

CDM-EB90-AA-A06

CDM Executive Board workplan 2016

Version 03.0



United Nations
Framework Convention on
Climate Change

COVER NOTE

1. Procedural background

1. The CDM Executive Board (hereinafter referred to as the Board) at its eighty-eighth meeting approved the workplan of the Board for 2016 and requested the secretariat to include in future versions of the workplan any new mandates arising from meetings and to provide an update on the status of work at each meeting.
2. This work is in line with the requests of the Conference of the Parties serving as the meeting of the Parties to the Kyoto Protocol (CMP) to the Board to continue its work to further improve the consistency, efficiency and transparency of its decision-making.

2. Purpose

3. The workplan of the Board for 2016 provides an overview of the issues to be considered by the Board at each meeting. This allows planning the workload of the Board and ensures efficient use of meeting time. The document indicates the work planned for the Board at the meetings in 2016, based on the agreed “Management plan 2016” (EB87 report, annex 1). The workplan also provides information on the products which will undergo panel or working group consultation as well as calls for public input.

3. Key issues and proposed solutions

4. Changes to the previous version of the workplan are highlighted and the rationale for changes is also provided in the document.

4. Impacts

5. The updated workplan will ensure efficiency and transparency of the decision-making process and facilitate the Board’s management of its workload.

5. Proposed work and timelines

6. The secretariat includes in the workplan any new mandates arising from each meeting and provides an update on the status of work at the following meeting.

6. Recommendations to the Board

7. The secretariat recommends that the Board take note of the updated workplan and provide comments, as appropriate.

1. CDM Executive Board workplan 2016

1. The table below provides an overview of the workplan of the Executive Board of the clean development mechanism for 2016.

Table 1. CDM Executive Board workplan 2016

Project/ routine	Product	EB 88	EB 89	EB 90	EB 91	EB 92	Comments
Governance and management matters							
Servicing of regulatory body							
Support to the Executive Board (EB)	Selection of Chair/Vice Chair for EB	Final					
	Selection of Chairs/Vice Chairs for panels and working groups (WGs)	Final					
	Selection of CDM EB Finance Committee (EBFC) members	Final					
	Calendar of meetings	Final				Final	
	EB workplan	Final	Info	Info	Info	Info	

Project/ routine	Product	EB 88	EB 89	EB 90	EB 91	EB 92	Comments
Support to the EB (<i>cont.</i>)	EB report to CMP			Concept	Final		
	CDM MAP 2016 mid-year review			Info			Decision 6/CMP.11, para. 28.
	CDM MAP 2017					Final	
242 Identification of key performance indicators (KPIs)	Amended list of CDM KPIs			Final			
Operations of the CDM	Technical and legal analysis		Info				
Servicing of panels/working groups							
Panels and working groups (WGs)	2016 workplans of panels and WGs	Final					
	Panel and WG meeting reports	Final	Final	Final	Final	Final	
Secretariat-organized interactions							
Global DNA Forum	Interaction with Co-Chairs		Info			Info	
DOE/AIE Coordination Forum	Interaction with the Chair	Info	Info	Info	Info	Info	

Project/ routine	Product	EB 88	EB 89	EB 90	EB 91	EB 92	Comments
DOE/AIE Coordination Forum (<i>cont.</i>)	Analysis of the secretariat and DOE/AIE conference calls		Concept				
Accreditation system							
Accreditation system	Analysis of the need for measures to ensure the continued participation of designated operational entities in the CDM			Concept (panel/WG input, preceded by call)			Decision 6/CMP.11, para. 11.
	DOE performance monitoring					Info (through panel/WG report)	
Market and policy analysis							
Policy analysis and reports	Carbon market and policy update	Info		Info		Info	
Partnerships							
Nairobi Framework	Nairobi Framework Partnership update				Info		

