

**Annex 1**

**FORMS UNDER THE VERIFICATION PROCEDURE
TO INCORPORATE REVISIONS OF THE PROCEDURES FOR APPRAISALS OF
DETERMINATIONS UNDER THE VERIFICATION PROCEDURE UNDER THE JOINT
IMPLEMENTATION SUPERVISORY COMMITTEE**

<i>Revision history of the document</i>		
Document version	Adoption	Revision
Version 01	JISC 04 Annex 11, 12 15 September 2006	Initial adoption as separate documents, or included in several procedures.
Version 02	JISC 19 Annex 1 04 December 2009	Forms revised to reflect the adoption of the Procedures for programme of activities under the verification procedure under the Joint Implementation Supervisory Committee
Version 03	JISC 27 Annex 1 25 November 2011	The modifications were adopted in line with the revised “Procedures for appraisals of determinations under the JISC” and to insert the new UNFCCC logo.



AIE REPORT FORM

(version 03)

(to be completed by an AIE requesting the publication of a determination pursuant to paragraph 33 of the JI guidelines)

Accredited independent entity (AIE) submitting form

Proposed JI activity

Reference number and title of JI activity

Host Party(ies)

Other Parties involved in the JI activity

Project participants

Coordinating entity (*applicable to JI PoA only*)

Type of JI activity: ☐ large-scale ☐ small-scale ☐ LULUCF ☐ PoA

Brief description of the JI activity

Determination report

Please confirm that all requirements of Article 6 of the Kyoto Protocol, the JI guidelines and further relevant requirements defined by the CMP or the JISC with regard to determinations pursuant to paragraph 33 of the JI guidelines are met:

- ☐ Yes
☐ No

General information on determination

Please describe:

- The scope of the determination process, including all documentation reviewed, and list the names of persons interviewed during the determination process, as applicable;
- The AIE's determination team, including a list of all persons involved in the determination process and a description of the functions assumed.

Description of determination process

Please briefly describe and refer to:

- The review of the JI PDD/PoA DD and additional documentation attached to it;
- The assessment against JI requirements, e.g. by using a determination protocol;
- The report of findings by the AIE, including the use of different types of findings (e.g. corrective action requests, clarifications or observations etc.).

Statements or assessments should be included in section "Conclusions, final comments and determination opinion".

Comments received from Parties, stakeholders and UNFCCC accredited observers

Please:

- Summarise the comments received pursuant to paragraph 32 of the JI guidelines; and
- Provide a report of how due account was taken of these comments.

Conclusions, final comments and determination opinion

Please provide:

- Conclusions describing how each of the requirements of Article 6 of the Kyoto Protocol and the JI guidelines and further relevant requirements defined by the CMP or the JISC, in particular those referred to in paragraph 33 of the JI guidelines, have been met, including assessments and findings (e.g. corrective action requests, clarifications or observations) related to each requirement, and statements on whether all issues raised have been addressed to the AIE's satisfaction;
- Final comments and a determination opinion.

List of documents attached to the determination report form

Please attach relevant documents used in the determination process and mark below accordingly

- ☐ **PDD/PoA DD of the proposed JI activity**
- ☐ **Determination report**
- ☐ **Written approvals by the Parties involved, listed in the JI PDD/PoA DD**
- ☐ **Other relevant documents:**
 - ☐ Determination protocol
 - ☐ List of persons interviewed
 - ☐ Any other documents (please list):

The AIE herewith declares that undertaking the determination for the proposed JI activity referred to above does not constitute a conflict of interest which is incompatible with the role of an AIE**Operational manager signing for the AIE****Date and signature**



JISC APPRAISAL FORM

(version 03)

(this form shall be completed by a JISC member/alternate member preparing an appraisal of a determination)

C O N F I D E N T I A L

JISC member/alternate member submitting the form

Reference number and title of proposed JI activity

AIE that performed the determination

Appraisal

Please respond to the questions presented below by marking the appropriate check box.

