

CDM-EB93-A11-INFO

Information note

Implementation plan for new CDM regulations

Version 01.0



United Nations
Framework Convention on
Climate Change

TABLE OF CONTENTS	Page
1. INTRODUCTION	3
2. DOCUMENT REVIEW AND MANAGEMENT	3
3. OPERATIONAL PROCESS REVIEW AND UPDATE	3
4. TRANSITION FROM THE EXISTING REGULATIONS	4

1. Introduction

1. The Executive Board of the clean development mechanism (CDM) (hereinafter referred to as the Board) at its ninety-third meeting adopted the following key CDM regulatory documents and agreed to make them effective on 1 June 2017:
 - (a) CDM project standard for project activities;
 - (b) CDM validation and verification standard for project activities;
 - (c) CDM project cycle procedure for project activities;
 - (d) CDM project standard for programmes of activities;
 - (e) CDM validation and verification standard for programmes of activities;
 - (f) CDM project cycle procedure for programmes of activities.
2. This information note outlines the implementation plan for these new regulatory documents.
3. To this end, the implementation plan of the new regulatory framework is considered in the following three key streams of work:
 - (a) Document review and management;
 - (b) Operational process review and update;
 - (c) Transition from the existing regulations.

2. Document review and management

4. The implementation plan for document review and management activities is:
 - (a) Revision and development of supporting regulatory documents (e.g. forms, checklists) – by 1 May 2017;
 - (b) Creation of a notification of the change of regulations on the UNFCCC CDM website, explaining the transition and containing a list of all new regulatory documents and all outgoing regulatory documents – by 1 May 2017;
 - (c) Revision of other regulatory documents, changing only the references to the new or outgoing document titles within the documents – by 1 June 2017.

3. Operational process review and update

5. The implementation plan for operational process review and update is:
 - (a) Review of the information technology workflow systems and modification to them – by 1 June 2017;
 - (b) Review of the internal operational processes and adjustment to them – by 1 June 2017.

4. Transition from the existing regulations

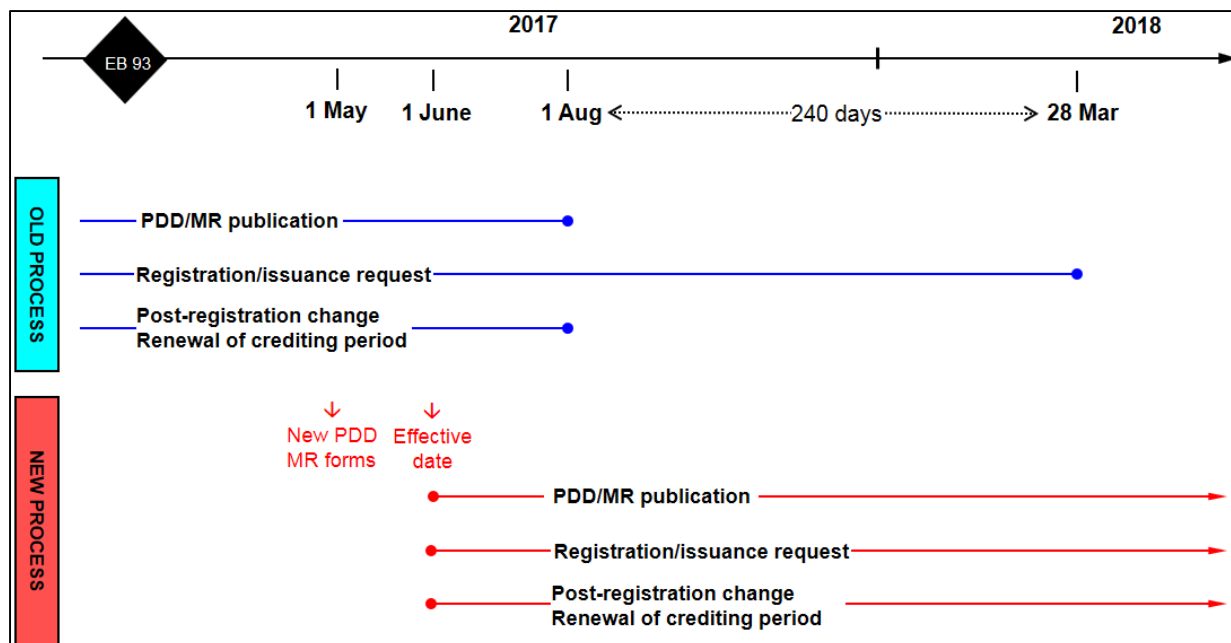
6. The proposed timeline for transition from the current set of regulations to the new set of regulations is summarized in the table below.

Table 1. Timeline for transitional arrangement

Timeline	Milestone
– 31 May 2017	<ul style="list-style-type: none"> • New versions of project design document (PDD), programme of activities design document (PoA-DD), component project activity design document (CPA-DD) and monitoring report (MR) forms will be published (1 May 2017). • New versions of checklists for completeness check and information and reporting check for requests for registration and issuance will be published (1 May 2017). • Project participants (PPs) and coordinating/managing entities (CMEs) may start preparing PDD/PoA-DD/CPA-DD or MRs applicable under the new regulatory framework. • All publications of DDs and MRs shall still use the old versions of the forms.
1 June – 28 March 2018 (240 days)	<ul style="list-style-type: none"> • New regulatory framework becomes effective (1 June 2017). • All new publications of DDs and MRs between 1 June to 31 July 2017 have two options: <ul style="list-style-type: none"> ○ Use the old versions of the forms; ○ Use the new versions of the form. • All new publications of DDs and MRs shall use the new versions of the forms from 1 August 2017. • If a DD or MR was published using the old version of the form, the PPs/CME and a designated operational entity (DOE) may choose to: <ul style="list-style-type: none"> ○ Follow the old regulatory framework and submit the corresponding request for registration or issuance by 28 March 2018; or ○ Follow the new regulatory framework and submit the corresponding request for registration or issuance, attaching a revised DD or MR using the new version of the form anytime. • If a DD or MR was published using the new version of the form, the PPs/CME and a DOE shall follow the new regulatory framework and submit the corresponding request for registration or issuance anytime. • All new submissions of requests for post-registration changes, renewal of crediting periods and other processes have two options between 1 June and 31 July 2017. <ul style="list-style-type: none"> ○ Follow the old regulatory framework; ○ Follow the new regulatory framework • All new submissions of requests for post-registration changes, renewal of crediting periods and other processes from 1 August 2017 shall follow the new regulatory framework.

Timeline	Milestone
29 March 2018 –	<ul style="list-style-type: none">• All new submissions of requests for registration and issuance shall follow the new regulatory framework regardless of the versions of the DD or MR forms published.• If a DD or MR was published using the old version of the form, the corresponding request for registration or issuance shall follow the new regulatory framework, attaching a revised DD or MR using the new version of the form.• If a request for registration or issuance following the old regulatory framework was submitted by 28 March 2018 and is resubmitted on or after 29 March 2018 after the completeness check or the information and reporting check by the secretariat, the request may still follow the old regulatory framework, provided that the resubmission is made within 90 days.

7. The following chart illustrates the milestones and timelines presented in Table 1 above:



Document information

Version	Date	Description
01.0	23 February 2017	EB 93, Annex 11 Initial adoption.

Decision Class: Operational

Document Type: Information note

Business Function: Issuance, Registration

Keywords: project cycle procedure, project standard, validation and verification standard, work programme