report para 9 (Concept note on how the infrastructure of the CDM may be applied to other fields, such as results-based finance, in conjunction with the full CDM project cycle and/or as separate services)

Proj / Routine	Product	EB82	EB83	EB84	EB85	EB86	EB87	Comments
	Consolidated and streamlined set of PoA provisions			Concept	Draft (preceded by call)	Final		
218 Development of specific simplified project-cycle templates	Project-cycle templates					Info		
Methodologies								
110 Further development of standardized baselines framework	Project concept note	Concept						Change of title from MAP 2015 to cover other existing mandates.
	Revision of Guidelines for establishment of sector specific standardized baseline				Concept (secr./ panel/ WG joint rec.)		Final (secr./ panel/ WG joint rec.)	EB81 report para 48 (Secretariat and the MP to further work on the draft "Standard for development of standardized baselines)

Proj / Routine	Product	EB82	EB83	EB84	EB85	EB86	EB87	Comments
	Report on road testing of current approaches in Guidelines for establishment of sector specific standardized baseline						Info (panel/ WG input)	
110 Further development of standardized baselines framework (<i>cont.</i>)	Development of two standards with a methodological framework for two specific project types				Concept (secr./ panel/ WG joint rec.)			
	Revision of Procedure for development, revision, clarification and update of standardized baselines		Draft	Final				

Proj / Routine	Product	EB82	EB83	EB84	EB85	EB86	EB87	Comments
110 Further development of standardized baselines framework (<i>cont.</i>)	Guidelines for determining country-specific thresholds for specific project types/sectors						Draft (secr./ panel/ WG joint rec.)	3/CMP.9 para 13 (Board to expedite its work on the development of country-specific baseline and additionality thresholds for sectors in countries underrepresented in the clean development mechanism in coordination with those countries)
	Guidelines on determining sector-wide emission factors				Concept (secr./ panel/ WG joint rec.)		Draft (secr./ panel/ WG joint rec.)	Based on decision by the Board on product related to options to develop sector-wide emission factors
	Development of database on cost and efficiency of technologies				Info	Info		
	Support to development of six standardized baselines							On a no-objection basis per the relevant procedures

Proj / Routine	Product	EB82	EB83	EB84	EB85	EB86	EB87	Comments
223 Simplification of methodologies including digitization to reduce transaction costs	Project concept note	Concept						4/CMP.10 para 6 (Board to continue its work on the simplification and streamlining of baseline and monitoring methodologies with the aim of reducing transaction costs for all project activities and PoAs) Change of title from MAP 2015 for editorial reasons.
223 section: Cross-cutting issues (additionality, monitoring etc.)	Non-binding best practice examples in methodologies		Concept (panel/WG input)		Final (through panel/ WG report, preceded by call)		Final (through panel/ WG report, preceded by call)	EB81 report para 61 (MP and SSC WG to define the criteria for selection of priority methodologies that will be revised to include non-binding best practice examples and recommend a list of methodologies for work in 2015). Any resulting work will go through panel/WG reports.
	Small-scale and microscale additionality guidelines, including harmonization of the guidelines and update of positive list				Final (through panel/ WG report)			EB81 report para 70 (Board agreed to continue to explore options to facilitate the implementation of microscale or small-scale project activities providing services to households, communities or small and medium enterprises and requested the secretariat to continue to work on the issue in consultation with the SSC WG)

Proj / Routine	Product	EB82	EB83	EB84	EB85	EB86	EB87	Comments
223 section: Cross-cutting issues (additionality, monitoring etc.) (cont.)	Analysis of CPA threshold				Concept (panel/ WG input)			4/CMP.10 para 18 (a) (Board to consider application of microscale thresholds at the unit level rather than at the component project activity level)
	Monitoring guidelines/ standards (package 1)				Draft (through panel/ WG report, preceded by call)		Final (through panel/ WG report)	
	Monitoring guidelines/ standards (package 2)						Draft (through panel/ WG report, preceded by call)	
	Digitized methodology- specific forms				Final (panel WG input)		Final (panel/ WG input)	4/CMP.10 para 15 (Board to develop and digitize meth-specific PDDs) EB81 report para 51 (Secretariat to work on three specific methodologies and road-test the concept in consultation with the MP, SSC WG and stakeholders)