Yes	No	
<input type="checkbox"/>	<input type="checkbox"/>	➤ Have all the requirements of Article 6 of the Kyoto Protocol and the JI guidelines and further relevant requirements been fulfilled and appropriately addressed by the AIE?
<input type="checkbox"/>	<input type="checkbox"/>	➤ Has the AIE determined appropriately that the proposed JI activity:
<input type="checkbox"/>	<input type="checkbox"/>	▪ has been approved by the Parties involved, listed in the JI PDD/PoA DD?
<input type="checkbox"/>	<input type="checkbox"/>	▪ would result in a reduction of anthropogenic emissions by sources or an enhancement of anthropogenic removals by sinks that is additional to any that would otherwise occur?
<input type="checkbox"/>	<input type="checkbox"/>	▪ has an appropriate baseline and monitoring plan in accordance with the criteria set out in appendix B of the JI guidelines?
<input type="checkbox"/>	<input type="checkbox"/>	➤ Has the AIE confirmed that the project participants have submitted documentation on the analysis of the environmental impacts of the proposed JI activity, including transboundary impacts, in accordance with procedures as determined by host Party, and, if those impacts are considered significant by the project participants or host Party, have undertaken an environmental impact assessment in accordance with procedures required by host Party?
<input type="checkbox"/>	<input type="checkbox"/>	➤ Has the AIE made its determination publicly available through the secretariat together with an explanation of its reasons, including a summary of the comments received and a report of how due account was taken on these?
<input type="checkbox"/>	<input type="checkbox"/>	➤ Has the AIE confirmed that the eligibility criteria for inclusion of JPAs have been fulfilled and the operational and management arrangements for the implementation of the JI PoA have been established by the coordinating entity? (<i>applicable to JI PoA only</i>)

Please describe in detail all the issues identified, including the specific JI requirements (e.g. guidelines, guidance, decisions, rules, etc.) that you consider not fulfilled, evaluate the overall quality of the submission, and suggest means to address the issues, if appropriate.

Baseline and monitoring methodology

➤

Demonstration of additionality

➤

Estimation of the emission reductions

➤

Other aspects

➤

Date and signature



EXPERT APPRAISAL FORM

(version 03)

(this form shall be completed by an expert providing an appraisal of a determination)

C O N F I D E N T I A L

Expert submitting the form:

Background

1. The requirements of Article 6 of the Kyoto Protocol, the JI guidelines, and relevant CMP and JISC requirements regarding determinations pursuant to paragraph 33 of the JI guidelines have to be met and appropriately addressed by the accredited independent entity (AIE).
2. Project participants shall submit to an AIE a JI PDD/PoA DD that contains all the information needed for the determination of whether the proposed JI activity fulfills the requirements in paragraph 31 of the JI guidelines.
3. The AIE shall determine whether the proposed JI activity meets the conditions in paragraph 33 of the JI guidelines.
4. The AIE shall make its determination publicly available through the secretariat, together with an explanation of its reasons, including a summary of comments received and a report of how due account was taken of these (paragraph 34 of the JI guidelines).
5. Where applicable, the AIE shall take into consideration paragraphs 26–30 of the procedures for programmes of activities under the verification procedure under the JISC (JI PoA procedures, version 1).

JI activity

Reference number and title of proposed JI activity

Party(ies) involved

Project participant(s)

Coordinating entity (*applicable to JI PoA only*)

Type of JI activity: ☐ large-scale ☐ small-scale ☐ LULUCF ☐ PoA

Brief description of the JI activity

Length of crediting period (DD/MM/YYYY-DD/MM/YYYY)

Annual average of estimated emission reductions/enhancements of removals over the crediting period (tonnes of CO₂ equivalent)

