

Annex 3

STATUS OF INCOME AND EXPENDITURE AND HUMAN RESOURCES TO SUPPORT CDM ACTIVITIES DURING THE PERIOD 1 JANUARY TO 15 AUGUST 2011

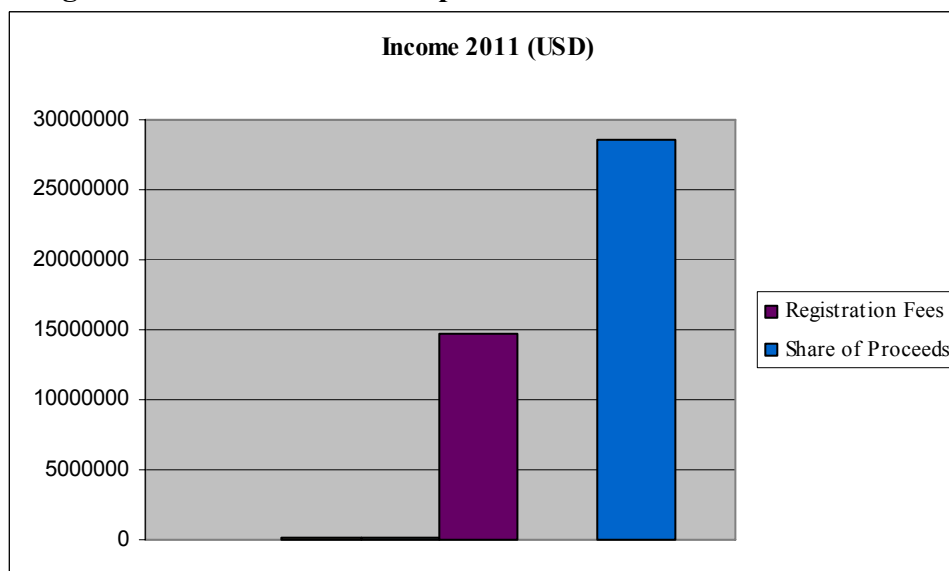
Table 1 shows Income received in 2011, including a balance brought forward from 2010.

Table 1. Income received in 2011, including carry-over from 2010 (United States dollars)

Carry-over figure from 2010 ¹	38,045,707
Fees from applications from Operational Entities	97,500
Fees from the accreditation process	122,078
Registration fees	14,719,154
Methodology fees	6,975
Share of proceeds (SOP)	28,552,107
Subtotal – Fees and SOP	43,497,814
Total income 2011	81,543,520

Figure 1 shows the level of registration fees and share of proceeds received during the reporting period.

Figure 1. Registration fees and share of proceeds 2011



¹ Includes interest accrued on the CDM Trust Fund in 2009 and 2010 of USD 4,402,055. This amount will fund the CDM loan scheme (3/CMP.6, paragraph 65).



Table 2 shows the current level of expenditure by object of expenditure and the current utilization rate for the reporting period.

Table 2. Comparison of budget and expenditure by United Nations object codes of expenditure
(United States dollars)

Object of Expenditure	Budget USD	Expenditure ² USD	Utilization Rate
Staff	18,867,332	11,060,672	59
General Temporary Assistance	1,011,929	416,576	41
Consultants	1,474,226	804,277	55
Experts' fees	1,568,400	1,159,269	74
Experts' travel	3,069,500	1,154,908	38
Overtime	37,986	49,691	131
Travel of representatives	2,083,500	1,025,173	49
Travel of staff	1,271,300	496,526	39
Training and skills development	831,000	100,772	12
Operating expenses ³	3,881,610	2,875,372	74
Communications	72,000	37,518	52
Supplies and material	631,535	29,325	5
Grants for members of the Executive Board	362,000	152,000	42
<i>Sub-total</i>	<i>35,162,318</i>	<i>19,362,079</i>	<i>55</i>
Programme Support - 13% overhead ⁴	4,571,101	2,517,070	55
Grand Total	39,733,419	21,879,150	55

²The data presented in this report is subject to change as the financial period is still open.

³ This expenditure line includes provision for total cost of ownership (TCO) which is a UNFCCC secretariat-wide cost recovery mechanism for the reimbursement of services and activities provided to Programmes. Applied per Secretariat Management Team decision taken in 2007.