Project/ routine	Product	EB 88	EB 89	EB 90	EB 91	EB 92	Comments
CDM loan scheme	Independent evaluation of CDM loan scheme		Info	Info	Info		EB89 report para. 19
	Implementation report of CDM loan scheme				Info		
Regional collaboration centres operations (RCCs)	Expansion of scope and scale of RCCs			Concept			Decision 6/CMP.11, para. 26.
Regulatory policy improvement							
222 Enhance the clarity, consistency and user- friendliness of regulations	Report on processes			Info			Covered under the MAP mid-year review at EB90.
	Report on stakeholder communications and impacts					Info	Procedure for direct communication para. 61.
Sustainable development co-benefits	Increased communication on sustainable development co- benefits			Info			Decision 6/CMP.11, para. 21.

Project/ routine	Product	EB 88	EB 89	EB 90	EB 91	EB 92	Comments
Sustainable development co-benefits (<i>cont.</i>)	Improved user-friendliness of the existing sustainable development co-benefits tool			Concept		Info	Decision 6/CMP.11, para. 22.
CDM registry	Information on 3/CMP.1, appendix D, para. 12		Concept	Concept	Final		Decision 6/CMP.11, para. 24. EB89 report para. 14
Regulatory management							
Regulatory framework management	Revised PS, VVS			Concept	Draft	Final	Decision 6/CMP.11, para. 23. EB89 report para. 31 EB89 report para. 49
	Revised methodology procedure		Draft	Final			Decision 6/CMP.11, paras. 12 and 13. Adopted at EB89, annex 7
	CDM registry procedure			Draft	Final		Postponed to 2017 in order to prioritize the work on the revised regulatory documents PS, VVS, PCP for projects and PoAs, and for voluntary cancellation of CERs.

Project/ routine	Product	EB 88	EB 89	EB 90	EB 91	EB 92	Comments
Regulatory framework management (<i>cont.</i>)	Recommendations on initial accreditation fee payment			Concept (secr./ panel/WG joint rec.)			
246 Simplification and streamlining of the CDM	Proposals for simplification and streamlining of the CDM	Concept (panel/WG input)	Concept (panel/WG input)				Decision 6/CMP.11, para. 6.
	Revised regulatory documents (e.g. PS, VVS, PCP, accreditation standard)			Concept	Draft	Final (preceded by call)	EB89 report para. 8 EB89 report para. 11 EB89 report para. 13 EB89 report para. 31 EB89 report para. 50
	PoA standard, PoA VVS and PoA cycle procedure	Concept	Concept	Concept	Draft	Final (preceded by call)	Decision 6/CMP.11, para. 18, EB89 report para. 31
	Revised materiality guidelines			Concept	Draft (preceded by call)	Final	Postponed to 2017 in order to prioritize the work on the revised regulatory documents PS, VVS, PCP for projects and PoAs, and assessment of registration and issuance submissions

Project/ routine	Product	EB 88	EB 89	EB 90	EB 91	EB 92	Comments
249 Systematic learning system/ enhancing quality by institutio- nalizing regular accountability				Concept Info			Report on new internal measures being implemented
Rulings							
Project assessments							
Assessment of compliance with CDM requirements relating to project and PoA submissions	Consideration of cases for registration, issuance and other project and PoA related submissions	Final	Final	Final	Final	Final	
	Process status			Info			
Regulatory matters							
Project assessments							
Standardized registration template			Concept	Draft	Final		Decision 6/CMP.11, para. 20. EB89 report para. 32
Methodologies							
110 Further development of stand. baselines framework	Revision of Guidelines for establishment of sector specific standardized baseline	Concept (secre./ panel/ WG joint rec.)				Final (through panel/WG report)	EB89 report para. 37