AIE that performed the determination

Input on Appraisal		
Please respond to the questions presented below by marking the appropriate check box.		
Yes	No	
<input type="checkbox"/>	<input type="checkbox"/>	➤ Have all the requirements of Article 6 of the Kyoto Protocol and the JI guidelines and further relevant requirements been fulfilled and appropriately addressed by the AIE?
<input type="checkbox"/>	<input type="checkbox"/>	➤ Has the AIE determined appropriately that the proposed JI activity:
<input type="checkbox"/>	<input type="checkbox"/>	▪ has been approved by the Parties involved listed in the JI PDD/PoA DD?
<input type="checkbox"/>	<input type="checkbox"/>	▪ would result in a reduction of anthropogenic emissions by sources or an enhancement of anthropogenic removals by sinks that is additional to any that would otherwise occur?
<input type="checkbox"/>	<input type="checkbox"/>	▪ has an appropriate baseline and monitoring plan in accordance with the criteria set out in appendix B of the JI guidelines?
<input type="checkbox"/>	<input type="checkbox"/>	➤ Has the AIE confirmed that the project participants have submitted documentation on the analysis of the environmental impacts of the proposed JI activity, including transboundary impacts, in accordance with procedures as determined by the host Party, and, if those impacts are considered significant by the project participants or the host Party, have undertaken an environmental impact assessment in accordance with procedures as required by the host Party?
<input type="checkbox"/>	<input type="checkbox"/>	➤ Has the AIE made its determination publicly available through the secretariat together with an explanation of its reasons, including a summary of the comments received and a report of how due account was taken on these?
<input type="checkbox"/>	<input type="checkbox"/>	➤ Has the AIE confirmed that the eligibility criteria for inclusion of JPAs have been fulfilled and the operational and management arrangements for the implementation of the JI PoA have been established by the coordinating entity? (<i>applicable to JI PoA only</i>)
Please provide an assessment of the determination, including a detailed description of the aspects checked and analyzed, and evaluate the overall quality of the submission. If applicable, please describe in detail any JI requirements (e.g. guidelines, guidance, decisions, rules, etc.) that have not been met, and suggest means to address the issues.		
<u>General description of aspects analyzed</u>		
➤		
<u>Baseline and monitoring methodology</u>		
➤		
<u>Demonstration of additionality</u>		
➤		
<u>Estimation of emission reductions/enhancements</u>		
➤		
<u>Other aspects</u>		
➤		
Date and signature		



PARTY/JISC REVIEW FORM

(version 03)

(by submitting this form, a Party involved in a JI activity (through DFP) or a JISC member requests a review)

Party involved (DFP) / **JISC member** submitting the form:

Reference number and **title** of the proposed JI activity:

AIE that performed the determination

Type of JI activity: ☐ **large-scale** ☐ **small-scale** ☐ **LULUCF** ☐ **PoA/JPA**

Background

1. The requirements of Article 6 of the Kyoto Protocol, the JI guidelines, and relevant CMP and JISC requirements regarding determinations pursuant to paragraph 33 of the JI guidelines have to be met and appropriately addressed by the accredited independent entity (AIE).
2. Project participants shall submit to an AIE a JI PDD/PoA DD that contains all the information needed for the determination of whether the proposed JI activity fulfills the requirements in paragraph 31 of the JI guidelines.
3. The AIE shall determine whether the proposed JI activity meets the conditions in paragraph 33 of the JI guidelines.
4. The AIE shall make its determination publicly available through the secretariat, together with an explanation of its reasons, including a summary of comments received and a report of how due account was taken of these (paragraph 34 of JI guidelines).
5. Where applicable, the AIE shall take into consideration paragraphs 26–30 of the procedures for programmes of activities under the verification procedure under the JISC (JI PoA procedures, version 1).

Request for review

Please respond to the questions presented below by marking the appropriate check box:

Yes	No	
<input type="checkbox"/>	<input type="checkbox"/>	➤ Has the AIE determined appropriately that the proposed JI activity:
<input type="checkbox"/>	<input type="checkbox"/>	▪ has been approved by the Parties involved listed in the JI PDD/PoA DD?
<input type="checkbox"/>	<input type="checkbox"/>	▪ would result in a reduction of anthropogenic emissions by sources or an enhancement of anthropogenic removals by sinks that is additional to any that would otherwise occur?
<input type="checkbox"/>	<input type="checkbox"/>	▪ has an appropriate baseline and monitoring plan in accordance with the criteria set out in appendix B of the JI guidelines?
<input type="checkbox"/>	<input type="checkbox"/>	➤ Has the AIE confirmed that the project participants have submitted documentation on the analysis of the environmental impacts of the proposed JI activity, including transboundary impacts, in accordance with procedures as determined by the host Party, and, if those impacts are considered significant by the project participants or the host Party, have undertaken an environmental impact assessment in accordance with procedures as required by the host Party?
<input type="checkbox"/>	<input type="checkbox"/>	➤ Has the AIE made its determination publicly available through the secretariat together with an explanation of its reasons, including a summary of the comments received and a report of how due account was taken on these?
<input type="checkbox"/>	<input type="checkbox"/>	➤ Has the coordinating entity of the JI PoA included only JPA(s) that appear to meet all the eligibility requirements defined in the JI PoA DD? (<i>applicable to JI PoA only</i>)
<input type="checkbox"/>	<input type="checkbox"/>	➤ Has the AIE confirmed that the eligibility criteria for inclusion of JPAs have been fulfilled and the operational and management arrangements for the implementation of the JI PoA have been established by the coordinating entity? (<i>applicable to JI PoA only</i>)