⁴ In accordance with the financial procedures of the United Nations, 13 per cent overhead charges are payable on all trust funds of the UNFCCC to cover administrative services provided by United Nations Office at Geneva and UNFCCC secretariat.



Table 3 shows expenditure by business unit which is net of overhead costs. Process management includes costs for meetings of the CDM Executive Board and its accreditation and methodology panels, and afforestation/reforestation and small-scale working groups. Table 4 shows the balance available after deducting current expenditure from total revenue.

Table 3. Comparison of budget and expenditure by unit (United States dollars)

Unit	Budget USD	Expenditure USD
Process management	5,383,269	3,156,088
Standard setting	5,031,461	2,533,121
Project and entity assessment	9,882,171	4,605,656
Organization and stakeholder development	3,169,245	1,785,639
Strategy and policy development	731,971	473,430
Office of the Director	459,736	277,636
Services and management support	3,893,809	1,857,279
CDM Executive Board meetings	1,498,100	1,002,273
DNA and Regional forums/meetings.	1,569,500	768,804
IT services	3,543,057	1,455,269

Table 4. Status of income and expenditure (United States dollars)

Total revenue available	81,543,520
Less expenditure	21,879,150
Balance available	59,664,371

Note: Operating reserve of USD 45,000,000 is not included.

**Status of human resources**

As of 15 August 2011, 26 positions of the 39 positions vacant in January 2011 were filled. Vacancy announcements had been issued for nine posts, and a further four positions are funded by general temporary assistant resources up to December 2011.

Table 5 shows the breakdown of the nine vacant CDM posts that are at different stages in the recruitment process.

Table 5. Status of vacant posts

	OSD	SPD	SMS	PMU	SSU	PEA	OD	OC	Totals
Job description being prepared									
Vacancy announced	1								1
Interviews scheduled or under way	1		1	1	1				4
Before or awaiting Review Board				1	1	2			4
Totals	2		1	2	2	2			9

Recruitment of the four positions at the Review Board stage will be completed by September. Those at the interview stage are expected to be submitted to the Review Board by October. Below is a chart detailing the current recruitment status and a table outlining estimated recruitment dates.

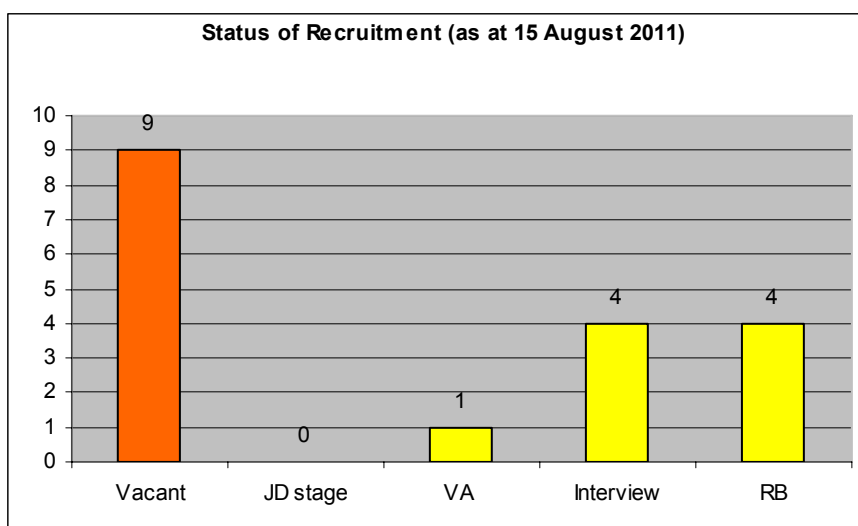




Table 6. Estimated recruitment dates

Level	Unit	June		July		August		September		October	
		Estimated	Achieved	Estimated	Achieved	Estimated	Achieved	Estimated	Achieved	Estimated	Achieved
P5	SPD	1					1				
P4	OSD							1			
	SSU			1		1	1				
P3	PMU	1		1	1		1				
P2	PEA	2		1		2	3				
	OSD			2			1				
	PMU							1			
	SMS					1	1				
	SSU					1					
G5	PMU					1					
	SMS			1							
G4	PEA										
	OSD	1					1				
	SPD					1	1				
Totals		5	0	6	1	7	10	2	0	0	0
Total estimated		20									
Total achieved		11									