Project/ routine	Product	EB 88	EB 89	EB 90	EB 91	EB 92	Comments
110 (cont.)	Development of standards with a methodological framework for specific project types			Final (secr./ panel/ WG joint rec.)		Final (secr./ panel/ WG joint rec., prec. by call)	MP 70 and SSC WG 51 developed a draft for further electronic consultation, to be followed by a public call for input.
				Concept (through panel/WG report)			
	Revision of regulatory documents due to the revision of the procedure for development, revision, clarification and update of standardized baselines					Concept	

Project/ routine	Product	EB 88	EB 89	EB 90	EB 91	EB 92	Comments
110 (cont.)	Further work to define rules for determination of country-specific thresholds for specific project types/sectors of under-represented countries	Concept (secr./ panel/ WG joint rec.)					Decision 3/CMP.9, para. 13.
	Options to develop sector-wide emission factor	Concept (secr./ panel/ WG joint rec.)					
	Database on cost and efficiency of technologies				Info		
223 Simplification of methodologies including digitization to reduce transaction costs	Digitized project and programme design document forms for CDM project activities and PoAs			Concept		Final Concept	Decision 6/CMP.11, para. 14 Postponed to EB92 in order to prioritize assessment of registration and issuance submissions

Project/ routine	Product	EB 88	EB 89	EB 90	EB 91	EB 92	Comments
223 (cont.)	Simplified inclusion process for activities that satisfy microscale thresholds and are considered automatically additional		Concept (panel/WG input, preceded by call)		Draft	Final	Decision 6/CMP.11, para. 19. EB89 report para. 15
	Further work on additionality, including probabilistic approaches for additionality and criteria for negative lists			Concept (panel/WG input)			
	Gap analysis and revision of the combined tool			Final Concept (through panel/WG report)		Final (through panel/ WG report)	Deferred to MP 71 to enlarge the scope of work.
	Revised methodological tool: Investment analysis			Final through panel/ WG report, preceded by call)		Final (through panel/ WG report, preceded by call)	MP 70 decided to streamline in order to avoid frequent revisions of the tool.

Project/ routine	Product	EB 88	EB 89	EB 90	EB 91	EB 92	Comments
223 (cont.)	Non-binding best practice examples in methodologies					Final (through panel/ WG report)	
	Consolidated small-scale and microscale additionality tools with updated positive lists			Draft (secr./ panel/ WG joint rec., preceded by call)	Draft (secr./ panel/ WG joint rec., preceded by call)		MP70 and SSC WG 51 finalized a draft version for public input.
	Cost-effective approaches for monitoring – Revision to methodologies, tools and regulations			Final (through panel/WG report, preceded by call)	Final (through panel/WG report, preceded by call)		Decision 6/CMP.11, para. 15.
	Various top-down revised/new methodologies and tools mandated by the Board		Final (through panel/WG report, preceded by call)	Final (through panel/WG report, preceded by call)		Final (through panel/WG report, preceded by call)	EB89 report para. 38 EB89 report para. 41 EB89 report para. 42 EB89 report para. 43

Project/ routine	Product	EB 88	EB 89	EB 90	EB 91	EB 92	Comments
244 Development of new methodolo- gies to broaden the applicability of the CDM	Use of the CDM in urban sectors			Concept (secr./ panel/ WG joint rec., preceded by call)			Decision 4/CMP.10, para. 6.
	Broadly applicable methodology for transport applications		Concept (secr./ panel/ WG joint rec., preceded by call)			Info (through panel/WG report)	Decision 4/CMP.10, para. 6, EB89 report para. 33
	Methodological options for developing 'agriculture CDM'		Concept (secr./ panel/ WG joint rec., preceded by call)			Final (through panel/WG report) Concept (secr./ panel/ WG joint rec.)	Decision 4/CMP.10, para. 6, EB89 report para. 34
	Methodologies for biofuel activities					Final (through panel/ WG report, preceded by call)	Decision 4/CMP.10, para. 6.