Please describe in detail the reasons for requesting the review, including the specific JI requirements (e.g. guidelines, guidance, decisions, rules, etc.) that you consider not fulfilled, and attach supporting documentation, if appropriate.

➤
➤



AIE REPORT FORM

(version 04)

(to be completed by an AIE requesting the publication of a determination pursuant to paragraph 37 of the JI guidelines)

Accredited independent entity (AIE) submitting form		
JI activity		
Reference number and title of JI activity		
Parties involved in the JI activity		
Project participants		
Coordinating entity (<i>applicable to JI PoA only</i>)		
Type of JI activity: <input type="checkbox"/> large-scale <input type="checkbox"/> small-scale <input type="checkbox"/> LULUCF <input type="checkbox"/> PoA		
Emission reductions/Enhancements of removals		
Monitoring period(s) (DD/MM/YYYY - DD/MM/YYYY)		
Verified reductions in anthropogenic emissions by sources/enhancements of anthropogenic removals by sinks (tonnes of CO ₂ eq.)		
The present verification is the first with regard to the JI activity		<input type="checkbox"/> Yes <input type="checkbox"/> No
Applicable to JI PoA only	Verification period (DD/MM/YYYY-DD/MM/YYYY)	<input type="checkbox"/> N/A
	Reference number of JPAs verified	<input type="checkbox"/> N/A
	Sampling approach used to verify the PoA	<input type="checkbox"/> N/A <input type="checkbox"/> Yes <input type="checkbox"/> No
Statements regarding requirements and possible changes		
<p>Please confirm that all requirements of Article 6 of the Kyoto Protocol, the JI guidelines and further relevant requirements defined by the CMP or the JISC with regard to verifications pursuant to paragraph 37 of the JI guidelines <u>are met</u>:</p> <p><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p>		
<p>Verification opinion</p> <p>Please provide a verification opinion (or a reference to the verification opinion in one of the documents attached), including a statement regarding materiality and level of assurance, in accordance with the Standard for applying the concept of materiality in verifications</p>		
The <u>project design</u> was revised in the current monitoring period, after the determination has been deemed final		<input type="checkbox"/> Yes <input type="checkbox"/> No