Proj / Routine	Product	EB82	EB83	EB84	EB85	EB86	EB87	Comments
	Analysis of implications of allowing requests for revision of a methodology without a draft PDD			Concept (panel/ WG input)		Final		4/CMP.10 para 5 (Board to consider implications of allowing requests for revision of a baseline and monitoring meth without a draft PDD [...] report back to CMP.11)
	Revision of Guidelines on assessment of investment analysis				Draft (through panel/ WG report, preceded by call)		Final (through panel/ WG report)	
223 section: PoA regulatory documents	Combinations of methodologies that do not require prior approval						Final (through panel/ WG report)	EB81 report para 50 (MP to identify combinations of methodologies that do not require prior approval and combinations for which interactions occur)
	Revision of Sampling standard/Guideline to include survey guidelines					Final (panel/ WG input)		

Proj / Routine	Product	EB82	EB83	EB84	EB85	EB86	EB87	Comments
223 section: Revision of large-scale and small-scale methodologies	Various top-down revised/new methodologies and tools mandated by the Board		Final (through panel/WG report, preceded by call)		Final (through panel/WG report, preceded by call)		Final (through panel/WG report, preceded by call)	4/CMP.10 para 6 (Board is encouraged to continue its work on simplification and streamlining of methodologies with the aim of reducing transaction costs)
244 Development of new methodologies to broaden the applicability of the CDM	Project concept note	Concept						Change of title to move standardized baseline work to project 110
	Various top-down revised/new methodologies				Info (through panel/WG report)		Draft (through panel/WG report)	4/CMP.10 para 6 (Board is encouraged to continue its work on simplification and streamlining of methodologies with the aim of reducing transaction costs) EB81 report para 53 (MP to review methodologies related to biofuels and to conduct a gap analysis)
	Grid emission factors							On a no-objection basis per the relevant procedures

Proj / Routine	Product	EB82	EB83	EB84	EB85	EB86	EB87	Comments
225 New project in the same physical geographical location at which a project whose crediting period has expired existed			Final (secr./ panel/ WG joint rec.)					3/CMP.9 para 17 (Criteria established to determine whether a project activity or CPA is a continuation or modification of another project activity or CPA. EB 81 report para 52 ((MP to continue considering the matter [...] and MP in particular to define the terms used [...] to provide a clearer definition [...]))
Regulatory policy improvement								
216 Application of the E- policy for additionality demonstration	Analysis		Info					EB81 report para 82 (Secretariat to prepare one more analysis)
	Consolidated document covering explanations of the E- issues				Info			EB81 report para 82 (Secretariat to submit a consolidated document that covers explanations of the E- issues, analysis, and useful information)
Regulatory management								
Global/local stakeholder consultation			Concept					
Revised "Procedure for direct communication"		Final (preceded by call)						4/CMP.10 para 12 (Board to publish procedures for dealing with stakeholder communication) EB81 report para 75 (Secretariat to launch a call for public input and prepare a revised draft)

Proj / Routine	Product	EB82	EB83	EB84	EB85	EB86	EB87	Comments
Report on stakeholder communications and impacts							Info	
Support to DNAs								
Technical assistance to DNAs on guidelines for local stakeholder consultation	Concept note (if triggered by a DNA request)							3/CMP.9 para 20 (Board to provide technical assistance to DNAs, upon their request, for the development of guidelines for local stakeholder consultation in their countries)
Technical assistance to DNAs on guiding tools for monitoring sustainable development benefits	Concept note with implementation plan			Concept				3/CMP.9 para 8 (Development of guiding tools to assist DNAs in monitoring sustainable development benefits) EB80 report para 45 (secretariat to prepare an implementation plan)
245 Increased support for stranded projects	Project concept note	Concept						
	System and process established				Info			
Reporting of inactive projects					Info			EB80 report para 43 (Secretariat to report on a regular basis)

Proj / Routine	Product	EB82	EB83	EB84	EB85	EB86	EB87	Comments
Partnerships								
231/2 Assisting policymakers/stakeholders in compliance markets / Development and promotion of voluntary cancellation	Update on support provided to nurture demand for CDM and CERs			Info			Info	
	Online platform for voluntary cancellation of CERs		Final		Info		Info	EB81 report para 6 (Secretariat to develop online platform for voluntary cancellation of CERs)
	Board to offset its emissions for 2015		Concept			Info		EB81 report para 12 (Possible options for the offsetting of emissions for the year 2015)

EB Workplan 2015 (Total of documents 133)

Numbers of items	EB82	EB83	EB84	EB85	EB86	EB87
Concept	10	5	4	7	1	0
Draft	0	1	0	3	0	4
Final	8	7	4	8	11	12
Info	8	4	5	11	9	11
Total	26	17	13	29	21	27

Document information

<i>Version</i>	<i>Date</i>	<i>Description</i>
01.1	15 February 2015	Editorial revision to correct product title on page 8, the timing of a product on page 12 and the changes in table on page 24.
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