Project/ routine	Product	EB 88	EB 89	EB 90	EB 91	EB 92	Comments
244 (cont.)	Indirect leakage emissions		Concept				EB89 report para. 35
	Methodologies for the aviation sector – solar at gate	Final	Final (through panel/WG report)				Decision 4/CMP.10, para. 6.
	Methodologies for the aviation sector – e-taxiing		Final through panel/WG report, preceded by call)				Decision 4/CMP.10, para. 6.
	Consolidated methodology for supply of domestic thermal energy and safe drinking water					Final (through panel/WG report, preceded by call)	
Other methodology related issues	Standard on applicability of sectoral scopes	Final (preceded by call)					
	New project activity in a physical or geographical location of an existing CDM project		Concept (secr./ panel/WG joint rec.)			Concept (secr./ panel/WG joint rec.)	EB89 report para. 36

Project/ routine	Product	EB 88	EB 89	EB 90	EB 91	EB 92	Comments
Regulatory policy improvement							
216 Application of the E- policy for additionality demonstra- tion	Analysis	Concept			Info		Decision 6/CMP.11, para. 16, EB89 report para. 62
	Consolidated document covering explanations of the E- issues				Info		
Support to DNAs							
Technical assistance to DNAs on guidelines for local stakeholder consultation (if triggered by a DNA request)				Concept			Decision 3/CMP.9, para. 20. EB89 report para. 59
Technical assistance to DNAs on guiding tools for monitoring sustainable development benefits (if triggered by a DNA request)							Decision 3/CMP.9, para. 8.
Partnerships							
Options for using the CDM as a tool for other uses		Concept	Concept (preceded by call)		Final		Decision 6/CMP.11, para. 7, EB 89 report para. 6

Project/ routine	Product	EB 88	EB 89	EB 90	EB 91	EB 92	Comments
Further development and promotion of the online platform for voluntary cancellation of CERs	Facilitate access to the section of sustainable development in the design documents of the project activities and PoAs on the online platform	Info	Concept				Decision 6/CMP.11, para. 5.
	Further development of the platform so as to make it more user-friendly	Info	Concept		Info		EB 89 report para. 17
Financing CDM through international climate financing institutions		Concept		Concept	Info		Decision 6/CMP.11, paras. 8 and 9.
Stakeholder capacity-building	Annual survey on status of CDM project activities and PoAs				Info		

Table 2. EB Workplan 2016^(a)

Numbers of items	EB88	EB89	EB90	EB91	EB92
Concept	8	13	42 14	0	4 4
Draft	0	1	3 1	5	0
Final	10	5	9 5	8 7	45 17
Info	4	5	7 9	11	7 8
Total	22	24	34 29	24 23	23 29

^(a) Total of items ~~124~~ 127

2. Regular reports to the CDM Executive Board

2. Reports on activities and performance are prepared by the secretariat on a regular basis for consideration of the Board and the general public and are published on the UNFCCC CDM website¹. Confidential information pertaining to these reports will be provided to the Board via other means, as required.
3. Any member of the Board may request to consider a specific report at the coming meeting after publication, taking into account the deadlines stipulated by the Rules of Procedure of the Board.

Table 3. Regular reports to the CDM Executive Board

	Frequency
Regional collaboration centres (RCCs)	Twice a year
Synthesis report of the annual activity reports by DOEs	Once a year
Financial resources	Four times a year
Key performance indicators	Once a year (in addition to EB 90 in July 2016)

¹ <<https://cdm.unfccc.int/EB/report/>>.

Document information

<i>Version</i>	<i>Date</i>	<i>Description</i>
03.0	4 July 2016	Published as an annex to the annotated agenda of EB90.
02.0	25 April 2016	Published as an annex to the annotated agenda of EB89.
01.1	30 March 2016	Editorial revision to correct document numbers in table 2 and product titles on page 3.
01.0	11 March 2016	EB88, Annex 1. Initial adoption.
Decision Class: Operational Document Type: Information note Business Function: Governance Keywords: EB, work programme		