If yes, please <u>list all revisions</u> to the project design and express a determination opinion (or provide a reference to an attached document containing the required information)	<input type="checkbox"/> N/A		
If project design was revised in the current monitoring period, please <u>confirm</u> that conditions defined in JI guidelines (paragraph 33) are still met	<input type="checkbox"/> N/A	<input type="checkbox"/> Yes	<input type="checkbox"/> No
If project design was revised in the current monitoring period, please <u>confirm</u> that the changes do not alter the original determination opinion	<input type="checkbox"/> N/A	<input type="checkbox"/> Yes	<input type="checkbox"/> No
If project design was revised in the current monitoring period, please <u>confirm</u> that:			
(a) Physical location of the project has not changed	<input type="checkbox"/> N/A	<input type="checkbox"/> Yes	<input type="checkbox"/> No
(b) If emission sources have changed, they are reflected in an updated monitoring plan	<input type="checkbox"/> N/A	<input type="checkbox"/> Yes	<input type="checkbox"/> No
(c) Baseline scenario has not changed	<input type="checkbox"/> N/A	<input type="checkbox"/> Yes	<input type="checkbox"/> No
(d) Changes are consistent with JI specific approach or CDM methodology upon which the determination was prepared for the project	<input type="checkbox"/> N/A	<input type="checkbox"/> Yes	<input type="checkbox"/> No
The <u>monitoring plan</u> was revised in the current monitoring period	<input type="checkbox"/> Yes <input type="checkbox"/> No		
<i>If yes, please specify for which technologies and measures under each type of JPA the monitoring plan was revised (applicable to JI PoA only)</i>			
The current <u>revisions to the monitoring plan</u> improve the accuracy and/or applicability of information collected, compared to the original monitoring plan without changing conformity with the relevant rules and regulations for establishing monitoring plans	<input type="checkbox"/> N/A	<input type="checkbox"/> Yes	<input type="checkbox"/> No
<u>List of documents attached to the verification report form</u>			
Please attach relevant documents used in the verification process and mark below accordingly			
<input type="checkbox"/> Verification report <input type="checkbox"/> Written approvals by the Parties involved, if applicable <input type="checkbox"/> Other relevant documents: <ul style="list-style-type: none"> <input type="checkbox"/> Document listing all revisions to the project design, if applicable <input type="checkbox"/> Determination that the revisions to the <u>project design</u>, if applicable, do not alter the original determination opinion and that the conditions defined by paragraph 33 of the JI guidelines are still met <input type="checkbox"/> Revised monitoring plan, if applicable <input type="checkbox"/> Determination that the revisions to the <u>monitoring plan</u>, if applicable, improve the accuracy and/or applicability of information collected, compared to the original monitoring plan without changing conformity with relevant rules and regulations for establishing monitoring plans <input type="checkbox"/> Sampling plan, if applicable (<i>JI PoA only</i>) <input type="checkbox"/> Any other documents (please list): 			
The AIE herewith declares that undertaking the verification for the JI activity referred to above does not constitute a conflict of interest which is incompatible with the role of an AIE			
Operational manager signing for the AIE			
Date and signature			



JISC APPRAISAL FORM

(version 03)

(this form shall be completed by a JISC member/alternate member preparing an appraisal of a verification)

C O N F I D E N T I A L

JISC member/alternate member submitting the form

Reference number and title of proposed JI activity

AIE that performed the verification

Appraisal

Please respond to the questions presented below by marking the appropriate check box.

Yes **No**

- | | |
|---|--|
| <input type="checkbox"/> <input type="checkbox"/> | ➤ Have all the requirements of Article 6 of the Kyoto Protocol and the JI guidelines and further relevant requirements been fulfilled and appropriately addressed by the AIE? |
| <input type="checkbox"/> <input type="checkbox"/> | ➤ Has the AIE verified appropriately the reductions in anthropogenic emissions by sources or enhancements of anthropogenic removals by sinks reported by project participants in accordance with appendix B of the JI guidelines, and the fact that they were monitored and calculated in accordance with paragraph 33 of the JI guidelines? |
| <input type="checkbox"/> <input type="checkbox"/> | ➤ Has the AIE made its verification under paragraph 37 of the JI guidelines publicly available through the secretariat together with an explanation of its reasons (including the sampling plan for JI PoA, if applicable)? |

Please describe in detail all the issues identified, including the specific JI requirements (e.g. guidelines, guidance, decisions, rules, etc.) that you consider not fulfilled, evaluate the overall quality of the submission, and suggest means to address the issues, if appropriate.

Project implementation, including changes

➤

Compliance with Monitoring Plan, including revisions

➤

Verification Opinion

➤

Estimation/calculation of emission reductions

➤

Other aspects

➤

Date and signature



EXPERT APPRAISAL FORM

(version 01)

(this form shall be completed by an expert providing an appraisal of a verification)

C O N F I D E N T I A L

Expert submitting the form:

Background

1. The requirements of Article 6 of the Kyoto Protocol, the JI guidelines and relevant CMP and JISC requirements regarding verifications (paragraph 37 of the JI guidelines) have to be met and appropriately addressed by the AIE.
2. Project participants shall submit to an AIE a report in accordance with the monitoring plan on reductions in anthropogenic emissions by sources or enhancements of anthropogenic removals by sinks that have already occurred, as provided for in paragraph 36 of the JI guidelines. The report shall be made publicly available.
3. The AIE shall perform a verification of the reductions in anthropogenic emissions by sources or enhancements of anthropogenic removals by sinks reported by project participants, provided that they were monitored and calculated in accordance with paragraph 33 of the JI guidelines (paragraph 37 of the JI guidelines).
4. The AIE shall make its verification publicly available through the secretariat, together with an explanation of its reasons, in accordance with paragraph 38 of the JI guidelines.
5. Where applicable, the AIE shall take into consideration paragraphs 41–55 of the procedures for programmes of activities under the verification procedure under the JISC (JI PoA procedures, version 1).
6. The AIE shall observe the standard for applying the concept of materiality in verifications (version 1).

JI activity

Reference number and title of proposed JI activity

Parties involved

Project participants

Coordinating entity (*applicable to JI PoA only*)

Type of JI activity: ☐ large-scale ☐ small-scale ☐ LULUCF ☐ PoA

Length of crediting period (DD/MM/YYYY-DD/MM/YYYY)

AIE that performed the determination of PDD/PoA
DD

Annual ERs average estimated at PDD
determination

Emission reductions/Enhancements of removals

Monitoring report ref. number as in JI Infosystem

Monitoring period(s) (DD/MM/YYYY - DD/MM/YYYY)

AIE that performed the verification

Present verification is the first for this JI activity? ☐ Yes ☐ No

Verified emissions reductions/enhancements of
removals (tCO₂e)

AIE included in its verification opinion a statement
confirming that emission reductions are accurate,
free of material errors, omissions or misstatements? ☐ Yes ☐ No

Monitoring plan was revised?		<input type="checkbox"/> Yes		<input type="checkbox"/> No	
<i>If yes, please inform for which technologies and measures under each type of JPA the plan was revised (JI PoA only)</i>					
Monitoring plan revisions improve accuracy and/or applicability of information collected compared to the original plan without changing conformity with rules and regulations for establishing monitoring plans?		<input type="checkbox"/> Yes		<input type="checkbox"/> No <input type="checkbox"/> N/A	
Changes occurred to the project after determination of PDD and project participants reported them in the present monitoring report? (according to Procedures regarding changes during project implementation)		<input type="checkbox"/> Yes		<input type="checkbox"/> No <input type="checkbox"/> N/A.	
If changes occurred, AIE has listed all changes in the Verification report form and expressed a positive determination opinion or provided a separate document presenting all changes and a positive determination opinion?		<input type="checkbox"/> Yes		<input type="checkbox"/> No <input type="checkbox"/> N/A.	
Applicable to JI PoA only	Verification period (DD/MM/YYYY-DD/MM/YYYY)	<input type="checkbox"/> N/A			
	Reference number of JPAs verified	<input type="checkbox"/> N/A			
	Sampling approach used to verify the PoA	<input type="checkbox"/> N/A		<input type="checkbox"/> Yes <input type="checkbox"/> No	
Input on Appraisal					
Please answer the questions presented below by marking the appropriate check box.					
Yes	No				
<input type="checkbox"/>	<input type="checkbox"/>	➤ Have all the requirements of Article 6 of the Kyoto Protocol and the JI guidelines and further relevant requirements been fulfilled and appropriately addressed by the AIE?			
<input type="checkbox"/>	<input type="checkbox"/>	➤ Has the AIE verified appropriately the reductions in anthropogenic emissions by sources or enhancements of anthropogenic removals by sinks reported by project participants in accordance with appendix B of the JI guidelines, and the fact that they were monitored and calculated in accordance with paragraph 33 of the JI guidelines?			
<input type="checkbox"/>	<input type="checkbox"/>	➤ Has the AIE made its verification under paragraph 37 of the JI guidelines publicly available through the secretariat together with an explanation of its reasons (including the sampling plan for JI PoA, if applicable)?			
Please provide an assessment of the verification, including a detailed description of the aspects checked and analyzed, and evaluate the overall quality of the submission. If applicable, please describe in detail any JI requirements (e.g. guidelines, guidance, decisions, rules, etc.) that have not been met, and suggest means to address the issues.					
<u>General description of aspects analyzed</u>					
➤					
<u>Project implementation, including changes</u>					
➤					
<u>Compliance with Monitoring Plan, including revisions</u>					
➤					
<u>Verification Opinion</u>					
➤					
<u>Estimation/calculation of emission reductions/enhancements</u>					
➤					
<u>Other aspects</u>					
➤					
Date and signature					



PARTY/JISC REVIEW FORM

(version 03)

(by submitting this form, a Party involved in a JI activity (through DFP) or a JISC member requests a review)

Party involved (DFP) / JISC member submitting the form:

Reference number and title of the proposed JI activity:

AIE that performed the verification

Type of JI activity: ☐ large-scale ☐ small-scale ☐ LULUCF ☐ PoA/JPA

Background

1. The requirements of Article 6 of the Kyoto Protocol, the JI guidelines and relevant CMP and JISC requirements regarding verifications (paragraph 37 of the JI guidelines) have to be met and appropriately addressed by the AIE.
2. Project participants shall submit to an AIE a report in accordance with the monitoring plan on reductions in anthropogenic emissions by sources or enhancements of anthropogenic removals by sinks that have already occurred, as provided for in paragraph 36 of the JI guidelines. The report shall be made publicly available.
3. The AIE shall perform a verification of the reductions in anthropogenic emissions by sources or enhancements of anthropogenic removals by sinks reported by project participants, provided that they were monitored and calculated in accordance with paragraph 33 of the JI guidelines (paragraph 37 of the JI guidelines).
4. The AIE shall make its verification publicly available through the secretariat, together with an explanation of its reasons, in accordance with paragraph 38 of the JI guidelines.
5. Where applicable, the AIE shall take into consideration paragraphs 41–55 of the procedures for programmes of activities under the verification procedure under the JISC (JI PoA procedures, version 1).
6. The AIE shall observe the standard for applying the concept of materiality in verifications (version 1).

Request for review

Please respond to the questions presented below by marking the appropriate check box:

Yes	No	
<input type="checkbox"/>	<input type="checkbox"/>	➤ Has the AIE verified appropriately the reductions in anthropogenic emissions by sources or enhancements of anthropogenic removals by sinks reported by project participants in accordance with appendix B of the JI guidelines, and the fact that they were monitored and calculated in accordance with paragraph 33 of the JI guidelines?
<input type="checkbox"/>	<input type="checkbox"/>	➤ Has the AIE made its verification under paragraph 37 of the JI guidelines publicly available through the secretariat together with an explanation of its reasons (including the sampling plan for JI PoA, if applicable)?
<input type="checkbox"/>	<input type="checkbox"/>	➤ Has the coordinating entity of the JI PoA included only JPA(s) that appear to meet all the eligibility requirements defined in the JI PoA DD? (<i>applicable to JI PoA only</i>)

Please describe in detail the reasons for requesting the review, including the specific JI requirements (e.g. guidelines, guidance, decisions, rules, etc.) that you consider not fulfilled, and attach supporting documentation, if appropriate.

➤
➤
➤



FORM FOR REVIEW TEAM REQUEST FOR CLARIFICATION/INFORMATION

(version 03)

(this form shall be completed by the review team)

C O N F I D E N T I A L

Reference number and title of the JI activity

Background

Detailed review of a determination in accordance with paragraph 35 of the JI guidelines:

- Requests for clarification and/or further information, as appropriate, shall be prepared and submitted to the project participants and/or the accredited independent entity (AIE) through their contact persons for the review process by the review team within one week after the JISC has decided on the composition of the review team and communicated to the team the scope of the review;
- Responses (including revised documentation, as appropriate) shall be submitted by the contact persons for the review process to the review team through the secretariat within two weeks after the request for clarification and/or further information was made by the review team. The secretariat shall acknowledge receipt of the responses and forward them to the review team;
- The lead member of the review team may decide to conduct further interactions with regard to clarifications/further information if considered necessary.

Detailed review of a verification in accordance with paragraph 39 of the JI guidelines:

- Requests for clarification and/or further information, as appropriate, shall be prepared and submitted to the project participants and/or the AIE through their contact persons for the review process by the review team selected by the JISC within five days after the JISC has decided on the composition of the review team and communicated to the team the scope of the review.
- responses (including revised documentation, as appropriate) shall be submitted by the contact persons for the review process to the review team through the secretariat within five days after the request for clarification and/or further information was made by the review team. The secretariat shall acknowledge receipt of the responses and forward them to the review team.
- The lead member of the review team may decide to conduct further interactions with regard to clarifications/further information if considered necessary.

Detailed review

Review team

(Team leader, JISC member/alternate member)

(JISC member/alternate member)

Outside experts

☐ Yes

☐ No

Scope of the review

Request for clarification and/or further information

Please submit by the relevant deadline, as appropriate, responses and revised documentation (e.g. JI PDD/PoA DD, determination report, etc.)

Addressee of the request

- ☐ Project participant(s)/Coordinating entity
- ☐ AIE

Please insert questions for the addressee based on the scope of the review (for each section of the scope)

Please provide preliminary findings by the review team (for each section of the scope) based on interactions with the project participants/coordinating entity/AIE, if applicable

Date and signature of the lead member of the review team



FORM FOR REVIEW TEAM REPORT

(version 03)

(this form shall be completed by the review team leader)

C O N F I D E N T I A L

JI activity

Reference number and title of the JI activity

Party(ies) involved

Project participants

Coordinating entity (*applicable to JI PoA only*)

Type of JI activity: ☐ large-scale ☐ small-scale ☐ LULUCF ☐ PoA/JPA

Brief description of the JI activity

AIE that performed the determination/verification

Background

1. The review team shall consist of two JISC members, including alternate members, who will be responsible for supervising the review, and outside experts, as appropriate.
2. One JISC member/alternate member of the review team shall be identified as lead member of the team and will be responsible for, inter alia, drafting the final recommendation of the team to the JISC, ensuring that any diverging views within the team are reflected.
3. The review team shall provide inputs, prepare requests for clarification and/or further information to the AIE and/or project participants, and analyze information received during the review.
4. The two JISC members, including alternate members, supervising the review shall be responsible for compiling inputs and comments and preparing the final recommendation to the JISC.

Detailed review

General information

Review team

(Team leader, JISC member/alternate member)

(JISC member/alternate member)

Outside experts

☐ Yes

☐ No

Scope of the review

<u>Review process</u>	
General description of the review process	
Description of findings based on the scope of review, including diverging views within the review team	
<u>Final recommendation (including reasons)</u>	
<i>Please mark the appropriate check box and provide reasons for any recommendation, including references to the scope of the review and relevant CMP and/or JISC decisions and JI guidelines, as appropriate.</i>	
<input type="checkbox"/> Acceptance of determination/verification without conditions	
<input type="checkbox"/> Acceptance of determination/verification subject to certain conditions¹ <i>Please indicate the conditions for the acceptance</i>	
<input type="checkbox"/> Rejection of determination/verification	
Diverging views within the review team regarding the final recommendation	
<u>List of documents attached to the final recommendation, as appropriate</u>	
<i>Please attach relevant documents used in the detailed review process and mark below accordingly</i>	
Detailed review of a determination: <input type="checkbox"/> Revised JI PDD/PoA DD <input type="checkbox"/> Revised determination report <input type="checkbox"/> Any other documents (please list)	Detailed review of a verification: <input type="checkbox"/> Revised monitoring report <input type="checkbox"/> Revised verification report <input type="checkbox"/> Any other documents (please list)
Date and signature of the review team leader	

¹ 1) The JISC may request the AIE and the project participants to make corrections based on findings of the review team;
2) The revised documentation shall be submitted to the secretariat within 12 weeks in two versions, a version tracking the changes and a clean version;
3) The secretariat shall make the revised documentation available to the JISC and the public;
4) The revised documentation shall be checked by the Chair and the Vice-Chair of the JISC, assisted by the secretariat;
5) If the Chair and the Vice-Chair consider the corrections satisfactory, the determination/verification is accepted, otherwise the Chair may, bearing in mind the proximity of the documentation deadline of the next JISC meeting, submit the revised documentation for the consideration of the JISC at its next meeting or for electronic decision-making by the JISC. If the JISC considers the corrections satisfactory, the determination/verification is accepted, otherwise it is rejected.